

# Star 5-edge-colorings of subcubic multigraphs

Hui Lei<sup>1</sup>, Yongtang Shi<sup>1</sup>, Zi-Xia Song<sup>2\*</sup>, Tao Wang<sup>3</sup>

<sup>1</sup> Center for Combinatorics and LPMC

Nankai University, Tianjin 300071, China

<sup>2</sup> Department of Mathematics

University of Central Florida, Orlando, FL32816, USA

<sup>3</sup> Institute of Applied Mathematics

Henan University, Kaifeng, 475004, P.R. China

December 8, 2017

## Abstract

The *star chromatic index* of a multigraph  $G$ , denoted  $\chi'_s(G)$ , is the minimum number of colors needed to properly color the edges of  $G$  such that no path or cycle of length four is bi-colored. A multigraph  $G$  is *star  $k$ -edge-colorable* if  $\chi'_s(G) \leq k$ . Dvořák, Mohar and Šámal [Star chromatic index, J. Graph Theory 72 (2013), 313–326] proved that every subcubic multigraph is star 7-edge-colorable, and conjectured that every subcubic multigraph should be star 6-edge-colorable. Kerdjoudj, Kostochka and Raspaud considered the list version of this problem for simple graphs and proved that every subcubic graph with maximum average degree less than  $7/3$  is star list-5-edge-colorable. It is known that a graph with maximum average degree  $14/5$  is not necessarily star 5-edge-colorable. In this paper, we prove that every subcubic multigraph with maximum average degree less than  $12/5$  is star 5-edge-colorable.

**Keywords:** star edge-coloring; subcubic multigraphs; maximum average degree

**AMS subject classification 2010:** 05C15

## 1 Introduction

All multigraphs in this paper are finite and loopless; and all graphs are finite and without loops or multiple edges. Given a multigraph  $G$ , let  $c : E(G) \rightarrow [k]$  be a proper edge-coloring

---

\*Corresponding author.

Email addresses: leihui0711@163.com (H. Lei), shi@nankai.edu.cn (Y. Shi), Zixia.Song@ucf.edu (Z-X. Song), wangtao@henu.edu.cn (T. Wang)

of  $G$ , where  $k \geq 1$  is an integer and  $[k] := \{1, 2, \dots, k\}$ . We say that  $c$  is a *star  $k$ -edge-coloring* of  $G$  if no path or cycle of length four in  $G$  is bi-colored under the coloring  $c$ ; and  $G$  is *star  $k$ -edge-colorable* if  $G$  admits a star  $k$ -edge-coloring. The *star chromatic index* of  $G$ , denoted  $\chi'_s(G)$ , is the smallest integer  $k$  such that  $G$  is star  $k$ -edge-colorable. As pointed out in [6], the definition of star edge-coloring of a graph  $G$  is equivalent to the star vertex-coloring of its line graph  $L(G)$ . Star edge-coloring of a graph was initiated by Liu and Deng [10], motivated by the vertex version (see [1, 4, 5, 8, 11]). Given a multigraph  $G$ , we use  $|G|$  to denote the number of vertices,  $e(G)$  the number of edges,  $\delta(G)$  the minimum degree, and  $\Delta(G)$  the maximum degree of  $G$ , respectively. We use  $K_n$  and  $P_n$  to denote the complete graph and the path on  $n$  vertices, respectively. A multigraph  $G$  is *subcubic* if all its vertices have degree less than or equal to three. The *maximum average degree* of a multigraph  $G$ , denoted  $\text{mad}(G)$ , is defined as the maximum of  $2e(H)/|H|$  taken over all the subgraphs  $H$  of  $G$ . The following upper bound is a result of Liu and Deng [10].

**Theorem 1.1** ([10]) *For every graph  $G$  of maximum degree  $\Delta \geq 7$ ,  $\chi'_s(G) \leq \lceil 16(\Delta - 1)^{\frac{3}{2}} \rceil$ .*

Theorem 1.2 below is a result of Dvořák, Mohar and Šámal [6], which gives an upper and a lower bounds for complete graphs.

**Theorem 1.2** ([6]) *The star chromatic index of the complete graph  $K_n$  satisfies*

$$2n(1 + o(1)) \leq \chi'_s(K_n) \leq n \frac{2^{2\sqrt{2}(1+o(1))\sqrt{\log n}}}{(\log n)^{1/4}}.$$

*In particular, for every  $\epsilon > 0$ , there exists a constant  $c$  such that  $\chi'_s(K_n) \leq cn^{1+\epsilon}$  for every integer  $n \geq 1$ .*

The true order of magnitude of  $\chi'_s(K_n)$  is still unknown. Applying the upper bound in Theorem 1.2 on  $\chi'_s(K_n)$ , an upper bound for  $\chi'_s(G)$  of any graph  $G$  is also derived in [6].

**Theorem 1.3** ([6]) *For every graph  $G$  of maximum degree  $\Delta$ ,*

$$\chi'_s(G) \leq \chi'_s(K_{\Delta+1}) \cdot O\left(\frac{\log \Delta}{\log \log \Delta}\right)^2,$$

*and so  $\chi'_s(G) \leq \Delta \cdot 2^{O(1)\sqrt{\log \Delta}}$ .*

It is worth noting that when  $\Delta$  is large, Theorem 1.3 yields a near-linear upper bound for  $\chi'_s(G)$ , which greatly improves the upper bound obtained in Theorem 1.1. In the same paper, Dvořák, Mohar and Šámal [6] also considered the star chromatic index of subcubic multigraphs. To state their result, we need to introduce one notation. A graph  $G$  covers a

graph  $H$  if there is a mapping  $f : V(G) \rightarrow V(H)$  such that for any  $uv \in E(G)$ ,  $f(u)f(v) \in E(H)$ , and for any  $u \in V(G)$ ,  $f$  is a bijection between  $N_G(u)$  and  $N_H(f(u))$ . They proved the following.

**Theorem 1.4 ([6])** *Let  $G$  be a multigraph.*

- (a) *If  $G$  is subcubic, then  $\chi'_s(G) \leq 7$ .*
- (b) *If  $G$  is cubic and has no multiple edges, then  $\chi'_s(G) \geq 4$  and the equality holds if and only if  $G$  covers the graph of 3-cube.*

As observed in [6],  $K_{3,3}$  is not star 5-edge-colorable but star 6-edge-colorable. No subcubic multigraphs with star chromatic index seven are known. Dvořák, Mohar and Šámal [6] proposed the following conjecture.

**Conjecture 1.5 ([6])** *Let  $G$  be a subcubic multigraph. Then  $\chi'_s(G) \leq 6$ .*

It was shown in [2] that every subcubic outerplanar graph is star 5-edge-colorable. Lei, Shi and Song [9] recently proved that every subcubic multigraph  $G$  with  $\text{mad}(G) < 24/11$  is star 5-edge-colorable, and every subcubic multigraph  $G$  with  $\text{mad}(G) < 5/2$  is star 6-edge-colorable. Kerdjoudj, Kostochka and Raspaud [7] considered the list version of star edge-colorings of simple graphs. They proved that every subcubic graph is star list-8-edge-colorable, and further proved the following stronger results.

**Theorem 1.6 ([7])** *Let  $G$  be a subcubic graph.*

- (a) *If  $\text{mad}(G) < 7/3$ , then  $G$  is star list-5-edge-colorable.*
- (b) *If  $\text{mad}(G) < 5/2$ , then  $G$  is star list-6-edge-colorable.*

As mentioned above,  $K_{3,3}$  has star chromatic index 6, and is bipartite and non-planar. The graph, depicted in Figure 1, has star chromatic index 6, and is planar and non-bipartite. We see that not every bipartite, subcubic graph is star 5-edge-colorable; and not every planar, subcubic graph is star 5-edge-colorable. It remains unknown whether every bipartite, planar subcubic multigraph is star 5-edge-colorable. In this paper, we improve Theorem 1.6(a) by showing the following main result.

**Theorem 1.7** *Let  $G$  be a subcubic multigraph with  $\text{mad}(G) < 12/5$ . Then  $\chi'_s(G) \leq 5$ .*

We don't know if the bound  $12/5$  in Theorem 1.7 is best possible. The graph depicted in Figure 1 has maximum average degree  $14/5$  but is not star 5-edge-colorable.

The *girth* of a graph  $G$  is the length of a shortest cycle in  $G$ . It was observed in [3] that every planar graph with girth  $g$  satisfies  $\text{mad}(G) < \frac{2g}{g-2}$ . This, together with Theorem 1.7, implies the following.

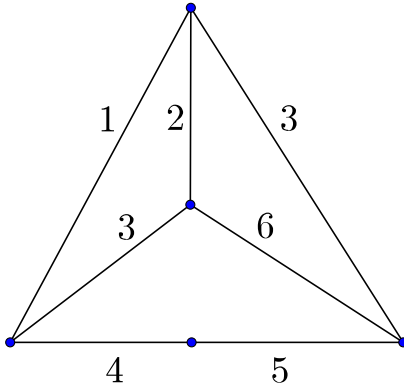


Figure 1: A graph with maximum average degree  $14/5$  and star chromatic index 6.

**Corollary 1.8** *Let  $G$  be a planar subcubic graph with girth  $g$ . If  $g \geq 12$ , then  $\chi'_s(G) \leq 5$ .*

We need to introduce more notation. Given a multigraph  $G$ , a vertex of degree  $k$  in  $G$  is a  $k$ -vertex, and a  $k$ -neighbor of a vertex  $v$  in  $G$  is a  $k$ -vertex adjacent to  $v$  in  $G$ . A  $3_k$ -vertex in  $G$  is a 3-vertex incident to exactly  $k$  edges  $e$  in  $G$  such that the other end-vertex of  $e$  is a 2-vertex. For any proper edge-coloring  $c$  of a multigraph  $G$  and for any  $u \in V(G)$ , let  $c(u)$  denote the set of all colors such that each is used to color an edge incident with  $u$  under the coloring  $c$ . For any two sets  $A, B$ , let  $A \setminus B := A - B$ . If  $B = \{b\}$ , we simply write  $A \setminus b$  instead of  $A \setminus B$ .

## 2 Properties of star 5-critical subcubic multigraphs

A multigraph  $G$  is *star 5-critical* if  $\chi'_s(G) > 5$  and  $\chi'_s(G - v) \leq 5$  for any  $v \in V(G)$ . In this section, we establish some structure results on star 5-critical subcubic multigraphs. Clearly, every star 5-critical multigraph must be connected.

Throughout the remainder of this section, let  $G$  be a star 5-critical subcubic multigraph, and let  $N(v)$  and  $d(v)$  denote the neighborhood and degree of a vertex  $v$  in  $G$ , respectively. Since every multigraph with maximum degree at most two or number of vertices at most four is star 5-edge-colorable, we see that  $\Delta(G) = 3$  and  $|G| \geq 5$ . As observed in [9], any 2-vertex in  $G$  must have two distinct neighbors. The following Lemma 2.1 and Lemma 2.2 are proved in [9] and will be used in this paper.

**Lemma 2.1** ([9]) *For any 1-vertex  $x$  in  $G$ , let  $N(x) = \{y\}$ . The following are true.*

(a)  $|N(y)| = 3$ .

- (b)  $N(y)$  is an independent set in  $G$ ,  $d(y_1) = 3$  and  $d(y_2) \geq 2$ , where  $N(y) = \{x, y_1, y_2\}$  with  $d(y_1) \geq d(y_2)$ .
- (c) If  $d(y_2) = 2$ , then for any  $i \in \{1, 2\}$  and any  $v \in N_G(y_i) \setminus y$ ,  $|N(v)| \geq 2$ ,  $|N(y_1)| = 3$ ,  $|N(y_2)| = 2$ , and  $N[y_1] \cap N[y_2] = \{y\}$ .
- (d) If  $d(y_2) = 2$ , then  $d(w_1) = 3$ , where  $w_1$  is the other neighbor of  $y_2$  in  $G$ .
- (e) If  $d(y_2) = 3$ , then either  $d(v) \geq 2$  for any  $v \in N(y_1)$  or  $d(v) \geq 2$  for any  $v \in N(y_2)$ .

**Lemma 2.2 ([9])** For any 2-vertex  $x$  in  $G$ , let  $N(x) = \{z, w\}$  with  $|N(z)| \leq |N(w)|$ . The following are true.

- (a) If  $zw \in E(G)$ , then  $|N(z)| = |N(w)| = 3$  and  $d(v) \geq 2$  for any  $v \in N(z) \cup N(w)$ .
- (b) If  $zw \notin E(G)$ , then  $|N(w)| = 3$  or  $|N(w)| = |N(z)| = 2$ , and  $d(w) = d(z) = 3$ .
- (c) If  $d(z) = 2$  and  $z^*w \in E(G)$ , then  $|N(z^*)| = |N(w)| = 3$ , and  $d(u) = 3$  for any  $u \in (N[w] \cup N[z^*]) \setminus \{x, z\}$ , where  $z^*$  is the other neighbor of  $z$  in  $G$ .
- (d) If  $d(z) = 2$ , then  $|N(z^*)| = |N(w)| = 3$ , and  $|N(v)| \geq 2$  for any  $v \in N(w) \cup N(z^*)$ , where  $N(z) = \{x, z^*\}$ .

Let  $H$  be the graph obtained from  $G$  by deleting all 1-vertices. By Lemma 2.1(a,b),  $H$  is connected and  $\delta(H) \geq 2$ . Throughout the remaining of the proof, a 2-vertex in  $H$  is *bad* if it has a 2-neighbor in  $H$ , and a 2-vertex in  $H$  is *good* if it is not bad. For any 2-vertex  $r$  in  $H$ , we use  $r'$  to denote the unique 1-neighbor of  $r$  in  $G$  if  $d_G(r) = 3$ . By Lemma 2.1(a) and the fact that any 2-vertex in  $G$  has two distinct neighbors in  $G$ , we obtain the following two lemmas.

**Lemma 2.3** For any 2-vertex  $x$  in  $H$ ,  $|N_H(x)| = 2$ .

**Lemma 2.4** For any  $3_k$ -vertex  $x$  in  $H$  with  $k \geq 2$ ,  $|N_H(x)| = 3$ .

Proofs of Lemma 2.5 and Lemma 2.6 below can be obtained from the proofs of Claim 11 and Lemma 12 in [7], respectively. Since a star 5-critical multigraph is not necessarily the edge minimal counterexample in the proof of Theorem 4.1 in [7], we include new proofs of Lemma 2.5 and Lemma 2.6 here for completeness.

**Lemma 2.5**  $H$  has no 3-cycle such that two of its vertices are bad.

**Proof.** Suppose that  $H$  does contain a 3-cycle with vertices  $x, y, z$  such that both  $y$  and  $z$  are bad. Then  $x$  must be a 3-vertex in  $G$  because  $G$  is 5-critical. Let  $w$  be the third neighbor of  $x$  in  $G$ . Since  $G$  is 5-critical, let  $c : E(G \setminus \{y, z\}) \rightarrow [5]$  be any star 5-edge-coloring of  $G \setminus \{y, z\}$ . Let  $\alpha$  and  $\beta$  be two distinct numbers in  $[5] \setminus c(w)$  and  $\gamma \in [5] \setminus \{\alpha, \beta, c(xw)\}$ . Now coloring

the edges  $xy, xz, yz$  by colors  $\alpha, \beta, \gamma$  in order, and further coloring all the edges  $yy', zz'$  by color  $c(xw)$  if  $y'$  or  $z'$  exists, we obtain a star 5-edge-coloring of  $G$ , a contradiction. ■

**Lemma 2.6**  *$H$  has no 4-cycle with vertices  $x, u, v, w$  in order such that all of  $u, v, w$  are bad. Furthermore, if  $H$  contains a path with vertices  $x, u, v, w, y$  in order such that all of  $u, v, w$  are bad, then both  $x$  and  $y$  are  $3_1$ -vertices in  $H$ .*

**Proof.** Let  $P$  be a path in  $H$  with vertices  $x, u, v, w, y$  in order such that all of  $u, v, w$  are bad, where  $x$  and  $y$  may be the same. Since all of  $u, v, w$  are bad, by the definition of  $H$ ,  $uw \notin E(G)$ . By Lemma 2.1(b,c,e) applied to the vertex  $v$ ,  $d_G(v) = 2$ . By Lemma 2.2(b) applied to  $v$ ,  $d_G(u) = d_G(w) = 3$ . Thus both  $w'$  and  $u'$  exist. Now by Lemma 2.1(c) applied to  $u'$  and  $w'$ ,  $d_H(x) = d_H(y) = 3$ , and  $x \neq y$ . This proves that  $H$  has no 4-cycle with vertices  $x, u, v, w$  in order such that all of  $u, v, w$  are bad.

We next show that both  $x$  and  $y$  are  $3_1$ -vertices in  $H$ . Suppose that one of  $x$  and  $y$ , say  $y$ , is not a  $3_1$ -vertex in  $H$ . Then  $y$  is either a  $3_2$ -vertex or  $3_3$ -vertex in  $H$ . By Lemma 2.4,  $|N_H(y)| = 3$ . Let  $N_H(y) = \{w, y_1, y_2\}$  with  $d_H(y_1) = 2$ . Then  $y_1 \neq u$ , otherwise  $H$  would have a 4-cycle with vertices  $y, u, v, w$  in order such that all of  $u, v, w$  are bad. Note that  $y_2$  and  $x$  are not necessarily distinct. By Lemma 2.3, let  $r$  be the other neighbor of  $y_1$  in  $H$ . Since  $G$  is 5-critical, let  $c : E(G \setminus \{v, u', w'\}) \rightarrow [5]$  be any star 5-edge-coloring of  $G \setminus \{v, u', w'\}$ . We may assume that  $c(wy) = 3$ ,  $c(yy_1) = 1$  and  $c(yy_2) = 2$ . We first color  $uv$  by a color  $\alpha$  in  $[5] \setminus (c(x) \cup \{3\})$  and  $uu'$  by a color  $\beta$  in  $[5] \setminus (c(x) \cup \{\alpha\})$ . Then  $3 \in c(y_1) \cap c(y_2)$ , otherwise, we may assume that  $3 \notin c(y_i)$  for some  $i \in \{1, 2\}$ , now coloring  $vw$  by a color  $\gamma$  in  $\{i, 4, 5\} \setminus \alpha$  and  $ww'$  by a color in  $\{i, 4, 5\} \setminus \{\alpha, \gamma\}$  yields a star 5-edge-coloring of  $G$ , a contradiction. It follows that  $4, 5 \in c(y_1) \cup c(y_2)$ , otherwise, say  $\theta \in \{4, 5\}$  is not in  $c(y_1) \cup c(y_2)$ , now recoloring  $wy$  by color  $\theta$ ,  $uv$  by a color  $\alpha'$  in  $\{\alpha, \beta\} \setminus \theta$ ,  $uu'$  by  $\{\alpha, \beta\} \setminus \alpha'$ , and then coloring  $ww'$  by a color in  $\{1, 2\} \setminus \alpha'$  and  $vw$  by a color in  $\{3, 9 - \theta\} \setminus \alpha'$ , we obtain a star 5-edge-coloring of  $G$ , a contradiction. Thus  $c(y_1) = \{1, 3, \theta\}$  and  $c(y_2) = \{2, 3, 9 - \theta\}$ , where  $\theta \in \{4, 5\}$ . If  $c(y_1y'_1) \neq 3$  or  $c(y_1r) = \theta$  and  $1 \notin c(r)$ , then we obtain a star 5-edge-coloring of  $G$  by recoloring  $wy$  by color  $\theta$ ,  $uv$  by a color  $\alpha'$  in  $\{\alpha, \beta\} \setminus \theta$ ,  $uu'$  by  $\{\alpha, \beta\} \setminus \alpha'$ , and then coloring  $ww'$  by a color  $\gamma$  in  $\{2, 3, 9 - \theta\} \setminus \alpha'$ , and  $vw$  by a color in  $\{2, 3, 9 - \theta\} \setminus \{\alpha', \gamma\}$ . Therefore,  $c(y_1y'_1) = 3$  and  $1 \in c(r)$ . Now recoloring  $y_1y'_1$  by a color in  $\{2, 9 - \theta\} \setminus c(r)$ , we obtain a star 5-edge-coloring  $c$  of  $G \setminus \{v, u', w'\}$  satisfying  $c(wy) = 3$ ,  $c(yy_1) = 1$  and  $c(yy_2) = 2$  but  $3 \notin c(y_1) \cap c(y_2)$ , a contradiction. Consequently, each of  $x$  and  $y$  must be a  $3_1$ -vertex in  $H$ . This completes the proof of Lemma 2.6. ■

**Lemma 2.7** *For any  $3_3$ -vertex  $u$  in  $H$ , no vertex in  $N_H(u)$  is bad.*

**Proof.** Let  $N_H(u) = \{x, y, z\}$  with  $d_H(x) = d_H(y) = d_H(z) = 2$ . By Lemma 2.4,  $u, x, y, z$  are all distinct. By Lemma 2.3, let  $x_1, y_1$  and  $z_1$  be the other neighbors of  $x, y, z$  in  $H$ , respectively. Suppose that some vertex, say  $x$ , in  $N_H(u)$  is bad. Then  $d_H(x_1) = 2$ . By Lemma 2.3, let  $w$  be the other neighbor of  $x_1$  in  $H$ . By Lemma 2.5 and Lemma 2.6,  $N_H(u)$  is an independent set and  $x_1 \notin \{y, z, y_1, z_1\}$ . Notice that  $y_1, z_1$  and  $w$  are not necessarily distinct. Let  $A := \{x\}$  when  $d_G(x_1) = 2$  and  $A := \{x, x'_1\}$  when  $d_G(x_1) = 3$ . Let  $c : E(G \setminus A) \rightarrow [5]$  be any star 5-edge-coloring of  $G \setminus A$ . We may assume that  $c(uy) = 1$  and  $c(uz) = 2$ . We next prove that

(\*)  $1 \in c(y_1)$  and  $2 \in c(z_1)$ .

Suppose that  $1 \notin c(y_1)$  or  $2 \notin c(z_1)$ , say the former. If  $c(w) \cup \{1, 2\} \neq [5]$ , then we obtain a star 5-edge-coloring of  $G$  from  $c$  by coloring the remaining edges of  $G$  as follows (we only consider the worst scenario when both  $x'$  and  $x'_1$  exist): color the edge  $xx_1$  by a color  $\alpha$  in  $[5] \setminus (c(w) \cup \{1, 2\})$ ,  $x_1x'_1$  by a color  $\beta$  in  $[5] \setminus (c(w) \cup \{\alpha\})$ ,  $ux$  by a color  $\gamma$  in  $[5] \setminus \{1, 2, \alpha, c(zz_1)\}$  and  $xx'$  by a color in  $[5] \setminus \{1, 2, \alpha, \gamma\}$ , a contradiction. Thus  $c(w) \cup \{1, 2\} = [5]$ . Then  $c(w) = \{3, 4, 5\}$ . We may assume that  $c(x_1w) = 3$ . If  $c(z) \cup \{1, 3\} \neq [5]$ , then  $\{4, 5\} \setminus c(z) \neq \emptyset$  and we obtain a star 5-edge-coloring of  $G$  from  $c$  by coloring the edge  $xx_1$  by color 2,  $x_1x'_1$  by color 1,  $ux$  by a color  $\alpha$  in  $\{4, 5\} \setminus c(z)$  and  $xx'$  by a color in  $\{4, 5\} \setminus \alpha$ , a contradiction. Thus  $c(z) \cup \{1, 3\} = [5]$  and so  $c(z) = \{2, 4, 5\}$ . In particular,  $z'$  must exist. We again obtain a star 5-edge-coloring of  $G$  from  $c$  by coloring  $ux, xx', xx_1, x_1x'_1$  by colors 3,  $c(zz_1), 2, 1$  in order and then recoloring  $uz, zz'$  by colors  $c(zz'), 2$  in order, a contradiction. Thus  $1 \in c(y_1)$  and  $2 \in c(z_1)$ . This proves (\*).

By (\*),  $1 \in c(y_1)$  and  $2 \in c(z_1)$ . Then  $y_1 \neq z_1$ , and  $c(yy_1), c(zz_1) \notin \{1, 2\}$ . We may further assume that  $c(zz_1) = 3$ . Let  $\alpha, \beta \notin c(z_1)$  and let  $\gamma, \lambda \notin c(y_1)$ , where  $\alpha, \beta, \gamma, \lambda \in [5]$ . Since  $\alpha, \beta \notin c(z_1)$ , we may assume that  $c(yy_1) \neq \alpha$ . We may further assume that  $\gamma \neq \alpha$ . If  $\lambda \neq \alpha$  or  $\gamma \notin \{3, \beta\}$ , then we obtain a star 5-edge-coloring, say  $c'$ , of  $G \setminus A$  from  $c$  by recoloring the edges  $uz, zz', uy, yy'$  by colors  $\alpha, \beta, \gamma, \lambda$ , respectively. Then  $c'$  is a star 5-edge-coloring of  $G \setminus A$  with  $c'(uz) \notin c'(z_1)$ , contrary to (\*). Thus  $\lambda = \alpha$  and  $\gamma \in \{3, \beta\}$ . By (\*),  $1 \in c(y_1)$  and so  $\alpha = \lambda \neq 1$  and  $\gamma \neq 1$ . Let  $c'$  be obtained from  $c$  by recoloring the edges  $uz, zz', yy'$  by colors  $\alpha, \beta, \gamma$ , respectively. Then  $c'$  is a star 5-edge-coloring of  $G \setminus A$  with  $c'(uz) \notin c'(z_1)$ , which again contradicts (\*).

This completes the proof of Lemma 2.7. ■

**Lemma 2.8** *For any 3-vertex  $u$  in  $H$  with  $N_H(u) = \{x, y, z\}$ , if both  $x$  and  $y$  are bad, then  $zx_1, zy_1 \notin E(H)$ , and  $z$  must be a 3<sub>0</sub>-vertex in  $H$ , where  $x_1$  and  $y_1$  are the other neighbors of  $x$  and  $y$  in  $H$ , respectively.*



**Proof.** Let  $u, x, y, z, x_1, y_1$  be given as in the statement. Since  $d_H(x) = d_H(y) = 2$ , by Lemma 2.4,  $u, x, y, z$  are all distinct. By Lemma 2.7,  $d_H(z) = 3$ . Clearly, both  $x_1$  and  $y_1$  are bad and so  $z \neq x_1, y_1$ . By Lemma 2.5,  $xy \notin E(G)$  and so  $N_H(u)$  is an independent set in  $H$ . By Lemma 2.6,  $x_1 \neq y_1$ . It follows that  $u, x, y, z, x_1, y_1$  are all distinct. We first show that  $zx_1, zy_1 \notin E(H)$ . Suppose that  $zx_1 \in E(H)$  or  $zy_1 \in E(H)$ , say the latter. Then  $zy_1$  is not a multiple edge because  $d_H(y_1) = 2$ . Let  $z_1$  be the third neighbor of  $z$  in  $H$ . By Lemma 2.3, let  $v$  be the other neighbor of  $x_1$  in  $H$ . Then  $v \neq y_1$ . Notice that  $x_1$  and  $z_1$  are not necessarily distinct. Let  $A = \{u, x, y, y_1, x'_1\}$ . Since  $G$  is 5-critical, let  $c : E(G \setminus A) \rightarrow [5]$  be any star 5-edge-coloring of  $G \setminus A$ . We may assume that  $1, 2 \notin c(z_1)$  and  $c(zz_1) = 3$ . Let  $\alpha \in [5] \setminus (c(v) \cup \{1\})$  and  $\beta \in [5] \setminus (c(v) \cup \{\alpha\})$ . Then we obtain a star 5-edge-coloring of  $G$  from  $c$  by first coloring the edges  $uz, zy_1, xx_1, x_1x'_1$  by colors  $1, 2, \alpha, \beta$  in order, and then coloring  $ux$  by a color  $\gamma$  in  $[5] \setminus \{1, \alpha, \beta, c(x_1v)\}$ ,  $xx'$  by a color in  $[5] \setminus \{1, \alpha, \gamma, c(x_1v)\}$ ,  $uy$  by a color  $\theta$  in  $[5] \setminus \{1, 2, 3, \gamma\}$ ,  $yy_1$  by a color  $\mu$  in  $[5] \setminus \{1, 2, \gamma, \theta\}$ ,  $yy'$  by a color in  $[5] \setminus \{2, \gamma, \theta, \mu\}$ ,  $y_1y'_1$  by a color in  $[5] \setminus \{1, 2, \mu\}$ , a contradiction. This proves that  $zx_1, zy_1 \notin E(H)$ .

It remains to show that  $z$  must be a  $3_0$ -vertex in  $H$ . Suppose that  $z$  is not a  $3_0$ -vertex in  $H$ . Since  $d_H(u) = 3$ , we see that  $z$  is either a  $3_1$ -vertex or a  $3_2$ -vertex in  $H$ . Let  $N_H(z) = \{u, s, t\}$  with  $d_H(s) = 2$ . By Lemma 2.3 applied to the vertex  $s$ ,  $s \neq t$ . Since  $zx_1, zy_1 \notin E(H)$ , we see that  $x_1, y_1, s, t$  are all distinct. By Lemma 2.3, let  $v, w, r$  be the other neighbor of  $x_1, y_1, s$  in  $H$ , respectively. Note that  $r, t, v, w$  are not necessarily distinct. By Lemma 2.6, both  $v$  and  $w$  must be 3-vertices in  $H$ . We next prove that

(a) if  $x'$  or  $y'$  exists, then for any star 5-edge-coloring  $c^*$  of  $G \setminus \{x', y'\}$ ,  $c^*(xx_1) \in c^*(v)$  or  $c^*(yy_1) \in c^*(w)$ .

To see why (a) is true, suppose that there exists a star 5-edge-coloring  $c^* : E(G \setminus \{x', y'\}) \rightarrow [5]$  such that  $c^*(xx_1) \notin c^*(v)$  and  $c^*(yy_1) \notin c^*(w)$ . Then we obtain a star 5-edge-coloring of  $G$  from  $c^*$  by coloring  $xx'$  by a color in  $[5] \setminus (\{c^*(xx_1)\} \cup c^*(u))$  and  $yy'$  by a color in  $[5] \setminus (\{c^*(yy_1)\} \cup c^*(u))$ , a contradiction. This proves (a).

Let  $A$  be the set containing  $x, y$  and the 1-neighbor of each of  $x_1, y_1$  in  $G$  if it exists. Since  $G$  is 5-critical, let  $c_1 : E(G \setminus A) \rightarrow [5]$  be any star 5-edge-coloring of  $G \setminus A$ . Let  $c$  be a star 5-edge-coloring of  $G \setminus \{x, x', y, y_1\}$  obtained from  $c_1$  by coloring  $yy_1$  by a color  $\alpha$  in  $[5] \setminus (c_1(w) \cup \{c_1(uz)\})$ ,  $uy$  by a color in  $[5] \setminus (c_1(z) \cup \{\alpha\})$ , and  $y_1y'_1$  by a color  $\beta$  in  $[5] \setminus (c_1(w) \cup \{\alpha\})$ . We may assume that  $c(uz) = 1$ ,  $c(zs) = 2$  and  $c(zt) = 3$ . By the choice of  $c(uy)$ , we may further assume that  $c(uy) = 4$ . We next obtain a contradiction by extending  $c$  to be a star 5-edge-coloring of  $G$  (when neither of  $x'$  and  $y'$  exists) or a star 5-edge-coloring of  $G \setminus \{x', y'\}$  (when  $x'$  or  $y'$  exists) which violates (a). We consider the worst scenario when  $x'$  and  $y'$  exist. We first prove two claims.



**Claim 1:**  $\beta = 4$  or  $c(y_1w) = 4$ .

**Proof.** Suppose that  $\beta \neq 4$  and  $c(y_1w) \neq 4$ . We next show that  $c(v) \cup \{1, 4\} \neq [5]$ . Suppose that  $c(v) \cup \{1, 4\} = [5]$ . Then  $c(v) = \{2, 3, 5\}$ . Clearly,  $c(x_1v) = 5$ , otherwise, coloring  $ux, xx_1, x_1x'_1$  by colors 5, 1, 4 in order, we obtain a star 5-edge-coloring of  $G \setminus \{x', y'\}$  which violates (a), a contradiction. We see that  $1 \in c(s) \cap c(t)$ , otherwise, we may assume that  $1 \notin c(s)$ , we obtain a star 5-edge-coloring of  $G \setminus \{x', y'\}$  which violates (a) as follows: when  $\alpha \neq 2$ , color  $ux, xx_1, x_1x'_1$  by colors 2, 4, 1 in order; when  $\alpha = 2$ , first color  $ux, xx_1, x_1x'_1$  by colors 2, 4, 1 in order and then recolor  $yy_1, y_1y'_1$  by colors  $\beta, 2$  in order. It follows that  $4, 5 \in c(s) \cup c(t)$ , otherwise, say  $\theta \in \{4, 5\}$  is not in  $c(s) \cup c(t)$ , let  $\alpha' \in \{2, 3\} \setminus \alpha$ , now either coloring  $ux, xx_1, x_1x'_1$  by colors  $\alpha', 4, 1$  in order and then recoloring  $uz$  by color 5 when  $\theta = 5$ ; or coloring  $ux, xx_1, x_1x'_1$  by colors  $\alpha', 1, 4$  in order and then recoloring  $uz, uy$  by colors 4, 1 in order when  $\theta = 4$ , we obtain a star 5-edge-coloring of  $G \setminus \{x', y'\}$  which violates (a). Thus  $c(s) = \{1, 2, \theta\}$  and  $c(t) = \{1, 3, 9 - \theta\}$ , where  $\theta \in \{4, 5\}$ . If  $c(ss') = \theta$  or  $c(sr) = \theta$  and  $2 \notin c(r)$ , then we obtain a star 5-edge-coloring of  $G \setminus \{x', y'\}$  (which violates (a)) as follows: when  $\theta = 5$ , color  $ux, xx_1, x_1x'_1$  by colors 3, 1, 4 in order and then recolor  $uz$  by color 5; when  $\theta = 4$  and  $\alpha \in \{2, 5\}$ , first color  $ux, xx_1, x_1x'_1$  by colors 3, 1, 4 in order, and then recolor  $uz, uy$  by colors 4, 1 in order; when  $\theta = 4$  and  $\alpha = 3$  and  $\beta \neq 5$ , color  $ux, xx_1, x_1x'_1$  by colors 5, 1, 4 in order and then recolor  $uz, uy, yy_1, y_1y'_1$  by colors 4, 3,  $\beta, 3$  in order; when  $\theta = 4$  and  $\alpha = 3$  and  $\beta = 5$ , color  $ux, xx_1, x_1x'_1$  by colors 3, 1, 4 in order and then recolor  $uz, uy, yy_1, y_1y'_1$  by colors 4, 1, 5, 3 in order. Thus  $c(ss') = 1$ ,  $c(sr) = \theta$  and  $2 \in c(r)$ . Now recoloring the edge  $ss'$  by a color in  $\{3, 9 - \theta\} \setminus c(r)$  yields a star 5-edge-coloring  $c$  of  $G \setminus \{x, x', y', x'_1\}$  satisfying  $\beta \neq 4$ ,  $c(y_1w) \neq 4$ ,  $c(v) \cup \{1, 4\} = [5]$  and  $c(x_1v) = 5$  but  $1 \notin c(s) \cap c(t)$ , a contradiction. This proves that  $c(v) \cup \{1, 4\} \neq [5]$ .

Since  $c(v) \cup \{1, 4\} \neq [5]$ , we see that  $[5] \setminus (c(v) \cup \{1, 4\}) = \{5\}$ , otherwise, coloring  $ux$  by color 5,  $xx_1$  by a color  $\gamma$  in  $[5] \setminus (c(v) \cup \{1, 4, 5\})$ , and  $x_1x'_1$  by a color in  $[5] \setminus (c(v) \cup \gamma)$ , we obtain a star 5-edge-coloring of  $G \setminus \{x', y'\}$  which violates (a). Clearly,  $2, 3 \in c(v)$  and  $\{1, 4\} \setminus c(v) \neq \emptyset$ . Let  $\gamma \in \{1, 4\} \setminus c(v)$  and  $\alpha' \in \{2, 3\} \setminus \alpha$ . Then  $1 \in c(s) \cap c(t)$ , otherwise, we may assume that  $1 \notin c(s)$ , now coloring  $ux, xx_1, x_1x'_1$  by colors 2, 5,  $\gamma$  in order yields a star 5-edge-coloring of  $G \setminus \{x', y'\}$  which violates (a). It follows that  $4, 5 \in c(s) \cup c(t)$ , otherwise, say  $\theta \in \{4, 5\}$  is not in  $c(s) \cup c(t)$ , first recoloring  $uz$  by color  $\theta$  and then either coloring  $ux, xx_1, x_1x'_1$  by colors  $\alpha', 5, \gamma$  in order and then recoloring  $uy$  by color 1 when  $\theta = 4$ ; or coloring  $ux, xx_1, x_1x'_1$  by colors  $\alpha', 1, 5$  in order when  $\theta = 5$  and  $\gamma = 1$ ; or coloring  $ux, xx_1, x_1x'_1$  by colors 1, 4, 5 in order when  $\theta = 5$ ,  $\gamma = 4$  and  $c(x_1v) \neq 1$ ; or coloring  $ux, xx_1, x_1x'_1$  by colors  $\alpha', 4, 5$  in order when  $\theta = 5$ ,  $\gamma = 4$  and  $c(x_1v) = 1$ , we obtain a star 5-edge-coloring of  $G \setminus \{x', y'\}$  which violates (a). Thus  $c(s) = \{1, 2, \theta\}$  and  $c(t) = \{1, 3, 9 - \theta\}$ , where  $\theta \in \{4, 5\}$ . If  $c(ss') = \theta$  or  $c(sr) = \theta$  and  $2 \notin c(r)$ , then we obtain a star 5-edge-coloring of  $G \setminus \{x', y'\}$

(which violates (a)) as follows: when  $\theta = 5$  and  $\gamma = 1$ , color  $ux, xx_1, x_1x'_1$  by colors 3, 1, 5 in order and then recolor  $uz$  by colors 5; when  $\theta = 5$ ,  $\gamma = 4$  and  $c(x_1v) \neq 1$ , color  $ux, xx_1, x_1x'_1$  by color 1, 4, 5 in order and then recolor  $uz$  by colors 5; when  $\theta = 5$ ,  $\gamma = 4$  and  $c(x_1v) = 1$ , color  $ux, xx_1, x_1x'_1$  by color 3, 4, 5 in order and then recolor  $uz$  by colors 5 (and further recolor  $yy_1$  by  $\beta$  and  $y_1y'_1$  by  $\alpha$  when  $\alpha = 3$ ); when  $\theta = 4$  and  $\beta \neq 1$ , color  $ux, xx_1, x_1x'_1$  by color 3, 5,  $\gamma$  in order and then recolor  $uz, uy$  by colors 4, 1 in order, and finally recolor  $yy_1$  by a color  $\beta' \in \{\alpha, \beta\} \setminus 3$  and  $y_1y'_1$  by a color in  $\{\alpha, \beta\} \setminus \beta'$ ; when  $\theta = 4$ ,  $\beta = 1$  and  $\gamma = 1$ , color  $ux, xx_1, x_1x'_1$  by color 5, 1, 5 in order and then recolor  $uz, uy, yy_1, y_1y'_1$  by colors 4, 3, 1,  $\alpha$  in order; when  $\theta = 4$ ,  $\beta = 1$ ,  $\gamma = 4$  and  $\alpha \neq 3$ , color  $ux, xx_1, x_1x'_1$  by color 3, 5, 4 in order and then recolor  $uz, uy$  by colors 4, 1 in order; when  $\theta = 4$ ,  $\beta = 1$ ,  $\gamma = 4$  and  $\alpha = 3$ , let  $\gamma' \in \{1, 3\} \setminus c(x_1v)$ , color  $ux, xx_1, x_1x'_1$  by color  $\gamma', 5, 4$  in order and then recolor  $uz$  by color 4,  $uy$  by color 5,  $yy_1$  by a color  $\beta'$  in  $\{1, 3\} \setminus \gamma'$  and  $y_1y'_1$  by a color in  $\{1, 3\} \setminus \beta'$ . Thus  $c(ss') = 1$ ,  $c(sr) = \theta$  and  $2 \in c(r)$ . Now recoloring the edge  $ss'$  by a color in  $\{3, 9 - \theta\} \setminus c(r)$  yields a star 5-edge-coloring  $c$  of  $G \setminus \{x, x', y', x'_1\}$  satisfying  $\beta \neq 4$ ,  $c(y_1w) \neq 4$  and  $[5] \setminus (c(v) \cup \{1, 4\}) = \{5\}$  but  $1 \notin c(s) \cap c(t)$ , a contradiction. This completes the proof of Claim 1.  $\blacksquare$

**Claim 2:**  $\beta = 4$ .

Suppose that  $\beta \neq 4$ . By Claim 1,  $c(y_1w) = 4$ . We first consider the case when  $c(w) = \{2, 3, 4\}$ . Then  $\alpha = 5$  and  $\beta = 1$ . We claim that  $c(v) \cup \{1, 4\} \neq [5]$ . Suppose that  $c(v) \cup \{1, 4\} = [5]$ . Then  $c(v) = \{2, 3, 5\}$ . Clearly,  $1 \in c(s) \cap c(t)$ , otherwise, we may assume that  $1 \notin c(s)$ , now coloring  $ux, xx_1, x_1x'_1$  by colors 5, 4, 1 in order and then recoloring  $uy$  by 2, we obtain a star 5-edge-coloring of  $G \setminus \{x', y'\}$  which violates (a). It follows that  $4, 5 \in c(s) \cup c(t)$ , otherwise, say  $\theta \in \{4, 5\}$  is not in  $c(s) \cup c(t)$ , now coloring  $ux, xx_1, x_1x'_1$  by colors 3, 1, 4 in order and then recoloring  $uz, uy, yy_1, y_1y'_1$  by colors  $\theta, 2, 1, 5$  in order we obtain a star 5-edge-coloring of  $G \setminus \{x', y'\}$  which violates (a). Thus  $c(s) = \{1, 2, \theta\}$  and  $c(t) = \{1, 3, 9 - \theta\}$ , where  $\theta \in \{4, 5\}$ . If  $c(ss') = \theta$  or  $c(sr) = \theta$  and  $2 \notin c(r)$ , then coloring  $ux, xx_1, x_1x'_1$  by colors 3, 1, 4 in order and then recoloring  $uz, uy, yy_1, y_1y'_1$  by colors  $\theta, 9 - \theta, 1, 5$  in order yields a star 5-edge-coloring of  $G \setminus \{x', y'\}$  which violates (a). Thus  $c(ss') = 1$ ,  $c(sr) = \theta$  and  $2 \in c(r)$ . Now recoloring the edge  $ss'$  by a color in  $\{3, 9 - \theta\} \setminus c(r)$  yields a star 5-edge-coloring  $c$  of  $G \setminus \{x, x', y', x'_1\}$  satisfying  $\alpha = 5$ ,  $\beta = 1$ ,  $c(y_1w) = 4$  and  $c(v) \cup \{1, 4\} = [5]$  but  $1 \notin c(s) \cap c(t)$ , a contradiction. This proves that  $c(v) \cup \{1, 4\} \neq [5]$ . Let  $\eta = 5$  when  $5 \notin c(v)$  or  $\eta \in \{2, 3\} \setminus c(v)$  when  $5 \in c(v)$ . Let  $\mu \in [5] \setminus (c(v) \cup \{\eta\})$ . By Claim 1 and the symmetry between  $x$  and  $y$ , either  $4 \notin c(v)$  or  $5 \notin c(v)$ . We see that  $\mu = 4$  when  $\eta \neq 5$ . Then  $1 \in c(s) \cap c(t)$ , otherwise, we may assume  $1 \notin c(s)$ , we obtain a star 5-edge-coloring of  $G \setminus \{x', y'\}$  (which violates (a)) as follows: when  $\eta \neq 2$ , color  $ux, xx_1, x_1x'_1$  by colors 2,  $\eta, \mu$  in order; when  $\eta = 2$ , then  $\mu = 4$ , first recolor  $uy$  by color 2 and then

color  $ux, xx_1, x_1x'_1$  by colors 5, 4, 2 in order. It follows that  $4, 5 \in c(s) \cup c(t)$ , otherwise, say  $\theta \in \{4, 5\}$  is not in  $c(s) \cup c(t)$ , now first recoloring  $uz, yy_1, y_1y'_1$  by colors  $\theta, 1, 5$  in order, and then coloring  $xx_1, x_1x'_1$  by colors  $\eta, \mu$  in order,  $ux$  by a color  $\gamma$  in  $[5] \setminus \{\mu, \eta, \theta, c(x_1v)\}$ , and finally coloring  $uy$  either by a color in  $\{2, 3\} \setminus \eta$  when  $\gamma = 1$  or by a color in  $\{2, 3\} \setminus \gamma$  when  $\gamma \neq 1$ , we obtain a star 5-edge-coloring of  $G \setminus \{x', y'\}$  which violates (a). Thus  $c(s) = \{1, 2, \theta\}$  and  $c(t) = \{1, 3, 9 - \theta\}$ , where  $\theta \in \{4, 5\}$ . If  $c(ss') = \theta$  or  $c(sr) = \theta$  and  $2 \notin c(r)$ , we obtain a star 5-edge-coloring of  $G \setminus \{x', y'\}$  (which violates (a)) as follows: when  $\theta = 4$  and  $\eta = 5$ , color  $ux, xx_1, x_1x'_1$  by colors 3, 5,  $\mu$  in order and then recolor  $uz, uy$  by colors 4, 1 in order; when  $\theta = 4$  and  $\eta \in \{2, 3\}$ , then  $\mu = 4$ , first recolor  $uz, uy$  by colors 4, 3 in order and then color  $xx_1, x_1x'_1$  by colors  $\eta, 4$  in order and finally color  $ux$  by a color  $\gamma$  in  $\{1, 5\} \setminus c(x_1v)$ ,  $yy_1$  by a color  $\lambda$  in  $\{1, 5\} \setminus \gamma$ , and  $y_1y'_1$  by a color in  $\{1, 5\} \setminus \lambda$ ; when  $\theta = 5$  and  $\eta \in \{2, 3\}$ , then  $\mu = 4$ , color  $ux, xx_1, x_1x'_1$  by colors 1, 4,  $\eta$  in order and then recolor  $uz, uy, yy_1, y_1y'_1$  by colors 5, 3, 1, 5 in order; when  $\theta = 5$ ,  $\eta = 5$  and  $\mu \neq 3$ , color  $ux, xx_1, x_1x'_1$  by colors 1,  $\mu, 5$  in order and then recolor  $uz, uy, yy_1, y_1y'_1$  by colors 5, 3, 1, 5 in order; when  $\theta = 5$ ,  $\eta = 5$  and  $\mu = 3$ , first recolor  $uz, uy, yy_1, y_1y'_1$  by colors 5, 3, 1, 5 in order, then color  $xx_1, x_1x'_1$  by colors 5, 3 in order and finally color  $ux$  by a color in  $\{1, 4\} \setminus c(x_1v)$ . Thus  $c(ss') = 1$ ,  $c(sr) = \theta$  and  $2 \in c(r)$ . Now recoloring the edge  $ss'$  by a color in  $\{3, 9 - \theta\} \setminus c(r)$  yields a star 5-edge-coloring  $c$  of  $G \setminus \{x, x', y', x'_1\}$  satisfying  $\alpha = 5$ ,  $\beta = 1$ ,  $c(z) = \{1, 2, 3\}$ ,  $c(uy) = c(y_1w) = 4$  and  $c(v) \cup \{1, 4\} \neq [5]$  but  $1 \notin c(s) \cap c(t)$ , a contradiction.

We next consider the case when  $c(w) \neq \{2, 3, 4\}$ . If  $\alpha, \beta \neq 5$ , then recoloring  $uy$  by color 5 yields a star 5-edge-coloring  $c$  of  $G \setminus \{x, x', y', x'_1\}$  with  $c(uy) \neq c(y_1y'_1), c(y_1w)$ , contrary to Claim 1. Thus either  $\alpha = 5$  or  $\beta = 5$ . Then  $1 \in c(w)$  because  $c(w) \neq \{2, 3, 4\}$  and  $|c(w)| = 3$ . It follows that  $\alpha, \beta \in \{2, 3, 5\}$  and  $5 \in \{\alpha, \beta\}$ . We may assume that  $\alpha \in \{2, 3\}$  and  $\beta = 5$  by permuting the colors on  $yy_1$  and  $y_1y'_1$  if needed. Then  $4, 5 \in c(s) \cup c(t)$ , otherwise, say  $\theta \in \{4, 5\}$  is not in  $c(s) \cup c(t)$ , we obtain a star 5-edge-coloring  $c$  of  $G \setminus \{x, x', y', x'_1\}$  which contradicts Claim 1 by recoloring  $uz, uy$  by colors  $\theta, 1$  in order. Let  $\alpha' \in \{2, 3\} \setminus \alpha$ . We next show that  $c(ss') = 1$ ,  $c(sr) = \theta$  and  $2 \in c(r)$ .

Suppose first that  $c(v) \cup \{1, 4\} = [5]$ . Then  $c(v) = \{2, 3, 5\}$ . We see that  $c(x_1v) = 5$ , otherwise, coloring  $ux, xx_1, x_1x'_1$  by colors 5, 1, 4 in order, we obtain a star 5-edge-coloring of  $G \setminus \{x', y'\}$  which violates (a). Clearly,  $1 \in c(s) \cap c(t)$ , otherwise, we may assume that  $1 \notin c(s)$ , now coloring  $ux, xx_1, x_1x'_1$  by colors 2, 4, 1 in order and then recoloring  $yy_1, y_1y'_1$  by colors 5,  $\alpha$ , we obtain a star 5-edge-coloring of  $G \setminus \{x', y'\}$  which violates (a). Since  $4, 5 \in c(s) \cup c(t)$ , we see that  $c(s) = \{1, 2, \theta\}$  and  $c(t) = \{1, 3, 9 - \theta\}$ , where  $\theta \in \{4, 5\}$ . If  $c(ss') = \theta$  or  $c(sr) = \theta$  and  $2 \notin c(r)$ , then recoloring  $uz, uy$  by colors  $\theta, 1$  in order yields a star 5-edge-coloring  $c$  of  $G \setminus \{x, x', y', x'_1\}$  with  $c(uy) \neq c(y_1y'_1), c(y_1w)$ , contrary to Claim 1. Thus  $c(ss') = 1$ ,

$c(sr) = \theta$  and  $2 \in c(r)$ . Next suppose that  $c(v) \cup \{1, 4\} \neq [5]$ . Let  $\eta = 5$  when  $5 \notin c(v)$  or  $\eta \in \{2, 3\} \setminus c(v)$  when  $5 \in c(v)$ . Let  $\mu \in [5] \setminus (c(v) \cup \{\eta\})$ . By Claim 1 and the symmetry between  $x$  and  $y$ , either  $4 \notin c(v)$  or  $5 \notin c(v)$ . We see that  $\mu = 4$  when  $\eta \neq 5$ . Then  $1 \in c(s) \cap c(t)$ , otherwise, we may assume  $1 \notin c(s)$ , we obtain a star 5-edge-coloring of  $G \setminus \{x', y'\}$  (which violates (a)) as follows: when  $\eta = 5$ , color  $ux, xx_1, x_1x'_1$  by colors 4, 5,  $\mu$  in order and then recolor  $uy, yy_1, y_1y'_1$  by colors 2, 5,  $\alpha$  in order; when  $\eta \in \{2, 3\}$ , then  $\mu = 4$ , color  $ux, xx_1, x_1x'_1$  by colors 5,  $\eta$ , 4 in order. Since  $4, 5 \in c(s) \cup c(t)$ , we see that  $c(s) = \{1, 2, \theta\}$  and  $c(t) = \{1, 3, 9 - \theta\}$ , where  $\theta \in \{4, 5\}$ . If  $c(ss') = \theta$  or  $c(sr) = \theta$  and  $2 \notin c(r)$ , then recoloring  $uz, uy$  by colors  $\theta, 1$  in order yields a star 5-edge-coloring  $c$  of  $G \setminus \{x, x', y', x'_1\}$  with  $c(uy) \neq c(y_1y'_1), c(y_1w)$ , contrary to Claim 1. Thus  $c(ss') = 1, c(sr) = \theta$  and  $2 \in c(r)$ .

Now recoloring the edge  $ss'$  by a color in  $\{3, 9 - \theta\} \setminus c(r)$  yields a star 5-edge-coloring  $c$  of  $G \setminus \{x, x', y', x'_1\}$  satisfying  $\alpha \in \{2, 3\}, \beta = 5, c(y_1w) = 4$  and  $c(w) \neq \{2, 3, 4\}$  but  $1 \notin c(s) \cap c(t)$ , a contradiction. This completes the proof of Claim 2. ■

By Claim 2,  $\beta = 4$ . Suppose that  $\alpha \neq 5$ . Then  $\alpha \in \{2, 3\}$ . Note that  $\alpha \notin c(w) \cup \{1\}$ . Now recoloring  $uy$  by color 5, we obtain a star 5-edge-coloring  $c$  of  $G \setminus \{x, x', y', x'_1\}$  satisfying  $c(uz) = 1, c(zs) = 2$  and  $c(zt) = 3$  but  $\beta \neq c(uy)$ , contrary to Claim 2. Thus  $\alpha = 5$  and so  $c(w) = \{1, 2, 3\}$ . By the symmetry of  $x$  and  $y$ ,  $c(v) = \{1, 2, 3\}$ . Then  $1 \in c(s) \cap c(t)$ , otherwise, we may assume that  $1 \notin c(s)$ , now coloring  $ux, xx_1, x_1x'_1$  by colors 2, 5, 4 in order yields a star 5-edge-coloring of  $G \setminus \{x', y'\}$  which violates (a). It follows that  $4, 5 \in c(s) \cup c(t)$ , otherwise, say  $\theta \in \{4, 5\}$  is not in  $c(s) \cup c(t)$ , now first coloring  $ux, xx_1, x_1x'_1$  by colors 2,  $9 - \theta, \theta$  in order and then recoloring  $uz, uy, yy_1, y_1y'_1$  by colors  $\theta, 3, 9 - \theta, \theta$  in order, we obtain a star 5-edge-coloring of  $G \setminus \{x', y'\}$  which violates (a). Thus  $c(s) = \{1, 2, \theta\}$  and  $c(t) = \{1, 3, 9 - \theta\}$ , where  $\theta \in \{4, 5\}$ . If  $c(ss') = \theta$  or  $c(sr) = \theta$  and  $2 \notin c(r)$ , then we obtain a star 5-edge-coloring of  $G \setminus \{x', y'\}$  (which violates (a)) by coloring  $ux, xx_1, x_1x'_1$  by colors 1,  $9 - \theta, \theta$  in order, and then recoloring  $uz, uy, yy_1, y_1y'_1$  by colors  $\theta, 3, 9 - \theta, \theta$  in order. Thus  $c(ss') = 1$  and  $2 \in c(r)$ . Now recoloring  $ss'$  by a color in  $\{3, 9 - \theta\} \setminus c(r)$ , we obtain a star 5-edge-coloring  $c$  of  $G \setminus \{x, x', y', x'_1\}$  satisfying  $c(uz) = 1, c(zs) = 2, c(zt) = 3, \beta = 4$  and  $\alpha = 5$  but  $1 \notin c(s) \cap c(t)$ .

This completes the proof of Lemma 2.8. ■

### 3 Proof of Theorem 2.8

We are now ready to prove Theorem 2.8. Suppose the assertion is false. Let  $G$  be a subcubic multigraph with  $\text{mad}(G) < 12/5$  and  $\chi'_s(G) > 5$ . Among all counterexamples we choose  $G$  so

that  $|G|$  is minimum. By the choice of  $G$ ,  $G$  is connected, star 5-critical, and  $\text{mad}(G) < 12/5$ . For all  $i \in [3]$ , let  $A_i = \{v \in V(G) : d_G(v) = i\}$  and let  $n_i = |A_i|$  for all  $i \in [3]$ . Since  $\text{mad}(G) < 12/5$ , we see that  $3n_3 < 2n_2 + 7n_1$  and so  $A_1 \cup A_2 \neq \emptyset$ . By Lemma 2.1(a),  $A_1$  is an independent set in  $G$  and  $N_G(A_1) \subseteq A_3$ . Let  $H = G \setminus A_1$ . Then  $H$  is connected and  $\text{mad}(H) < 12/5$ . By Lemma 2.1(b),  $\delta(H) \geq 2$ . By Lemma 2.4, every  $3_2$ -vertex in  $H$  has three distinct neighbors in  $H$ . We say that a  $3_2$ -vertex in  $H$  is *bad* if both of its 2-neighbors are bad. A vertex  $u$  is a *good* (resp. *bad*) 2-neighbor of a vertex  $v$  in  $H$  if  $uv \in E(H)$  and  $u$  is a good (resp. bad) 2-vertex. By Lemma 2.8, every bad  $3_2$ -vertex in  $H$  has a unique  $3_0$ -neighbor. We now apply the discharging method to obtain a contradiction.

For each vertex  $v \in V(H)$ , let  $\omega(v) := d_H(v) - \frac{12}{5}$  be the initial charge of  $v$ . Then  $\sum_{v \in V(H)} \omega(v) = 2e(H) - \frac{12}{5}|H| = |H|(2e(H)/|H| - \frac{12}{5}) < 0$ . Notice that for each  $v \in V(H)$ ,  $\omega(v) = 2 - \frac{12}{5} = -\frac{2}{5}$  if  $d_H(v) = 2$ , and  $\omega(v) = 3 - \frac{12}{5} = \frac{3}{5}$  if  $d_H(v) = 3$ . We will redistribute the charges of vertices in  $H$  as follows.

- (R1): every bad  $3_2$ -vertex in  $H$  takes  $\frac{1}{5}$  from its unique  $3_0$ -neighbor.
- (R2): every  $3_1$ -vertex in  $H$  gives  $\frac{3}{5}$  to its unique 2-neighbor.
- (R3): every  $3_2$ -vertex in  $H$  gives  $\frac{1}{5}$  to each of its good 2-neighbors (possibly none) and  $\frac{2}{5}$  to each of its bad 2-neighbors (possibly none).
- (R4): every  $3_3$ -vertex in  $H$  gives  $\frac{1}{5}$  to each of its 2-neighbors.

Let  $\omega^*$  be the new charge of  $H$  after applying the above discharging rules in order. It suffices to show that  $\sum_{v \in V(H)} \omega^*(v) \geq 0$ . For any  $v \in V(H)$  with  $d_H(v) = 2$ , by Lemma 2.3,  $v$  has two distinct neighbors in  $H$ . If  $v$  is a good 2-vertex, then  $v$  takes at least  $\frac{1}{5}$  from each of its 3-neighbors under (R2), (R3) and (R4), and so  $\omega^*(v) \geq 0$ . Next, if  $v$  is a bad 2-vertex, let  $x, y$  be the two neighbors of  $v$  in  $H$ . We may assume that  $y$  is a bad 2-vertex. By Lemma 2.3, let  $z$  be the other neighbor of  $y$  in  $H$ . By Lemma 2.6, we may assume that  $d_H(x) = 3$ . By Lemma 2.7,  $x$  is either a  $3_1$ -vertex or a  $3_2$ -vertex in  $H$ . Under (R2) and (R3),  $v$  takes at least  $\frac{2}{5}$  from  $x$ . If  $d_H(z) = 3$ , then by a similar argument,  $y$  must take at least  $\frac{2}{5}$  from  $z$ . In this case,  $\omega^*(v) + \omega^*(y) \geq 0$ . If  $d_H(z) = 2$ , then  $z$  is bad. By Lemma 2.3, let  $w$  be the other neighbor of  $z$ . By Lemma 2.6, each of  $x$  and  $w$  must be a  $3_1$ -vertex in  $H$ . Under (R2),  $v$  takes  $\frac{3}{5}$  from  $x$  and  $z$  takes  $\frac{3}{5}$  from  $w$ . Hence,  $\omega^*(v) + \omega^*(y) + \omega^*(z) \geq 0$ .

For any  $v \in V(H)$  with  $d_H(v) = 3$ , if  $v$  is a bad  $3_2$ -vertex, then  $v$  has a unique  $3_0$ -neighbor by Lemma 2.8. Under (R1) and (R3),  $v$  first takes  $\frac{1}{5}$  from its unique  $3_0$ -neighbor and then gives  $\frac{2}{5}$  to each of its bad 2-neighbors, we see that  $\omega^*(v) \geq 0$ . If  $v$  is not a bad  $3_2$ -vertex, then  $v$  gives either nothing or one of  $\frac{1}{5}$ ,  $\frac{2}{5}$ , and  $\frac{3}{5}$  in total to its neighbors under (R1), (R2), (R3) and (R4). In either case,  $\omega^*(v) \geq 0$ . Consequently,  $\sum_{v \in V(H)} \omega^*(v) \geq 0$ , contrary to the fact that  $\sum_{v \in V(H)} \omega^*(v) = \sum_{v \in V(H)} \omega(v) < 0$ .

This completes the proof of Theorem 2.8. ■

### Acknowledgments.

Hui Lei and Yongtang Shi are partially supported by the National Natural Science Foundation of China and the Natural Science Foundation of Tianjin (No.17JCQNJC00300). Tao Wang is partially supported by the National Natural Science Foundation of China (11101125) and the Fundamental Research Funds for Universities in Henan (YQPY20140051).

Zi-Xia Song would like to thank Yongtang Shi and the Chern Institute of Mathematics at Nankai University for hospitality and support during her visit in May 2017.

### References

- [1] M. O. Albertson, G. G. Chappell, H. A. Kierstead, A. Kündgen and R. Ramamurthi, Coloring with no 2-colored  $P_4$ 's, *Electron. J. Combin.* 11 (2004), #R26.
- [2] Ľ. Bezegová, B. Lužar, M. Mockovčiaková, R. Soták and R. Škrekovski, Star edge coloring of some classes of graphs, *J. Graph Theory* 81 (1) (2016) 73–82.
- [3] O. V. Borodin, A. V. Kostochka, J. Nešetřil, A. Raspaud and E. Sopena, On the maximum average degree and the oriented chromatic number of a graph, *Discrete Math.* 206 (1999) 77–89.
- [4] Y. Bu, D. W. Cranston, M. Montassier, A. Raspaud and W. Wang, Star coloring of sparse graphs, *J Graph Theory* 62 (2009) 201–219.
- [5] M. Chen, A. Raspaud and W. Wang, 6-star-coloring of subcubic graphs, *J Graph Theory* 72 (2013) 128–145.
- [6] Z. Dvořák, B. Mohar and R. Šámal, Star chromatic index, *J Graph Theory* 72 (2013) 313–326.
- [7] S. Kerdjoudj, A. V. Kostochka and A. Raspaud, List star edge coloring of subcubic graphs, to appear in *Discuss. Math. Graph Theory*.
- [8] H. A. Kierstead and A. Kündgen, C. Timmons, Star coloring bipartite planar graphs, *J Graph Theory* 60 (2009) 1–10.
- [9] H. Lei, Y. Shi and Z-X. Song, Star chromatic index of subcubic multigraphs, to appear in *J. Graph Theory*.
- [10] X.-S. Liu and K. Deng, An upper bound on the star chromatic index of graphs with  $\Delta \geq 7$ , *J Lanzhou Univ (Nat Sci)* 44 (2008) 94–95.
- [11] J. Nešetřil and P. Ossona de Mendez, Colorings and homomorphisms of minor closed classes, *Algorithms and Combinatorics*, Vol. 25, Springer, Berlin, 2003, pp. 651–664.