# Nordhaus-Gaddum-type Bounds for the Rainbow Vertex-connection Number of a Graph<sup>\*</sup>

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### Abstract

A vertex-colored graph G is rainbow vertex-connected if any pair of distinct vertices are connected by a path whose internal vertices have distinct colors. The rainbow vertex-connection number of G, denoted by rvc(G), is the minimum number of colors that are needed to make G rainbow vertex-connected. In this paper we give a Nordhaus-Gaddum-type result of the rainbow vertex-connection number. We prove that when Gand  $\overline{G}$  are both connected, then  $2 \leq rvc(G) + rvc(\overline{G}) \leq n - 1$ . Examples are given to show that both the upper bound and the lower bound are best possible for all  $n \geq 5$ .

**Keywords:** rainbow vertex-connection number, Nordhaus-Gaddum-type.

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## 1 Introduction

All graphs considered in this paper are simple, finite and undirected. We follow the notation and terminology of [1]. An edge-colored graph G is rainbow connected if any pair of distinct vertices are connected by a path whose edges have distinct colors. Clearly, if a graph is rainbow edgeconnected, then it is also connected. Conversely, any connected graph has trivial edge coloring that makes it rainbow edge-connected; just color each edge with a distinct color. The rainbow connection number of a connected graph G, denoted by rc(G), is the minimum number of colors that are needed in order to make G rainbow connected, which was introduced by Chartrand et al. Obviously, we always have  $diam(G) \leq rc(G) \leq n - 1$ , where diam(G) denotes the diameter of a graph G of order n. Notice that

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rc(G) = 1 if and only if G is a complete graph, and that rc(G) = n - 1 if and only if G is a tree.

In [3], Krivelevich and Yuster proposed the concept of rainbow vertexconnection. A vertex-colored graph is rainbow vertex-connected if any pair of distinct vertices are connected by a path whose internal vertices have distinct colors. The rainbow vertex-connection of a connected graph G, denoted by rvc(G), is the minimum number of colors that are needed to make G rainbow vertex-connected. An easy observation is that if G is a connected graph with n vertices then  $rvc(G) \leq n-2$ . We note the trivial fact that rvc(G) = 0 if and only if G is a complete graph. Also, clearly,  $rvc(G) \geq diam(G) - 1$  with equality if the diameter is 1 or 2.

A Nordhaus–Gaddum-type result is a (tight) lower or upper bound on the sum or product of a parameter of a graph and its complement. The name Nordhaus–Gaddum-type is used because in 1956 Nordhaus and Gaddum [4] first established the following inequalities for the chromatic numbers of graphs, they proved that if G and  $\overline{G}$  are complementary graphs on n vertices whose chromatic numbers are  $\chi(G), \chi(\overline{G})$  respectively, then

$$2\sqrt{n} \le \chi(G) + \chi(\overline{G}) \le n+1.$$

Since then, many analogous inequalities of other graph parameters are concerned, such as domination number [6], Wiener index and some other chemical indices [7], and so on.

In [8], the authors considered Nordhaus–Gaddum-type result for the rainbow connection number. In this paper, we are concerned with analogous inequalities involving the rainbow vertex-connection number of graphs. We prove that

$$2 \le rvc(G) + rvc(\overline{G}) \le n - 1.$$

The rest of this paper is organized as follows. Section 2 contains the proof of the sharp upper bound. Section 3 contains the proof of the sharp lower bound.

## **2** Upper bound for $rvc(G) + rvc(\overline{G})$

We begin this section with two lemmas that are needed in order to establish the proof of the upper bound.

**Lemma 1** Let G be a nontrivial connected graph of order n, and rvc(G) = k. Add a new vertex v to G, and make v be adjacent to q  $(1 \le q \le n)$  vertices of G, the resulting graph is denoted by G'. Then, if  $q \ge n - k$ , we have  $rvc(G') \le k$ .

*Proof.* Let  $c: V(G) \to \{1, 2, \cdots, k\}$  be a rainbow k-vertex-coloring of  $G, X = \{x_1, x_2, \cdots, x_q\}$  be the vertices that are adjacent to  $v, V \setminus X =$  $\{y_1, y_2, \cdots, y_{n-q}\}$ . We can assume that there exists some  $y_o$  such that there is no rainbow vertex-connected-path from v to  $y_o$ ; otherwise, the result holds obviously. Because G is a rainbow k-vertex-coloring, there is a rainbow vertex-connected-path  $P_i$  from  $x_i$  to  $y_o$  for every  $x_i, i \in \{1, 2, \cdots, q\}$ . Certainly,  $P_i \bigcap P_j$  may not be empty. We claim that no other vertices of  $\{x_1, x_2, \cdots, x_q\}$  different from  $x_i$  belong to  $P_i$  for each  $1 \leq i \leq q$ . Suppose that is not the case and let  $x_i'$  be the last vertex in  $\{x_1, x_2, \cdots, x_q\}$ which belongs to  $P_i$ , denote  $P_i$  by  $x_i P_i' x_i' Q_i y_o$ , then  $v x_i' Q_i y_o$  is a rainbow vertex-connected-path, a contradiction to our assumption. Since v and  $y_o$ are not rainbow vertex-connected, for each  $P_i$ , there is some  $y_{k_i}$  such that  $c(x_i) = c(y_{k_i})$ . That means that the colors that are assigned to X are among the colors that are assigned to  $V \setminus X$ . So  $rvc(G) = k \leq n - q$ . By the hypothesis  $q \ge n-k$ , we have rvc(G) = n-q, that is, all vertices in  $V \setminus X$  have distinct colors. Now we construct a new graph G' = $P_1 \bigcup P_2 \bigcup \cdots \bigcup P_q$ . To show that for every  $y_t$  not in G', there is a  $y_s \in G'$ such that  $y_t y_s \in E(G)$ , suppose that  $N(y_t) \subseteq \{x_1, x_2, \cdots, x_q\}$ . Since G is rainbow k-vertex-connected, there is a rainbow vertex-connected path from  $y_t$  to  $y_{\alpha}$ , denoted by  $y_t x_k Q y_{\alpha}$ , where  $x_k \in N(y_t)$ . Thus  $v x_k Q y_{\alpha}$  is a rainbow vertex-connected path, a contradiction. It follows that  $G[y_1, y_2, \cdots, y_{n-q}]$ is connected. Certainly,  $G[y_1, y_2, \dots, y_{n-q}]$  has a spanning tree T, and T has at least two pendant vertices. Then there must exist a pendant vertex whose color is different from  $x_1$ , and we assign the color to  $x_1$ . It is easy to check that G is still rainbow k-vertex-connected, and there is a rainbow vertex-connected path between v and  $y_o$ . If there still exists some  $y_j$  such that v and  $y_i$  are not rainbow vertex-connected, we do the same operation, until v and  $y_j$  are rainbow vertex-connected for each  $j \in \{1, 2, \dots, n-q\}$ . Thus G' is rainbow vertex-connected. It follows that  $rvc(G') \leq k$ .

**Lemma 2** Let G be a connected graph of order 5. If  $\overline{G}$  is connected, then  $rvc(G) + rvc(\overline{G}) \leq 4$ .

*Proof.* We consider the situations of G.

First, if G is a path, then rvc(G) = 3. In this case  $diam(\overline{G}) = 2$ , and then  $rvc(\overline{G}) = 1$ .

Second, if G is a tree but not a path, then rvc(G) < 3. Since G is a bipartite graph, then  $\overline{G}$  consists of a  $K_2$  and a  $K_3$  and two edges between them. So we assign color 1 to the vertices of  $K_2$  and color 2 to the vertices of  $K_3$ , and this makes  $\overline{G}$  rainbow vertex-connected, that is,  $rvc(\overline{G}) \leq 2$ .

Finally, if both G and  $\overline{G}$  are not trees, then  $e(G) = e(\overline{G}) = 5$ . If G contains a cycle of length 5, then  $G = \overline{G} = C_5$ , thus  $rvc(G) = rvc(\overline{G}) = 1$ . If G contains a cycle of length 4, there is only one graph G which is showed

in Figure 1, we can color G and  $\overline{G}$  with 2 colors to make them rainbow vertex-connected, see Figure 1. If G contains a cycle of length 3, then G and  $\overline{G}$  are showed in Figure 2. By the coloring showed in the graphs, we have  $rvc(G) + rvc(\overline{G}) = 4$ .



Figure 1: G contains a cycle of length 4.



Figure 2: G contains a cycle of length 3.

By these cases, we have  $rvc(G) + rvc(\overline{G}) \leq 4$ . From the above lemmas, we have our first theorem.

**Theorem 1**  $rvc(G) + rvc(\overline{G}) \le n-1$  for all  $n \ge 5$ , and this bound is best possible.

*Proof.* We use induction on n. By Lemma 2, the result is evident for n = 5. We assume that  $rvc(G) + rvc(\overline{G}) \le n - 1$  holds for complementary graphs on n vertices. To the union of a connected graph G and its  $\overline{G}$ , which forms the complete graph on these n vertices, we adjoin a vertex v. Let q  $(1 \le q \le n - 1)$  of the n edges between v and the union be adjoined to G and the remaining n - q edges to  $\overline{G}$ . If G' and  $\overline{G'}$  are the graphs so determined (each of order n + 1), then

$$rvc(G') \leq rvc(G) + 1, \quad rvc(\overline{G'}) \leq rvc(\overline{G}) + 1.$$

These inequalities are evident from the fact that if given a rainbow rvc(G)-vertex-coloring  $(rvc(\overline{G})$ -vertex-coloring) of  $G(\overline{G})$ , we assign a new color to the vertex v and keep other vertices unchanged, the resulting coloring makes  $G'(\overline{G'})$  rainbow vertex-connected. Then  $rvc(G') + rvc(\overline{G'}) \leq rvc(G) + rvc(\overline{G}) + 2 \leq n + 1$ . And  $rvc(G') + rvc(\overline{G'}) \leq n$  except possibly when

$$rvc(G') = rvc(G) + 1, \quad rvc(G') = rvc(G) + 1.$$

In this case, by Lemma 1,  $q \leq n - rvc(G) - 1, n - q \leq n - rvc(\overline{G}) - 1$ , thus  $rvc(G) + rvc(\overline{G}) \leq n-2$ , from which  $rvc(G') + rvc(\overline{G'}) \leq n$ . This completes the induction.

The following example shows that the bound established is sharp for all  $n \geq 5$ : If G be a path of order n, then rvc(G) = n - 2. It is easy to obtain  $\overline{G}$ , and check that  $diam(\overline{G}) = 2$ . Then  $rvc(\overline{G}) = 1$ , and so we have  $rvc(G) + rvc(\overline{G}) = n - 1.$ 

**Remark:** For  $n \leq 4$ , note that  $P_4$ , the path on 4 vertices, is the only connected graph with fewer than 5 vertices that has a connected complement, and  $rvc(P_4) = 2$ . So, the sum of the rainbow vertex-connection numbers of  $P_4$  and its complement  $P_4$  is 4.

#### Lower bound for $rvc(G) + rvc(\overline{G})$ 3

As we note that rvc(G) = 0 if and only if G is a complete graph. Thus if we want both G and  $\overline{G}$  are connected, and so  $rvc(G) \geq 1, rvc(\overline{G}) \geq 1$ . Then  $rvc(G) + rvc(\overline{G}) \geq 2$ . Our next theorem shows that the lower bound is sharp for all  $n \geq 5$ .

**Theorem 2** For  $n \ge 5$ , the lower bound of  $rvc(G) + rvc(\overline{G}) \ge 2$  is best possible, that is, there are graphs G and  $\overline{G}$  with n vertices, such that  $rvc(G) = rvc(\overline{G}) = 1.$ 

*Proof.* We only need to prove that for  $n \geq 5$ , there are graphs G and  $\overline{G}$ with n vertices, such that  $diam(G) = diam(\overline{G}) = 2$ .

We construct G as follows: if n = 2k + 1,

$$V(G) = \{v, v_1, v_2, \cdots, v_k, u_1, u_2, \cdots, u_k\}$$

$$E(G) = \{vv_i | 1 \le i \le k\} \bigcup \{v_i u_i | 1 \le i \le k\} \bigcup \{u_i u_j | 1 \le i, j \le k\};$$

if n = 2k,

$$V(G) = \{v, v_1, v_2, \cdots, v_k, u_1, u_2, \cdots, u_{k-1}\}$$

 $E(G) = \{vv_i | 1 \le i \le k\} \bigcup \{v_i u_i | 1 \le i < k\} \bigcup \{v_k u_{k-1}\} \bigcup \{u_i u_j | 1 \le i, j \le k\} \cup \{v_k u_{k-1}\} \cup \{u_i u_j | 1 \le i, j \le k\}$ k - 1. We can easily check that  $diam(G) = diam(\overline{G}) = 2$ .

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