Complete solution to a conjecture on Randić index^{*}

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Abstract

For a graph G, the Randić index R(G) of G is defined by $R(G) = \sum_{u,v} \frac{1}{\sqrt{d(u)d(v)}}$, where d(u) is the degree of a vertex u and the summation runs over all edges uv of G. Let G(k, n) be the set of connected simple graphs of order n with minimum degree k. Bollobás and Erdős once asked for finding the minimum value of the Randić index among the graphs in G(k, n). There have been many partial solutions for this question. In this paper we give a complete solution to the question.

Key words: simple graph; minimum degree; Randić index; minimum value

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1 Introduction

The Randić index R = R(G) of a graph G is defined as follows:

$$R = R(G) = \sum_{u,v} \frac{1}{\sqrt{d(u)d(v)}},$$
(1.1)

where d(u) denotes the degree of a vertex u and the summation runs over all edges uv of G. This topological index was first proposed by Randić [19] in 1975, suitable for measuring the extent of branching of the carbon-atom skeleton of saturated hydrocarbons. Randić himself demonstrated [19] that his index is well correlated with a variety of physico-chemical

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properties of alkanes. The R became one of the most popular molecular descriptors to which three books are devoted [10, 12, 13]. Initially, the Randić index was studied only by chemists [10, 11], but recently it attracted much attention also of mathematicians [13]. One of the mathematical questions asked in connection with R is which graphs in a given class of graphs have maximum and minimum R values [2]. Let G(k, n) be the set of connected simple graphs of order n with minimum degree k. In [6] Fajtlowitcz mentioned that Bollobás and Erdős asked for finding the minimum value of the Randić index among the graphs in G(k, n). The solution of such problem turned out to be difficult, and only a few partial results have been achieved so far. In [2] Bollobás and Erdős found that for a connected graph G

$$R(G) \ge \sqrt{n-1},\tag{1.2}$$

and the bound is tight if and only if G is a star. The problem for k = 2 was solved in [5], which gave a stronger result, say, if the minimum degree is greater or equal to 2, then

$$R(G) \ge \frac{2n-4}{\sqrt{2n-2}} + \frac{1}{n-1},\tag{1.3}$$

and the bound is tight if and only if $G = K_{2,n-2}^{\star}$ which arises from the complete bipartite graph $K_{2,n-2}$ by joining the vertices in the partite set with 2 vertices by a new edge. In these papers a graph theoretical approach has been used. In other papers [3, 4, 7, 8, 9], a linear programming and a quadratic programming technique [14] for finding extremal graphs has been used.

In [15] the problem was solved for k = 1 and k = 2, respectively, by using linear programming. Deforme, Favaron and Rautenbach [10] gave a conjecture about this problem. The conjecture in [5] is that the Randić index for graphs in G(k, n), where $1 \le k \le n - 2$, attains its minimum value for the graph $K_{k,n-k}^{\star}$ which arises from the complete bipartite graph $K_{k,n-k}$ by joining every pair of vertices in the partite set with k vertices by a new edge.

Conjecture 1 ([5]). Let G = (V, E) be a graph of order *n* with minimum degree *k*. Then

$$R(G) \ge \frac{k(n-k)}{\sqrt{k(n-1)}} + \binom{k}{2} \frac{1}{n-1}$$
(1.4)

where equality holds if and only if $G = K_{k,n-k}^{\star}$.

Using again linear programming, Pavlović [16] proved that Conjecture 1 holds when k = (n-1)/2 or k = n/2. See also [14] for further results proved by using quadratic programming.

Divnic and Pavlović [17] proved that Conjecture 1 holds when $k \leq n/2$ and $n_k \geq n-k$, where n_k denotes the number of vertices of degree k.

Recently in [1], however, Aouchiche and Hansen showed that Conjecture 1 does not hold in general and proposed a modified conjecture as follows.

Let the graph $\overline{G}_{n,p,k}$ be the complement of a graph $G_{n,p,k}$ composed of a (n-k-1)-regular graph on p vertices together with n - p isolated vertices. The minimal counterexample of Conjecture 1 is the graph $\overline{G}_{7,4,5}$, which was given in [1], see Figure 1.





Let

$$k_n = \begin{cases} \frac{n+2}{2} & \text{if } n \equiv 0 \pmod{4} \\ \frac{n+3}{2} & \text{if } n \equiv 1 \pmod{4} \\ \frac{n+4}{2} & \text{if } n \equiv 2 \pmod{4} \\ \frac{n+3}{2} & \text{if } n \equiv 3 \pmod{4} \end{cases} \qquad p = \begin{cases} \frac{n-2}{2} & \text{if } n \equiv 2 \pmod{4} \text{ and } k \text{ is even} \\ \lceil \frac{n}{2} \rceil & \text{if } n \equiv 3 \pmod{4} \\ \lfloor \frac{n}{2} \rfloor & \text{otherwise} \end{cases}$$
(1.5)

For such a graph $G = \overline{G}_{n,p,k}$,

$$R(G) = \frac{(n-p)(n-p-1)}{2(n-1)} + \frac{p(p+k-n)}{2k} + \frac{p(n-p)}{\sqrt{k(n-1)}}$$

Using these results, the authors of [1] gave the following Conjecture 2 as a modification of Conjecture 1.

Conjecture 2 ([1]). Let G = (V, E) be a graph of order *n* with minimum degree *k*, and k_n and p be given in (1.5). Then

$$R(G) \ge \begin{cases} \frac{k(k-1)}{2(n-1)} + \frac{k(n-k)}{\sqrt{k(n-1)}} & \text{if } k < k_n \\ \frac{(n-p)(n-p-1)}{2(n-1)} + \frac{p(p+k-n)}{2k} + \frac{p(n-p)}{\sqrt{k(n-1)}} & \text{if } k_n \le k \le n-2 \end{cases}$$

where equality holds if and only if G is $K_{k,n-k}^{\star}$ for $k < k_n$, and $\overline{G}_{n,p,k}$ for $k \ge k_n$.

In this paper, we want to completely solve the Bollobás and Erdős' question of finding the minimum value of the Randić index for the graphs in G(k, n). As usual, we formulate the question into a mathematical programming problem. Denote by n_i the number of vertices of degree *i* in *G*, and by $x_{i,j}$ ($x_{i,j} \ge 0$) the number of edges joining the vertices of degrees *i* and *j* in *G*. The mathematical description of our problem is as follows:

$$\min R(G) = \sum_{\substack{k \le i \le n-1 \\ i \le j \le n-1}} \frac{x_{i,j}}{\sqrt{ij}}$$

subject to:

$$\sum_{\substack{j=k\\j\neq i}}^{n-1} x_{i,j} + 2x_{i,i} = in_i \quad \text{for} \quad k \le i \le n-1;$$
(1.6)

$$n_k + n_{k+1} + \dots + n_{n-1} = n; \tag{1.7}$$

$$x_{i,j} \le n_i n_j \quad \text{for} \quad k \le i \le n-1 \quad i < j \le n-1; \tag{1.8}$$

$$x_{i,i} \le \binom{n_i}{2}$$
 for $k \le i \le n-1;$ (1.9)

$$x_{i,j}, n_i \text{ are nonnegative integers, for } k \le i \le j \le n-1.$$
 (1.10)

Obviously, (1.6)-(1.10) define a nonlinearly constrained optimization problem.

2 Main result

Denote

$$p = \begin{cases} \frac{n}{2} & \text{if } n \equiv 0 \pmod{4} \\ \lfloor \frac{n}{2} \rfloor \text{ or } \lceil \frac{n}{2} \rceil & \text{if } n \equiv 1 \pmod{4} \text{and } k \text{ is even} \\ \lfloor \frac{n}{2} \rfloor & \text{if } n \equiv 1 \pmod{4} \text{and } k \text{ is odd} \\ \frac{n-2}{2} \text{ or } \frac{n+2}{2} & \text{if } n \equiv 2 \pmod{4} \text{and } k \text{ is even} \\ \frac{n}{2} & \text{if } n \equiv 2 \pmod{4} \text{and } k \text{ is odd} \\ \lfloor \frac{n}{2} \rfloor \text{ or } \lceil \frac{n}{2} \rceil & \text{if } n \equiv 3 \pmod{4} \text{and } k \text{ is even} \\ \lceil \frac{n}{2} \rceil & \text{if } n \equiv 3 \pmod{4} \text{and } k \text{ is odd.} \end{cases}$$
(2.11)

Theorem 2.1 Let G = (V, E) be a graph of order n with minimum degree k, and p be given in (2.11). Then we have

$$R(G) \ge \begin{cases} \frac{k(k-1)}{2(n-1)} + \frac{k(n-k)}{\sqrt{k(n-1)}} & \text{if } k \le n/2\\ \frac{(n-p)(n-p-1)}{2(n-1)} + \frac{p(p+k-n)}{2k} + \frac{p(n-p)}{\sqrt{k(n-1)}} & \text{if } k > n/2 \end{cases}$$

where equality holds if and only if G is $K_{k,n-k}^{\star}$ for $k \leq n/2$, and $\overline{G}_{n,p,k}$ for k > n/2.

Proof. It is easy to see that $n_{n-1} \leq k$, or the minimum degree of a graph in G(k, n) would be larger than k. Therefore we only need to consider the case when $n_{n-1} \leq k$. Let $n_{n-1} = k - t$ for some integer t such that $0 \leq t \leq k$, and let R_{k-t} denote the Randić index for any graph in G(k, n) with $n_{n-1} = k - t$ ($0 \leq t \leq k$). Since $x_{i,n-1} = n_i n_{n-1}$ for $k \leq i \leq n-2$ and $x_{n-1,n-1} = n_{n-1}(n_{n-1}-1)/2$, we have

$$\begin{aligned} R_{k-t} &= \sum_{\substack{k \leq i \leq n-1 \\ i \leq j \leq n-1 \\ i \leq n-1 \\ i \leq j \leq n-1 \\ i \leq$$

where inequality (2.12) holds because $\frac{1}{\sqrt{i}} \ge \frac{1}{\sqrt{n-1}}$ for $k+1 \le i \le n-2$, and equality (2.13) holds because of (1.6). After substitution of $n_{n-1} = k-t$ and $n_k = n-k+t-n_{k+1}-n_{k+2}-\cdots -n_{n-2}$ into the last equality, we have

$$R_{k-t} \ge \frac{(k-t)(k-t-1)}{2(n-1)} + \frac{(k-t)(n-k+t)}{\sqrt{k(n-1)}} + \sum_{j=k+1}^{n-2} \left(\sqrt{j} - \sqrt{k} - (k-t)(\frac{1}{\sqrt{k}} - \frac{1}{\sqrt{j}}) + \frac{t}{\sqrt{k}}\right) \frac{n_j}{2\sqrt{n-1}} + \frac{1}{2}(\frac{2x_{k,k}}{\sqrt{kk}} + \frac{x_{k,k+1}}{\sqrt{k(k+1)}} + \dots + \frac{x_{k,n-2}}{\sqrt{k(n-2)}}).$$

Since $\sqrt{kj} > k - t$ for $k + 1 \le j \le n - 2$, we have $\sqrt{j} - \sqrt{k} > (k - t)\frac{\sqrt{j} - \sqrt{k}}{\sqrt{kj}}$, i.e., $\sqrt{j} - \sqrt{k} > (k - t)(\frac{1}{\sqrt{k}} - \frac{1}{\sqrt{j}})$. Thus $\sqrt{j} - \sqrt{k} - (k - t)(\frac{1}{\sqrt{k}} - \frac{1}{\sqrt{j}}) + \frac{t}{\sqrt{k}} > 0$. Since if $n_j = 0$ then $x_{i,j} = 0$

for $k \leq i \leq j \leq n-2$, we then have

$$\begin{aligned} \frac{(k-t)(k-t-1)}{2(n-1)} + \frac{(k-t)(n-k+t)}{\sqrt{k(n-1)}} + \sum_{j=k+1}^{n-2} \left(\sqrt{j} - \sqrt{k} - (k-t)(\frac{1}{\sqrt{k}} - \frac{1}{\sqrt{j}}) + \frac{t}{\sqrt{k}}\right) \frac{n_j}{2\sqrt{n-1}} \\ + \frac{1}{2}(\frac{2x_{k,k}}{\sqrt{kk}} + \frac{x_{k,k+1}}{\sqrt{k(k+1)}} + \dots + \frac{x_{k,n-2}}{\sqrt{k(n-2)}}) \\ \ge \frac{(k-t)(k-t-1)}{2(n-1)} + \frac{(k-t)(n-k+t)}{\sqrt{k(n-1)}} + \frac{x_{k,k}}{k}. \end{aligned}$$

Notice that equalities hold in all the above inequalities if and only if $n_j = 0$, $j = k + 1, k + 2, \dots, n-2$. Thus,

$$R_{k-t} \ge \frac{(k-t)(k-t-1)}{2(n-1)} + \frac{(k-t)(n-k+t)}{\sqrt{k(n-1)}} + \frac{x_{k,k}}{k},$$

where equality holds if and only if $n_j = 0$, $j = k + 1, k + 2, \dots, n - 2$.

We know that if $n_j = 0$, $j = k + 1, k + 2, \dots, n-2$, then $n_k = n - k + t$, $n_{n-1} = k - t$, $x_{k,k} = (n - k + t)t/2$, $x_{n-1,n-1} = (k - t)(k - t - 1)/2$ and all other $x_{i,j}$ and $x_{i,i}$ are equal to zero. Therefore,

$$R_{k-t} \ge \frac{(k-t)(k-t-1)}{2(n-1)} + \frac{(k-t)(n-k+t)}{\sqrt{k(n-1)}} + \frac{(n-k+t)t}{2k},$$

where equality holds if and only if $n_j = 0$, $j = k + 1, k + 2, \dots, n - 2$.

Let

$$f(k,t) = \frac{(k-t)(n-k+t)}{\sqrt{k(n-1)}} + \frac{(k-t)(k-t-1)}{2(n-1)} + \frac{(n-k+t)t}{2k}.$$

We only need to get the minimum value of f(k, t), by distinguishing two cases.

Case 1. $k \le n/2$.

Since $\partial f(k,t)/\partial t = \frac{n+2t-2k}{2}(\frac{1}{\sqrt{k}} - \frac{1}{\sqrt{n-1}})^2 \ge 0$, and $\partial f(k,t)/\partial t > 0$ strictly holds except for k - t = n/2, i.e., k = n/2 + t, we know that f(k,t) attains its minimum if and only if t = 0 since $k \le n/2$ in this case.

So, in this case we can conclude that the Randić index attains its minimum in G(k, n)if and only if all the above equalities hold, which means that $n_k = n - k$, $n_{n-1} = k$, $n_j = 0$, $j = k + 1, \dots, n-2$, $x_{n-1,n-1} = \binom{k}{2}$ and all other $x_{i,j}, x_{i,i}$ are equal to zero. Therefore, a graph G in G(k, n) attains the minimum value of the Randić index if and only if $G = K_{k,n-k}^*$ for $k \leq n/2$. Case 2. $n-2 \ge k > n/2$.

Let $\partial f(k,t)/\partial t = \frac{n+2t-2k}{2}(\frac{1}{\sqrt{k}} - \frac{1}{\sqrt{n-1}})^2 = 0$. Then t = k - n/2. Since $\partial^2 f(k,t)/\partial t^2 = (\frac{1}{\sqrt{k}} - \frac{1}{\sqrt{n-1}})^2 > 0$, f(k,t) attain its minimum if and only if t = k - n/2. Then we have $n_k = n/2$, $x_{k,k} = n(2k-n)/2$ and $x_{n-1,n-1} = n(n-2)/8$. Next, we need to check whether they are integers or not, since the obtained solutions have no graph theoretical meaning when one of the three values, namely, $x_{k,k} = (n-k+t)t/2$, $x_{n-1,n-1} = (k-t)(k-t-1)/2$ and t, is not an integer.

Subcase 2.1. $n \equiv 0 \pmod{4}$.

We can easily check that t = k - n/2, $x_{k,k} = n/2$ and $x_{n-1,n-1} = n(n-2)/8$ are integers in this case. Therefore, a graph G in G(k, n) attains the minimum value of the Randić index if and only if $G = \overline{G}_{n,n/2,k}$ in the case $n \equiv 0 \pmod{4}$.

Subcase 2.2. $n \equiv 1 \pmod{4}$.

We see first that t = k - n/2 is not an integer in this case. Therefore, the obtained solutions have no graph theoretical meaning. Then t can not attain k - n/2 if we want to get the minimum value of the Randić index in G(k, n). We then let $t \le k - \frac{n+1}{2}$ or $t \ge k - \frac{n-1}{2}$.

For $t \leq k - \frac{n+1}{2}$, we have $n + 2t - 2k \leq -1 < 0$. Thus $\partial f(k,t)/\partial t < 0$. Therefore, f(k,t) attains its minimum if and only if $t = k - \frac{n+1}{2}$ in this case. And then $n_k = (n-1)/2$, $n_{n-1} = (n+1)/2$, $x_{k,k} = (n-1)(2k - n - 1)/8$, $x_{n-1,n-1} = (n+1)(n-1)/2$ all are integers. So, min $f(k,t) = \frac{(n-1)(2k-n-1)}{8k} + \frac{(n+1)(n-1)}{4\sqrt{k(n-1)}} + \frac{(n+1)(n-1)}{8(n-1)}$.

For $t \ge k - \frac{n-1}{2}$, we have $n + 2t - 2k \ge 1 > 0$. Thus $\partial f(k,t)/\partial t > 0$. Therefore, f(k,t) attains its minimum if and only if $t = k - \frac{n-1}{2}$ in this case. And then $n_k = (n+1)/2$, $n_{n-1}^* = (n-1)/2$, $x_{k,k} = (n+1)(2k-n+1)/8$, $x_{n-1,n-1}^* = (n-1)(n-3)/2$ all are integers if k is even. So, min $f(k,t) = \frac{(n+1)(2k-n+1)}{8k} + \frac{(n+1)(n-1)}{4\sqrt{k(n-1)}} + \frac{(n-1)(n-3)}{8(n-1)} = \frac{(n-1)(2k-n-1)}{8k} + \frac{(n+1)(n-1)}{4\sqrt{k(n-1)}} + \frac{(n+1)(n-1)}{8(n-1)} + \frac{(n+1)(n-1)}{8(n-1)}$, which is the same as the minimum value for the above case when $t \le k - \frac{n+1}{2}$.

Therefore, a graph G in G(k, n) attains the minimum value of the Randić index if and only if G is $\overline{G}_{n,\lfloor\frac{n}{2}\rfloor,k}$ for k both even and odd, or $\overline{G}_{n,\lfloor\frac{n}{2}\rfloor,k}$ for k even in the case $n \equiv 1 \pmod{4}$.

Subcase 2.3. $n \equiv 2 \pmod{4}$.

We can easily check that t = k - n/2, $x_{k,k} = n(2k - n)/8$ and $x_{n-1,n-1} = n(n-2)/8$ are integers if k is odd in this case. Therefore, a graph G in G(k, n) attains the minimum value of the Randić index if and only if G is $\overline{G}_{n,n/2,k}$ for k odd in the case $n \equiv 1 \pmod{4}$.

If k is even, then $x_{k,k} = n(2k - n)/8$ is not an integer in this case, which means that t can not attain k - n/2 if we want to get the minimum value of the Randić index in G(k, n). We then let $t \le k - \frac{n+2}{2}$ or $t \ge k - \frac{n-2}{2}$.

For $t \leq k - \frac{n+2}{2}$, we have $n + 2t - 2k \leq -2 < 0$. Thus $\partial f(k,t)/\partial t < 0$. Therefore, f(k,t) attains its minimum if and only if $t = k - \frac{n+2}{2}$ in this case. And then $n_k = (n-2)/2$, $n_{n-1} = (n+2)/2$, $x_{k,k} = (n-2)(2k - n - 2)/8$, $x_{n-1,n-1} = n(n+2)/2$ all are integers. So, min $f(k,t) = \frac{(n-2)(2k-n-2)}{8k} + \frac{(n-2)(n+2)}{4\sqrt{k(n-1)}} + \frac{n(n+2)}{8(n-1)}$.

For $t \ge k - \frac{n-2}{2}$, we have $n + 2t - 2k \ge 2 > 0$. Thus $\partial f(k,t)/\partial t > 0$. Therefore, f(k,t) attains its minimum if and only if $t = k - \frac{n-2}{2}$ in this case. And then $n_k = (n+2)/2$, $n_{n-1}^* = (n-2)/2$, $x_{k,k} = (n+2)(2k-n+2)/8$, $x_{n-1,n-1} = (n-2)(n-4)/2$ all are integers. So, $\min f(k,t) = \frac{(n+2)(2k-n+2)}{8k} + \frac{(n-2)(n+2)}{4\sqrt{k(n-1)}} + \frac{(n-2)(n-4)}{8(n-1)} = \frac{(n-2)(2k-n-2)}{8k} + \frac{(n-2)(n+2)}{4\sqrt{k(n-1)}} + \frac{n(n+2)}{8(n-1)}$, which is the same as the minimum value for the above case when $t \le k - \frac{n+2}{2}$.

Therefore, in the case $n \equiv 2 \pmod{4}$ and k is odd, a graph G in G(k, n) attains the minimum value of the Randić index if and only if $G = \overline{G}_{n,\frac{n}{2},k}$.

In the case $n \equiv 2 \pmod{4}$ and k is even, a graph G in G(k, n) attains the minimum value of the Randić index if and only if $G = \overline{G}_{n, \frac{n-2}{2}, k}$ or $\overline{G}_{n, \frac{n+2}{2}, k}$.

Subcase 2.4. $n \equiv 3 \pmod{4}$.

Similar to the proof of Subcase 2.2, we can get that a graph G in G(k, n) attains the minimum value of the Randić index if and only if G is $\overline{G}_{n,\lceil \frac{n}{2}\rceil,k}$ for k both even and odd, or $\overline{G}_{n,\lceil \frac{n}{2}\rceil,k}$ for k even.

The proof is now complete.

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