

# Non-jumping Numbers for 5-Uniform Hypergraphs\*

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## Abstract

Let  $\ell$  and  $r$  be integers. A real number  $\alpha \in [0, 1)$  is a jump for  $r$  if for any  $\varepsilon > 0$  and any integer  $m$ ,  $m \geq r$ , any  $r$ -uniform graph with  $n > n_0(\varepsilon, m)$  vertices and at least  $(\alpha + \varepsilon) \binom{n}{r}$  edges contains a subgraph with  $m$  vertices and at least  $(\alpha + c) \binom{m}{r}$  edges, where  $c = c(\alpha)$  does not depend on  $\varepsilon$  and  $m$ . It follows from a theorem of Erdős, Stone and Simonovits that every  $\alpha \in [0, 1)$  is a jump for  $r = 2$ . Erdős asked whether the same is true for  $r \geq 3$ . However, Frankl and Rödl gave a negative answer by showing that  $1 - \frac{1}{\ell^{r-1}}$  is not a jump for  $r$  if  $r \geq 3$  and  $\ell > 2r$ . Peng gave more sequences of non-jumping numbers for  $r = 4$  and  $r \geq 3$ . However, there are also a lot of unknowns on determining whether a number is a jump for  $r \geq 3$ . Following a similar approach as that of Frankl and Rödl, we give several sequences of non-jumping numbers for  $r = 5$ , and extend one of the results to every  $r \geq 5$ , which generalize the above results.

**Keywords:** extremal problems in hypergraphs; Erdős jumping constant conjecture; Lagrangians of uniform graphs; non-jumping numbers

## 1 Introduction

For a given finite set  $V$  and a positive integer  $r$ , denote by  $\binom{V}{r}$  the family of all  $r$ -subsets of  $V$ . Let  $G = (V(G), E(G))$  be a graph with *vertex set*  $V(G)$  and *edge set*  $E(G)$ . We call  $G$  an  *$r$ -uniform graph* if  $E(G) \subseteq \binom{V(G)}{r}$ . An  $r$ -uniform graph  $H$

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is called a *subgraph* of an  $r$ -uniform graph  $G$  if  $V(H) \subseteq V(G)$  and  $E(H) \subseteq E(G)$ . Furthermore,  $H$  is called an *induced subgraph* of  $G$  if  $E(H) = E(G) \cap \binom{V(H)}{r}$ .

Let  $G$  be an  $r$ -uniform graph, we define the *density* of  $G$  as  $\frac{|E(G)|}{\binom{|V(G)|}{r}}$ , which is denoted by  $d(G)$ . Note that the density of a complete  $(\ell + 1)$ -partite graph with partition classes of size  $m$  is greater than  $1 - \frac{1}{\ell + 1}$  (approaches  $1 - \frac{1}{\ell + 1}$  when  $m \rightarrow \infty$ ). The density of a complete  $r$ -partite  $r$ -uniform graph with partition classes of size  $m$  is greater than  $\frac{r!}{r^r}$  (approaches  $\frac{r!}{r^r}$  when  $m \rightarrow \infty$ ).

In [7], Katona, Nemetz and Simonovits showed that, for any  $r$ -uniform graph  $G$ , the average of densities of all induced subgraphs of  $G$  with  $m \geq r$  vertices is  $d(G)$ . From this result we know that there exists a subgraph of  $G$  with  $m$  vertices, whose density is at least  $d(G)$ . A natural question is: for a constant  $c > 0$ , whether there exists a subgraph of  $G$  with  $m$  vertices and density at least  $d(G) + c$ ? To be precise, the concept of “jump” was introduced.

**Definition 1.1.** A real number  $\alpha \in [0, 1)$  is a jump for  $r$  if there exists a constant  $c > 0$  such that for any  $\varepsilon > 0$  and any integer  $m$ ,  $m \geq r$ , there exists  $n_0(\varepsilon, m)$  such that any  $r$ -uniform graph with  $n > n_0(\varepsilon, m)$  vertices and density  $\geq \alpha + \varepsilon$  contains a subgraph with  $m$  vertices and density  $\geq \alpha + c$ .

Erdős, Stone and Simonovits [2, 3] proved that every  $\alpha \in [0, 1)$  is a jump for  $r = 2$ . This result can be easily obtained from the following theorem.

**Theorem 1.1** ([3]). *Suppose  $\ell$  is a positive integer. For any  $\varepsilon > 0$  and any positive integer  $m$ , there exists  $n_0(m, \varepsilon)$  such that any graph  $G$  on  $n > n_0(m, \varepsilon)$  vertices with density  $d(G) \geq 1 - \frac{1}{\ell} + \varepsilon$  contains a copy of the complete  $(\ell + 1)$ -partite graph with partition classes of size  $m$  (i.e., there exists  $\ell + 1$  pairwise disjoint sets  $V_1, \dots, V_{\ell+1}$ , each of them with size  $m$  such that  $\{x, y\}$  is an edge whenever  $x \in V_i$  and  $y \in V_j$  for some  $1 \leq i < j \leq \ell + 1$ ).*

Moreover, from the following theorem, Erdős showed that for  $r \geq 3$ , every  $\alpha \in [0, \frac{r!}{r^r})$  is a jump.

**Theorem 1.2** ([1]). *For any  $\varepsilon > 0$  and any positive integer  $m$ , there exists  $n_0(\varepsilon, m)$  such that any  $r$ -uniform graph  $G$  on  $n > n_0(\varepsilon, m)$  vertices with density  $d(G) \geq \varepsilon$  contains a copy of the complete  $r$ -partite  $r$ -uniform graph with partition classes of size  $m$  (i.e., there exist  $r$  pairwise disjoint subsets  $V_1, \dots, V_r$ , each of cardinality  $m$  such that  $\{x_1, x_2, \dots, x_r\}$  is an edge whenever  $x_i \in V_i, 1 \leq i \leq r$ ).*

Furthermore, Erdős proposed the following jumping constant conjecture.

**Conjecture 1.1.** Every  $\alpha \in [0, 1)$  is a jump for every integer  $r \geq 2$ .

Unfortunately, Frankl and Rödl [6] disproved this conjecture by showing the following result.

**Theorem 1.3** ([6]). *Suppose  $r \geq 3$  and  $\ell > 2r$ , then  $1 - \frac{1}{\ell^{r-1}}$  is not a jump for  $r$ .*

Using the approach developed by Frankl and Rödl in [6], some other non-jump numbers were given. However, for  $r \geq 3$ , there are still a lot of unknowns on determining whether a given number is a jump. A well-known open question of Erdős is

*whether  $\frac{r!}{r^r}$  is a jump for  $r \geq 3$  and what is the smallest non-jump?*

In [5], another question was raised:

*whether there is an interval of non-jumps for some  $r \geq 3$  ?*

Both questions seem to be very challenging. Regarding the first question, in [5], it was shown that  $\frac{5r!}{2r^r}$  is a non-jump for  $r \geq 3$  and it is the smallest known non-jump until now. Some efforts were made in finding more non-jumps for some  $r \geq 3$ . For  $r = 3$ , one more infinite sequence of non-jumps (converging to 1) was given in [5]. And for  $r = 4$ , several infinite sequences of non-jumps (converging to 1) were found in [9, 10, 12, 13]. Every non-jump in the above papers was extended to many sequences of non-jumps (still converging to 1) in [11, 15, 16]. Besides, in [14], Peng found an infinite sequence of non-jumps for  $r = 3$  converging to  $\frac{7}{12}$ .

If a number  $\alpha$  is a jump, then there exists a constant  $c > 0$  such that every number in  $[\alpha, \alpha + c)$  is a jump. As a direct result, we have that if there is a set of non-jumping numbers whose limits form an interval (a number  $a$  is a limit of a set  $A$  if there is a sequence  $\{a_n\}_{n=1}^{\infty}, a_n \in A$  such that  $\lim_{n \rightarrow \infty} a_n = a$ ), then every number in this interval is not a jump. It is still an open problem whether such a “dense enough” set of non-jumping numbers exists or not.

In this paper, we intend to find more non-jumping numbers in addition to the known non-jumping numbers given in [5, 9, 10, 11, 12, 13, 15, 14, 16, 17]. Our approach is still based on the approach developed by Frankl and Rödl in [6]. We first consider the case  $r = 5$  and find a sequence of non-jumping numbers. In Section 3, we prove the following result.

**Theorem 1.4.** *Let  $\ell \geq 2$  be an integer. Then  $1 - \frac{5}{\ell^3} + \frac{4}{\ell^4}$  is not a jump for  $r = 5$ .*

Then we extend Theorem 1.4 to Theorem 1.5 for the case  $\ell = 5$  to every  $r \geq 5$  in Section 4. When  $r = 5$ , Theorem 1.5 is exactly Theorem 1.4 for the case  $\ell = 5$ .

**Theorem 1.5.** *Let  $r \geq 5$ ,  $\frac{151r!}{6r^r}$  is not a jump for  $r$ .*

In [15], Peng gave the following result: for positive integers  $p \geq r \geq 3$ , if  $\alpha \cdot \frac{r!}{r^r}$  is a non-jump for  $r$ , then  $\alpha \cdot \frac{p!}{p^p}$  is a non-jump for  $p$ . Combining with the Theorem 1.5, we have the following corollary directly.

**Corollary 1.1.** *Let  $p \geq r \geq 5$  be positive integers. Then  $\frac{151p!}{6p^p}$  is not a jump for  $p$ .*

Since in [5], it was shown that  $\frac{5r!}{2r^r}$  is a non-jumping number for  $r \geq 3$ . In [11], it was shown that for integers  $r \geq 3$  and  $p$ ,  $3 \leq p \leq r$ ,  $(1 - \frac{1}{p^{p-1}}) \frac{p^p r!}{p! r^r}$  is not a jump for  $r$ . In particular,  $\frac{12}{125}$  (take  $r = 5$  in  $\frac{5r!}{2r^r}$ ),  $\frac{96}{625}$  (take  $p = 3$  and  $r = 5$  in  $(1 - \frac{1}{p^{p-1}}) \frac{p^p r!}{p! r^r}$ ) and  $\frac{252}{625}$  (take  $p = 4$  and  $r = 5$  in  $(1 - \frac{1}{p^{p-1}}) \frac{p^p r!}{p! r^r}$ ) are non-jumping numbers for  $r = 5$ . In Section 5, we will go back to the case of  $r = 5$  and prove the following result.

**Theorem 1.6.** *Let  $\ell \geq 2$  and  $q \geq 1$  be integers. Then for  $r = 5$ , we have*

(a) *If  $q = 1$  or  $q \geq 2\ell^2 + 2\ell$ , then  $1 - \frac{10}{\ell q} + \frac{35}{\ell^2 q^2} - \frac{50}{\ell^3 q^3} + \frac{4}{\ell^4 q^4} + \frac{10}{\ell q^4} - \frac{35}{\ell^2 q^4} + \frac{45}{\ell^3 q^4}$  is not a jump.*

(b) *If  $q = 1$  or  $q \geq 10\ell^3$ , then  $1 - \frac{10}{\ell q} + \frac{35}{\ell^2 q^2} - \frac{50}{\ell^3 q^3} + \frac{10}{\ell q^4} - \frac{35}{\ell^2 q^4} + \frac{50}{\ell^3 q^4} - \frac{1}{\ell^4 q^4}$  is not a jump.*

(c)  $1 - \frac{2}{q} + \frac{7}{5q^2} - \frac{2}{5q^3} + \frac{12}{125q^4}$  is not a jump.

(d)  $1 - \frac{2}{q} + \frac{7}{5q^2} - \frac{2}{5q^3} + \frac{96}{625q^4}$  is not a jump.

(e) *If  $q = 1$  or  $q \geq 3$ , then  $1 - \frac{2}{q} + \frac{7}{5q^2} - \frac{2}{5q^3} + \frac{252}{625q^4}$  is not a jump.*

When  $q = 1$ , (a) reduces to Theorem 1.4 for  $r = 5$ , (b) reduces to Theorem 1.3 for  $r = 5$ , (c) shows that  $\frac{12}{125}$  is not a jump for  $r = 5$ , (d) shows that  $\frac{96}{625}$  is not a jump for  $r = 5$ , and (e) shows that  $\frac{252}{625}$  is not a jump for  $r = 5$ .

## 2 Lagrangians and other tools

In this section, we introduce the definition of Lagrangian of an  $r$ -uniform graph and some other tools to be applied in the approach.

We first describe a definition of the Lagrangian of an  $r$ -uniform graph, which is a helpful tool in the approach. More studies of Lagrangians were given in [4, 6, 8, 18].

**Definition 2.1.** For an  $r$ -uniform graph  $G$  with vertex set  $\{1, 2, \dots, m\}$ , edge set  $E(G)$  and a vector  $\vec{x} = \{x_1, \dots, x_m\} \in R^m$ , define

$$\lambda(G, \vec{x}) = \sum_{\{i_1, \dots, i_r\} \in E(G)} x_{i_1} x_{i_2} \cdots x_{i_r}.$$

$x_i$  is called the weight of vertex  $i$ .

**Definition 2.2.** Let  $S = \{\vec{x} = (x_1, x_2, \dots, x_m) : \sum_{i=1}^m x_i = 1, x_i \geq 0 \text{ for } i = 1, 2, \dots, m\}$ . The Lagrangian of  $G$ , denoted by  $\lambda(G)$ , is defined as

$$\lambda(G) = \max\{\lambda(G, \vec{x}) : \vec{x} \in S\}.$$

A vector  $\vec{x}$  is called an optimal vector for  $\lambda(G)$  if  $\lambda(G, \vec{x}) = \lambda(G)$ .

We note that if  $G$  is a subgraph of an  $r$ -uniform graph  $H$ , then for any vector  $\vec{x}$  in  $S$ ,  $\lambda(G, \vec{x}) \leq \lambda(H, \vec{x})$ . The following fact is obtained directly.

**Fact 2.1.** Let  $G$  be a subgraph of an  $r$ -uniform graph  $H$ . Then

$$\lambda(G) \leq \lambda(H).$$

For an  $r$ -uniform graph  $G$  and  $i \in V(G)$  we define  $G_i$  to be the  $(r-1)$ -uniform graph on  $V - \{i\}$  with edge set  $E(G_i)$  given by  $e \in E(G_i)$  if and only if  $e \cup \{i\} \in E(G)$ .

We call two vertices  $i, j$  of an  $r$ -uniform graph  $G$  *equivalent* if for all  $f \in \binom{V(G) - \{i, j\}}{r-1}$ ,  $f \in E(G_i)$  if and only if  $f \in E(G_j)$ .

The following lemma given in [6] will be useful when calculating Lagrangians of some certain hypergraphs.

**Lemma 2.1** ([6]). *Suppose  $G$  is an  $r$ -uniform graph on vertices  $\{1, 2, \dots, m\}$ .*

1. *If vertices  $i_1, i_2, \dots, i_t$  are pairwise equivalent, then there exists an optimal vector  $\vec{y} = (y_1, y_2, \dots, y_m)$  for  $\lambda(G)$  such that  $y_{i_1} = y_{i_2} = \dots = y_{i_t}$ .*

2. *Let  $\vec{y} = (y_1, y_2, \dots, y_m)$  be an optimal vector for  $\lambda(G)$  and  $y_i > 0$ . Let  $\hat{y}_i$  be the restriction of  $\vec{y}$  on  $\{1, 2, \dots, m\} \setminus \{i\}$ . Then  $\lambda(G_i, \hat{y}_i) = r\lambda(G)$ .*

We also note that for an  $r$ -uniform graph  $G$  with  $m$  vertices, if we take  $\vec{x} = (x_1, x_2, \dots, x_m)$ , where each  $x_i = \frac{1}{m}$ , then

$$\lambda(G) \geq \lambda(G, \vec{x}) = \frac{|E(G)|}{m^r} \geq \frac{d(G)}{r!} - \varepsilon$$

for  $m \geq m'(\varepsilon)$ .

On the other hand, we introduce a blow-up of an  $r$ -uniform graph  $G$  which allow us to construct an  $r$ -uniform graph with a large number of vertices and density close to  $r!\lambda(G)$ .

**Definition 2.3.** Let  $G$  be an  $r$ -uniform graph with  $V(G) = \{1, 2, \dots, m\}$  and  $\vec{n} = (n_1, \dots, n_m)$  be a positive integer vector. Define the  $\vec{n}$  blow-up of  $G$ ,  $\vec{n} \otimes G$  to be the  $m$ -partite  $r$ -uniform graph with vertex set  $V_1 \cup \dots \cup V_m$ ,  $|V_i| = n_i$ ,  $1 \leq i \leq m$ , and edge set  $E(\vec{n} \otimes G) = \{\{v_{i_1}, v_{i_2}, \dots, v_{i_r}\} : v_{i_k} \in V_{i_k} \text{ for } 1 \leq k \leq r, \{i_1, i_2, \dots, i_r\} \in E(G)\}$ .

In addition, we make the following easy remark given in [9].

**Remark 2.1** ([9]). Let  $G$  be an  $r$ -uniform graph with  $m$  vertices and  $\vec{y} = (y_1, y_2, \dots, y_m)$  be an optimal vector for  $\lambda(G)$ . Then for any  $\varepsilon > 0$ , there exists an integer  $n_1(\varepsilon)$ , such that for any integer  $n \geq n_1(\varepsilon)$ ,

$$d([\lfloor ny_1 \rfloor, \lfloor ny_2 \rfloor, \dots, \lfloor ny_m \rfloor] \otimes G) \geq r!\lambda(G) - \varepsilon. \quad (1)$$

Let us also state a fact relating the Lagrangian of an  $r$ -uniform graph to the Lagrangian of its blow-up used in [6] ([5, 9, 10, 12] as well).

**Fact 2.2** ([6]). If  $n \geq 1$  and  $\vec{n} = (n, n, \dots, n)$ , then  $\lambda(\vec{n} \otimes G) = \lambda(G)$  holds for every  $r$ -uniform graph  $G$ .

First, we state a definition as follows.

**Definition 2.4.** For  $\alpha \in [0, 1)$  and a family  $\mathcal{F}$  of  $r$ -uniform graphs, we say that  $\alpha$  is a threshold for  $\mathcal{F}$  if for any  $\varepsilon > 0$  there exists an  $n_0 = n_0(\varepsilon)$  such that any  $r$ -uniform graph  $G$  with  $d(G) \geq \alpha + \varepsilon$  and  $|V(G)| > n_0$  contains some member of  $\mathcal{F}$  as a subgraph. We denote this fact by  $\alpha \rightarrow \mathcal{F}$ .

The following lemma proved in [6] gives a necessary and sufficient condition for a number  $\alpha$  to be a jump.

**Lemma 2.2** ([6]). *The following two properties are equivalent.*

1.  $\alpha$  is a jump for  $r$ .
2.  $\alpha \rightarrow \mathcal{F}$  for some finite family  $\mathcal{F}$  of  $r$ -uniform graphs satisfying  $\lambda(F) > \frac{\alpha}{r!}$  for all  $F \in \mathcal{F}$ .

**Lemma 2.3** ([6]). *For any  $\sigma \geq 0$  and any integer  $k \geq r$ , there exists  $t_0(k, \sigma)$  such that for every  $t > t_0(k, \sigma)$ , there exists an  $r$ -uniform graphs  $A$  satisfying:*

1.  $|V(A)| = t$ .
2.  $|E(A)| \geq \sigma t^{r-1}$ .
3. For all  $V_0 \subset V(A)$ ,  $r \leq |V_0| \leq k$  we have  $|E(A) \cap \binom{V_0}{r}| \leq |V_0| - r + 1$ .

We sketch the approach in proving Theorems 1.4, 1.5, 1.6 as follows (similar to the proof in [9, 10, 12]): Let  $\alpha$  be the non-jumping numbers described in those theorems. Assuming that  $\alpha$  is a jump, we will derive a contradiction by the following two steps.

*Step 1:* Construct an  $r$ -uniform graph (in Theorem 1.4, 1.6,  $r = 5$ ) with the Lagrangian close to but slightly smaller than  $\frac{\alpha}{r!}$ , then use Lemma 2.3 to add an  $r$ -uniform graph with a large enough number of edges but spare enough (see properties

2 and 3 in Lemma 2.3) and obtain an  $r$ -uniform graph with the Lagrangian  $\geq \frac{\alpha}{r!} + \varepsilon$  for some positive  $\varepsilon$ . Then we “blow up” this  $r$ -uniform graph to an new  $r$ -uniform graph, say  $H$ , with a large enough number of vertices and density  $> \alpha + \frac{\varepsilon}{2}$  (see Remark 2.1). By Lemma 2.2, if  $\alpha$  is a jump then  $\alpha$  is a threshold for some finite family  $\mathcal{F}$  of  $r$ -uniform graphs with Lagrangian  $> \frac{\alpha}{r!}$ . So  $H$  must contain some member of  $\mathcal{F}$  as a subgraph.

*Step 2:* We show that any subgraph of  $H$  with the number of vertices no more than  $\max\{|V(F)|, F \in \mathcal{F}\}$  has Lagrangian  $\leq \frac{\alpha}{r!}$  and derive a contradiction.

### 3 Proof of Theorem 1.4

In this section, we focus on  $r = 5$  and give a proof of Theorem 1.4.

Let  $\ell \geq 2$  and  $\alpha = 1 - \frac{5}{\ell^3} + \frac{4}{\ell^4}$ . Let  $t$  be a large enough integer given later. We first define a 5-uniform hypergraph  $G(\ell, t)$  on  $\ell$  pairwise disjoint sets  $V_1, V_2, \dots, V_\ell$ , each of cardinality  $t$  whose density is close to  $\alpha$  when  $t$  is large enough. The edge set of  $G(\ell, t)$  consists of all 5-subsets taking exactly one vertex from each of  $V_i, V_j, V_k, V_h, V_s$  ( $1 \leq i < j < k < h < s \leq \ell$ ), all 5-subsets taking two vertices from  $V_i$  and one vertex from each of  $V_j, V_k, V_h$  ( $1 \leq i \leq \ell, 1 \leq j < k < h \leq \ell, j, k, h \neq i$ ), all 5-subsets taking two vertices from each of  $V_i, V_j$  and one vertex from  $V_k$  ( $1 \leq i < j \leq \ell, 1 \leq k \leq \ell, k \neq i, j$ ), all 5-subsets taking three vertices from  $V_i$ , and one vertex from each of  $V_j, V_k$  ( $1 \leq i \leq \ell, 1 \leq j < k \leq \ell, j, k \neq i$ ), all 5-subsets taking three vertices from  $V_i$  and two vertices from  $V_j$  ( $1 \leq i \leq \ell, 1 \leq j \leq \ell, j \neq i$ ). When  $\ell = 2, 3, 4$ , some of them are vacant.

Note that

$$\begin{aligned} |E(G(\ell, t))| &= \binom{\ell}{5} t^5 + \ell \binom{\ell-1}{3} \binom{t}{2} t^3 + \binom{\ell}{2} (\ell-2) \binom{t}{2} \binom{t}{2} t + \ell \binom{\ell-1}{2} \binom{t}{3} t^2 \\ &\quad + \ell(\ell-1) \binom{t}{3} \binom{t}{2} = \frac{\alpha}{120} \ell^5 t^5 - c_0(\ell) t^4 + o(t^4), \end{aligned}$$

where  $c_0(\ell)$  is positive (we omit giving the precise calculation here). It is easy to verify that the density of  $G(\ell, t)$  is close to  $\alpha$  if  $t$  is large enough. Corresponding to the  $\ell t$  vertices of  $G(\ell, t)$ , we take the vector  $\vec{x} = (x_1, \dots, x_{\ell t})$ , where  $x_i = \frac{1}{\ell t}$  for each  $i, 1 \leq i \leq \ell t$ , then

$$\lambda(G(\ell, t)) \geq \lambda(G(\ell, t), \vec{x}) = \frac{|E(G(\ell, t))|}{(\ell t)^5} = \frac{\alpha}{120} - \frac{c_0(\ell)}{\ell^5 t} + o\left(\frac{1}{t}\right),$$

which is close to  $\frac{\alpha}{120}$  when  $t$  is large enough. We will use Lemma 2.3 to add a 5-uniform graph to  $G(\ell, t)$  so that the Lagrangian of the resulting graph is  $> \frac{\alpha}{120} + \varepsilon(t)$

for some  $\varepsilon(t) > 0$ . Suppose that  $\alpha$  is a jump for  $r = 5$ . According to Lemma 2.2, there exists a finite collection  $\mathcal{F}$  of 5-uniform graphs satisfying:

- i)  $\lambda(F) > \frac{\alpha}{120}$  for all  $F \in \mathcal{F}$ , and
- ii)  $\alpha$  is a threshold for  $\mathcal{F}$ .

Set  $k_0 = \max_{F \in \mathcal{F}} |V(F)|$  and  $\sigma_0 = 2c_0(\ell)$ . Let  $r = 5$  and  $t_0(k_0, \sigma_0)$  be given as in Lemma 2.3. Take an integer  $t > t_0$  and a 5-uniform hypergraph  $A(k_0, \sigma_0, t)$  satisfying the three conditions in Lemma 2.3 with  $V(A(k_0, \sigma_0, t)) = V_1$ . The 5-uniform hypergraph  $H(\ell, t)$  is obtained by adding  $A(k_0, \sigma_0, t)$  to the 5-uniform hypergraph  $G(\ell, t)$ . For sufficiently large  $t$ , we have

$$\lambda(H(\ell, t)) \geq \frac{|E(H(\ell, t))|}{(\ell t)^5} \geq \frac{|E(G(\ell, t))| + \sigma_0 t^4}{(\ell t)^5} \geq \frac{\alpha}{120} + \frac{c_0(\ell)}{2\ell^5 t}.$$

Now suppose  $\vec{y} = (y_1, y_2, \dots, y_{\ell t})$  is an optimal vector of  $\lambda(H(\ell, t))$ . Let  $\varepsilon = \frac{30c_0(\ell)}{\ell^5 t}$  and  $n > n_1(\varepsilon)$  as in Remark 2.1. Then the 5-uniform graph  $S_n = ([ny_1], \dots, [ny_{\ell t}]) \otimes H(\ell, t)$  has density not less than  $\alpha + \varepsilon$ . Since  $\alpha$  is a threshold for  $\mathcal{F}$ , some member  $F$  of  $\mathcal{F}$  is a subgraph of  $S_n$  for  $n \geq \max\{n_0(\varepsilon), n_1(\varepsilon)\}$ . For such  $F \in \mathcal{F}$ , there exists a subgraph  $M$  of  $H(\ell, t)$  with  $|V(M)| \leq |V(F)| \leq k_0$ , such that  $F \subset \vec{n} \otimes M$ . By Fact 2.2 we have

$$\lambda(F) \leq \lambda(\vec{n} \otimes M) = \lambda(M). \quad (2)$$

**Lemma 3.1.** *Let  $M$  be any subgraph of  $H(\ell, t)$  with  $|V(M)| \leq k_0$ . Then*

$$\lambda(M) \leq \frac{\alpha}{120}$$

*holds.*

Applying Lemma 3.1 to (2), we have  $\lambda(F) \leq \frac{\alpha}{120}$ , which contradicts our choice of  $F$ , i.e., contradicts the fact that  $\lambda(F) > \frac{\alpha}{120}$  for all  $F \in \mathcal{F}$ .

*Proof of Lemma 3.1.* By Fact 2.1, we may assume that  $M$  is an induced subgraph of  $H(\ell, t)$ . Let  $U_i = V(M) \cap V_i$ . Define  $M_1 = (U_1, E(M) \cap \binom{U_1}{5})$ , i.e., the subgraph of  $M$  induced on  $U_1$ . In view of Fact 2.1, it is enough to show Lemma 3.1 for the case  $E(M_1) \neq \emptyset$ . We assume  $|V(M_1)| = 4 + d$  with  $d$  a positive integer. By Lemma 2.3,  $M_1$  has at most  $d$  edges. Let  $V(M_1) = \{v_1, v_2, \dots, v_{4+d}\}$  and  $\vec{\xi} = (x_1, x_2, \dots, x_{4+d})$  be an optimal vector for  $\lambda(M)$  where  $x_i$  is the weight of vertex  $v_i$ . We may assume  $x_1 \geq x_2 \geq \dots \geq x_{4+d}$ . The following claim was proved (see Claim 4.4 in [6] there).

**Claim 3.1.**  $\sum_{\{v_i, v_j, v_k, v_h, v_s\} \in E(M_1)} x_{v_i} x_{v_j} x_{v_k} x_{v_h} x_{v_s} \leq \sum_{5 \leq i \leq 4+d} x_1 x_2 x_3 x_4 x_i.$

By Claim 3.1, we may assume that  $E(M_1) = \{\{v_1, v_2, v_3, v_4, v_i\} : 5 \leq i \leq 4 + d\}$ . Since  $v_1, v_2, v_3, v_4$  are equivalent, in view of Lemma 2.1, we may assume that  $x_1 =$



$x_2 = x_3 = x_4 \stackrel{\text{def}}{=} \rho$ . For each  $i$ , let  $a_i$  be the sum of the weights of vertices of  $U_i$ . Notice that

$$\begin{cases} \sum_{i=1}^{\ell} a_i = 1, \\ a_i \geq 0, \quad 1 \leq i \leq \ell \\ 0 \leq \rho \leq \frac{a_1}{4}. \end{cases}$$

Considering different types of edges in  $M$  and according to the definition of the Lagrangian, we have

$$\begin{aligned} \lambda(M) &\leq \sum_{1 \leq i < j < k < h < s \leq \ell} a_i a_j a_k a_h a_s + \frac{1}{2} \sum_{\substack{2 \leq i \leq \ell; 1 \leq j < k < h \leq \ell; \\ j, k, h \neq i}} a_i^2 a_j a_k a_h \\ &\quad + \left( \sum_{2 \leq j < k < h \leq \ell} a_j a_k a_h \right) \left[ \frac{1}{2} (a_1 - 4\rho)^2 + 4\rho(a_1 - 4\rho) + 6\rho^2 \right] \\ &\quad + \frac{1}{2} \left( \sum_{\substack{2 \leq j \leq \ell; 2 \leq k \leq \ell; \\ k \neq j}} a_j^2 a_k \right) \left[ \frac{1}{2} (a_1 - 4\rho)^2 + 4\rho(a_1 - 4\rho) + 6\rho^2 \right] \\ &\quad + \frac{1}{4} \sum_{\substack{2 \leq i < j \leq \ell; 1 \leq k \leq \ell; \\ k \neq i, j}} a_i^2 a_j^2 a_k + \frac{1}{6} \sum_{\substack{2 \leq i \leq \ell; 1 \leq j < k \leq \ell; \\ j, k \neq i}} a_i^3 a_j a_k + \rho^4 (a_1 - 4\rho) \\ &\quad + \left( \sum_{2 \leq j < k \leq \ell} a_j a_k \right) \left[ \frac{1}{6} (a_1 - 4\rho)^3 + 2\rho(a_1 - 4\rho)^2 + 6\rho^2(a_1 - 4\rho) + 4\rho^3 \right] \\ &\quad + \frac{1}{12} \sum_{\substack{2 \leq i \leq \ell; 2 \leq j \leq \ell; \\ j \neq i}} a_i^3 a_j^2 + \frac{1}{6} \left( \sum_{2 \leq i \leq \ell} a_i^3 \right) \left[ \frac{1}{2} (a_1 - 4\rho)^2 + 4\rho(a_1 - 4\rho) + 6\rho^2 \right] \\ &\quad + \frac{1}{2} \left( \sum_{2 \leq j \leq \ell} a_j^2 \right) \left[ \frac{1}{6} (a_1 - 4\rho)^3 + 2\rho(a_1 - 4\rho)^2 + 6\rho^2(a_1 - 4\rho) + 4\rho^3 \right] \\ &= \sum_{1 \leq i < j < k < h < s \leq \ell} a_i a_j a_k a_h a_s + \frac{1}{2} \sum_{\substack{1 \leq i \leq \ell; 1 \leq j < k < h \leq \ell; \\ j, k, h \neq i}} a_i^2 a_j a_k a_h \\ &\quad + \frac{1}{4} \sum_{\substack{1 \leq i < j \leq \ell; 1 \leq k \leq \ell; \\ k \neq i, j}} a_i^2 a_j^2 a_k + \frac{1}{6} \sum_{\substack{1 \leq i \leq \ell; 1 \leq j < k \leq \ell; \\ j, k \neq i}} a_i^3 a_j a_k + \frac{1}{12} \sum_{\substack{1 \leq i \leq \ell; 1 \leq j \leq \ell; \\ j \neq i}} a_i^3 a_j^2 \\ &\quad - 2\rho^2 \left( \sum_{2 \leq j < k < h \leq \ell} a_j a_k a_h \right) - \rho^2 \left( \sum_{\substack{2 \leq j \leq \ell; 2 \leq k \leq \ell; \\ k \neq j}} a_j^2 a_k \right) - \frac{1}{3} \rho^2 \left( \sum_{2 \leq i \leq \ell} a_i^3 \right) \end{aligned}$$

$$\begin{aligned}
& -a_1\rho^2\left(\sum_{2\leq j\leq\ell}a_j^2\right)-2a_1\rho^2\left(\sum_{2\leq j<k\leq\ell}a_ja_k\right)+\frac{4}{3}\rho^3\left(\sum_{2\leq j<k\leq\ell}a_ja_k\right) \\
& +\frac{2}{3}\rho^3\left(\sum_{2\leq j\leq\ell}a_j^2\right)+\rho^4(a_1-4\rho) \\
= & \sum_{1\leq i<j<k<h<s\leq\ell}a_ia_ja_ka_ha_s+\frac{1}{2}\sum_{\substack{1\leq i\leq\ell;1\leq j<k<h\leq\ell; \\ j,k,h\neq i}}a_i^2a_ja_ka_h \\
& +\frac{1}{4}\sum_{\substack{1\leq i<j\leq\ell;1\leq k\leq\ell; \\ k\neq i,j}}a_i^2a_j^2a_k+\frac{1}{6}\sum_{\substack{1\leq i\leq\ell;1\leq j<k\leq\ell; \\ j,k\neq i}}a_i^3a_ja_k+\frac{1}{12}\sum_{\substack{1\leq i\leq\ell;1\leq j\leq\ell; \\ j\neq i}}a_i^3a_j^2 \\
& -\frac{1}{3}\rho^2\left(\sum_{2\leq i\leq\ell}a_i\right)^3-a_1\rho^2\left(\sum_{2\leq i\leq\ell}a_i\right)^2+\frac{2}{3}\rho^3\left(\sum_{2\leq i\leq\ell}a_i\right)^2+\rho^4(a_1-4\rho) \\
= & \sum_{1\leq i<j<k<h<s\leq\ell}a_ia_ja_ka_ha_s+\frac{1}{2}\sum_{\substack{1\leq i\leq\ell;1\leq j<k<h\leq\ell; \\ j,k,h\neq i}}a_i^2a_ja_ka_h \\
& +\frac{1}{4}\sum_{\substack{1\leq i<j\leq\ell;1\leq k\leq\ell; \\ k\neq i,j}}a_i^2a_j^2a_k+\frac{1}{6}\sum_{\substack{1\leq i\leq\ell;1\leq j<k\leq\ell; \\ j,k\neq i}}a_i^3a_ja_k+\frac{1}{12}\sum_{\substack{1\leq i\leq\ell;1\leq j\leq\ell; \\ j\neq i}}a_i^3a_j^2 \\
& +\rho^2\left[a_1\rho^2-4\rho^3+\left(\frac{2}{3}\rho-a_1\right)(1-a_1)^2-\frac{1}{3}(1-a_1)^3\right] \\
\stackrel{\text{def}}{=} & f(a_1,a_2,\dots,a_\ell,\rho). \tag{3}
\end{aligned}$$

Note that

$$f\left(\frac{1}{\ell},\frac{1}{\ell},\dots,\frac{1}{\ell},0\right)=\frac{\alpha}{120}.$$

Therefore, to show Lemma 3.1, we just need to show the following claim:

**Claim 3.2.**

$$f(a_1,a_2,\dots,a_\ell,\rho)\leq f\left(\frac{1}{\ell},\frac{1}{\ell},\dots,\frac{1}{\ell},0\right)=\frac{\alpha}{120}$$

holds under the constraints

$$\begin{cases} \sum_{i=1}^{\ell}a_i=1, \\ a_i\geq 0, 1\leq i\leq\ell \\ 0\leq\rho\leq\frac{a_1}{4}. \end{cases}$$

**Claim 3.3.** Let  $c$  be a positive number and  $L\geq 2$  be an integer. Suppose that  $\sum_{i=1}^L c_i=c$  and each  $c_i\geq 0$ . Then the function

$$\begin{aligned}
g(c_1, c_2, \dots, c_L) \stackrel{\text{def}}{=} & \sum_{1 \leq i < j < k < h < s \leq L} c_i c_j c_k c_h c_s + \frac{1}{2} \sum_{\substack{1 \leq i \leq L; 1 \leq j < k < h \leq L; \\ j, k, h \neq i}} c_i^2 c_j c_k c_h \\
& + \frac{1}{4} \sum_{\substack{1 \leq i < j \leq L; 1 \leq k \leq L; \\ k \neq i, j}} c_i^2 c_j^2 c_k + \frac{1}{6} \sum_{\substack{1 \leq i \leq L; 1 \leq j < k \leq L; \\ j, k \neq i}} c_i^3 c_j c_k + \frac{1}{12} \sum_{\substack{1 \leq i \leq L; 1 \leq j \leq L; \\ j \neq i}} c_i^3 c_j^2,
\end{aligned}$$

reaches the maximum  $\frac{1}{120}(1 - \frac{5}{L^3} + \frac{4}{L^4})c^5$  when  $c_1 = c_2 = \dots = c_L = \frac{c}{L}$ .

*Proof.* Since each term in function  $g$  has degree 5, we can assume that  $c = 1$ . Suppose that  $g$  reaches the maximum at  $(c_1, c_2, \dots, c_L)$ , we show that  $c_1 = c_2 = \dots = c_L = \frac{c}{L}$  must hold. If not, without loss of generality, assume that  $c_2 > c_1$ , we will show that  $g(c_1 + \varepsilon, c_2 - \varepsilon, c_3, \dots, c_L) - g(c_1, c_2, c_3, \dots, c_L) > 0$  for small enough  $\varepsilon > 0$  and derive a contradiction. Notice that the summation of the terms in  $g(c_1, c_2, \dots, c_L)$  containing  $c_1, c_2$  is

$$\begin{aligned}
& (c_1 + c_2) \sum_{3 \leq i < j < k < h \leq L} c_i c_j c_k c_h + c_1 c_2 \sum_{3 \leq i < j < k \leq L} c_i c_j c_k \\
& + \frac{1}{2}(c_1^2 + c_2^2) \sum_{3 \leq i < j < k \leq L} c_i c_j c_k + \frac{1}{2}(c_1 + c_2) \sum_{3 \leq i \leq L; 3 \leq j < k \leq L; j, k \neq i} c_i^2 c_j c_k \\
& + \frac{1}{2}(c_1^2 c_2 + c_2^2 c_1) \sum_{3 \leq i < j \leq L} c_i c_j + \frac{1}{2} c_1 c_2 \sum_{3 \leq i \leq L; 3 \leq j \leq L; j \neq i} c_i^2 c_j + \frac{1}{4}(c_1^2 c_2^2) \sum_{3 \leq i \leq L} c_i \\
& + \frac{1}{4}(c_1^2 c_2 + c_2^2 c_1) \sum_{3 \leq i \leq L} c_i^2 + \frac{1}{4}(c_1^2 + c_2^2) \sum_{3 \leq i \leq L; 3 \leq j \leq L; j \neq i} c_i^2 c_j + \frac{1}{4}(c_1 + c_2) \sum_{3 \leq i < j \leq L} c_i^2 c_j^2 \\
& + \frac{1}{6}(c_1^3 + c_2^3) \sum_{3 \leq i < j \leq L} c_i c_j + \frac{1}{6}(c_1 + c_2) \sum_{3 \leq i \leq L; 3 \leq j \leq L; i \neq j} c_i^3 c_j + \frac{1}{6}(c_1^3 c_2 + c_1 c_2^3) \sum_{3 \leq i \leq L} c_i \\
& + \frac{1}{6} c_1 c_2 \sum_{3 \leq i \leq L} c_i^3 + \frac{1}{12}(c_1^3 + c_2^3) \sum_{3 \leq i \leq L} c_i^2 + \frac{1}{12}(c_1^2 + c_2^2) \sum_{3 \leq i \leq L} c_i^3 + \frac{1}{12}(c_1^3 c_2^2 + c_1^2 c_2^3) \\
= & \frac{1}{24}(c_1 + c_2) \left[ \left( \sum_{3 \leq i \leq L} c_i \right)^4 - \sum_{3 \leq i \leq L} c_i^4 \right] + \frac{1}{12}(c_1 + c_2)^2 \left( \sum_{3 \leq i \leq L} c_i \right)^3 \\
& + \frac{1}{12}(c_1 + c_2)^3 \left( \sum_{3 \leq i \leq L} c_i \right)^2 + \frac{1}{12} c_1 c_2 (2c_1^2 + 2c_2^2 + 3c_1 c_2) \sum_{3 \leq i \leq L} c_i + \frac{1}{12}(c_1^3 c_2^2 + c_1^2 c_2^3) \\
= & \frac{1}{24}(c_1 + c_2)(1 - c_1 - c_2)^4 - \frac{1}{24}(c_1 + c_2) \sum_{3 \leq i \leq L} c_i^4 \\
& + \frac{1}{12}(c_1 + c_2)^2 (1 - c_1 - c_2)^3 + \frac{1}{12}(c_1 + c_2)^3 (1 - c_1 - c_2)^2 \\
& + \frac{1}{12} c_1 c_2 (2c_1^2 + 2c_2^2 + 3c_1 c_2) (1 - c_1 - c_2) + \frac{1}{12}(c_1^3 c_2^2 + c_1^2 c_2^3).
\end{aligned}$$

Therefore,

$$\begin{aligned}
& g(c_1 + \varepsilon, c_2 - \varepsilon, c_3, \dots, c_L) - g(c_1, c_2, c_3, \dots, c_L) \\
&= \frac{1}{12}(c_1 + \varepsilon)(c_2 - \varepsilon)[2(c_1 + \varepsilon)^2 + 2(c_2 - \varepsilon)^2 + 3(c_1 + \varepsilon)(c_2 - \varepsilon)](1 - c_1 - c_2) \\
&\quad + \frac{1}{12}(c_1 + \varepsilon)^2(c_2 - \varepsilon)^2(c_1 + c_2) - \frac{1}{12}c_1c_2(2c_1^2 + 2c_2^2 + 3c_1c_2)(1 - c_1 - c_2) \\
&\quad - \frac{1}{12}c_1^2c_2^2(c_1 + c_2) \\
&= \frac{1}{6}(c_2 - c_1)(c_1^2 + c_2^2 + c_1c_2)(1 - c_1 - c_2)\varepsilon + \frac{1}{6}c_1c_2(c_2 - c_1)(c_1 + c_2)\varepsilon + o(\varepsilon) > 0.
\end{aligned}$$

Since  $c_2 > c_1$  and  $c_1c_2$ ,  $1 - c_1 - c_2$  cannot be equal to zero simultaneously due to the assumption that  $g$  reaches the maximum at  $(c_1, c_2, \dots, c_L)$ . Therefore,

$$g(c_1 + \varepsilon, c_2 - \varepsilon, c_3, \dots, c_L) - g(c_1, c_2, c_3, \dots, c_L) > 0$$

for small enough  $\varepsilon > 0$ . This contradicts the assumption that  $g$  reaches the maximum at  $(c_1, c_2, \dots, c_L)$ .  $\blacksquare$

Since  $0 \leq \rho \leq \frac{a_1}{4}$ ,  $a_1 - 4\rho \geq 0$ ,  $(1 - a_1)^2 \geq 0$ , then we have,

$$\begin{aligned}
& \rho^2 \left[ a_1\rho^2 - 4\rho^3 + \left( \frac{2}{3}\rho - a_1 \right) (1 - a_1)^2 - \frac{1}{3}(1 - a_1)^3 \right] \\
& \leq \rho^2 \left[ \frac{a_1^3}{16} - \frac{a_1^2}{4}\rho + \left( \frac{2}{3} \times \frac{a_1}{4} - a_1 \right) (1 - a_1)^2 - \frac{1}{3}(1 - a_1)^3 \right] \\
& = \rho^2 \left[ \frac{a_1^3}{16} - \frac{a_1^2}{4}\rho - \left( \frac{a_1}{2} + \frac{1}{3} \right) (1 - a_1)^2 \right] \\
& = \rho^2 \left[ \frac{1}{48}(-21a_1^3 + 32a_1^2 + 8a_1 - 16) - \frac{1}{4}a_1^2\rho \right].
\end{aligned}$$

Let  $h(a_1) = -21a_1^3 + 32a_1^2 + 8a_1 - 16$ , then,  $h'(a_1) = -63a_1^2 + 64a_1 + 8$ ,  $h''(a_1) = -126a_1 + 64$ . So  $h'(a_1)$  increases when  $0 \leq a_1 \leq \frac{32}{63}$ ,  $h'(a_1)$  decreases when  $\frac{32}{63} \leq a_1 \leq 1$ . Hence,  $h'(a_1) \geq \min\{h'(0), h'(1)\} > 0$ , thus,  $h(a_1)$  increases when  $0 \leq a_1 \leq 1$ . Note that  $h(0) < 0$ ,  $h(\frac{11}{15}) < 0$ ,  $h(1) > 0$ , when  $0 \leq a_1 \leq \frac{11}{15}$ , we have  $\rho^2[a_1\rho^2 - 4\rho^3 + (\frac{2}{3}\rho - a_1)(1 - a_1)^2 - \frac{1}{3}(1 - a_1)^3] \leq 0$ , by Claim 3.3 and (3), we have  $f(a_1, a_2, \dots, a_\ell, \rho) \leq g(a_1, a_2, \dots, a_\ell) \leq \frac{\alpha}{120}$ . So Claim 3.2 holds for  $0 \leq a_1 \leq \frac{11}{15}$ . Therefore, we can assume that  $\frac{11}{15} \leq a_1 \leq 1$ . Since the geometric mean is not greater than the arithmetic mean, we have,

$$\rho^2 \left[ \frac{a_1^3}{16} - \frac{a_1^2}{4}\rho - \left( \frac{a_1}{2} + \frac{1}{3} \right) (1 - a_1)^2 \right] = \frac{64}{a_1^4} \left( \frac{a_1^2\rho}{8} \right)^2 \left[ \frac{a_1^3}{16} - \frac{a_1^2}{4}\rho - \left( \frac{a_1}{2} + \frac{1}{3} \right) (1 - a_1)^2 \right]$$

$$\begin{aligned} &\leq \frac{64}{a_1^4} \left[ \frac{\frac{a_1^3}{16} - \left(\frac{a_1}{2} + \frac{1}{3}\right)(1-a_1)^2}{3} \right]^3 \\ &< \frac{64}{a_1^4} \left( \frac{a_1^3}{16 \times 3} \right)^3 \leq \frac{1}{1728}. \end{aligned}$$

Combining with (3) we have

$$\begin{aligned} f(a_1, a_2, \dots, a_\ell, \rho) &\leq f(a_1, a_2, \dots, a_\ell) \\ &\stackrel{\text{def}}{=} \sum_{1 \leq i < j < k < h < s \leq \ell} a_i a_j a_k a_h a_s + \frac{1}{2} \sum_{\substack{1 \leq i \leq \ell; 1 \leq j < k < h \leq \ell; \\ j, k, h \neq i}} a_i^2 a_j a_k a_h \\ &\quad + \frac{1}{4} \sum_{\substack{1 \leq i < j \leq \ell; 1 \leq k \leq \ell; \\ k \neq i, j}} a_i^2 a_j^2 a_k + \frac{1}{6} \sum_{\substack{1 \leq i \leq \ell; 1 \leq j < k \leq \ell; \\ j, k \neq i}} a_i^3 a_j a_k + \frac{1}{12} \sum_{\substack{1 \leq i \leq \ell; 1 \leq j \leq \ell; \\ j \neq i}} a_i^3 a_j^2 + \frac{1}{1728}. \end{aligned}$$

Therefore, to show Claim 3.2, it is sufficient to show the following claim:

**Claim 3.4.**

$$f(a_1, a_2, \dots, a_\ell) \leq \frac{\alpha}{120}$$

holds under the constraints  $\sum_{i=1}^{\ell} a_i = 1$ ,  $a_1 \geq \frac{11}{15}$ , and each  $a_i \geq 0$ .

In order to prove Claim 3.4, we need to prove the following claim first:

**Claim 3.5.**

$$\begin{aligned} h(a_2, a_3, \dots, a_\ell) &\stackrel{\text{def}}{=} \sum_{2 \leq j < k < h < s \leq \ell} a_j a_k a_h a_s + \frac{1}{2} \sum_{\substack{2 \leq j \leq \ell; 2 \leq k < h \leq \ell; \\ k, h \neq j}} a_j^2 a_k a_h + \frac{1}{4} \sum_{2 \leq j < k \leq \ell} a_j^2 a_k^2 \\ &\quad + \frac{1}{6} \sum_{\substack{2 \leq j \leq \ell; 2 \leq k \leq \ell; \\ k \neq j}} a_j^3 a_k, \end{aligned}$$

reaches maximum  $\frac{1}{24} \left(1 - \frac{1}{(\ell-1)^3}\right) c^4$  at  $a_2 = a_3 = \dots = a_\ell = \frac{c}{\ell-1}$  under the constraints  $\sum_{i=1}^{\ell} a_i = c$ , and each  $a_i \geq 0$ .

*Proof of Claim 3.5.* Since  $h(a_2, a_3, \dots, a_\ell)$  is a polynomial with degree 4 for each term, we just need to prove the claim for the case  $c = 1$ . Suppose that  $h$  reaches the maximum at  $(c_2, c_3, \dots, c_\ell)$ , we show that  $c_2 = c_3 = \dots = c_\ell = \frac{1}{\ell-1}$ . Otherwise, assume that  $c_3 > c_2$ , we will show that  $h(c_2 + \varepsilon, c_3 - \varepsilon, c_4, \dots, c_\ell) - h(c_2, c_3, \dots, c_\ell) > 0$  for small enough  $\varepsilon > 0$  and derive a contradiction. Notice that

$$\begin{aligned}
& h(c_2 + \varepsilon, c_3 - \varepsilon, c_4, \dots, c_\ell) - h(c_2, c_3, c_4, \dots, c_\ell) \\
= & [(c_2 + \varepsilon)(c_3 - \varepsilon) - c_2 c_3] \sum_{4 \leq j < k \leq \ell} c_j c_k \\
& + \frac{1}{2} [(c_2 + \varepsilon)^2 + (c_3 - \varepsilon)^2 - c_2^2 - c_3^2] \sum_{4 \leq j < k \leq \ell} c_j c_k + \frac{1}{2} [(c_2 + \varepsilon)(c_3 - \varepsilon) - c_2 c_3] \sum_{4 \leq j \leq \ell} c_j^2 \\
& + \frac{1}{2} [(c_2 + \varepsilon)^2 (c_3 - \varepsilon) + (c_3 - \varepsilon)^2 (c_2 + \varepsilon) - c_2^2 c_3 - c_2 c_3^2] \sum_{4 \leq j \leq \ell} c_j \\
& + \frac{1}{4} [(c_2 + \varepsilon)^2 + (c_3 - \varepsilon)^2 - c_2^2 - c_3^2] \sum_{4 \leq j \leq \ell} c_j^2 + \frac{1}{4} [(c_2 + \varepsilon)^2 (c_3 - \varepsilon)^2 - c_2^2 c_3^2] \\
& + \frac{1}{6} [(c_2 + \varepsilon)^3 + (c_3 - \varepsilon)^3 - c_2^3 - c_3^3] \sum_{4 \leq j \leq \ell} c_j \\
& + \frac{1}{6} [(c_2 + \varepsilon)^3 (c_3 - \varepsilon) + (c_3 - \varepsilon)^3 (c_2 + \varepsilon) - c_2^3 c_3 - c_3^3 c_2] \\
= & \frac{1}{6} (c_3^3 - c_2^3) \varepsilon + o(\varepsilon) > 0,
\end{aligned}$$

for small enough  $\varepsilon > 0$  and get a contradiction.  $\blacksquare$

*Proof of Claim 3.4.* We will apply Claims 3.3 and 3.5. Separating the terms containing  $a_1$  from the terms not containing  $a_1$ , we write function  $f(a_1, a_2, \dots, a_\ell)$  as follows:

$$\begin{aligned}
& f(a_1, a_2, \dots, a_\ell) \\
= & \sum_{2 \leq i < j < k < h < s \leq \ell} a_i a_j a_k a_h a_s + \frac{1}{2} \sum_{\substack{2 \leq i \leq \ell; 2 \leq j < k < h \leq \ell; \\ j, k, h \neq i}} a_i^2 a_j a_k a_h \\
& + \frac{1}{4} \sum_{\substack{2 \leq i < j \leq \ell; 2 \leq k \leq \ell; \\ k \neq i, j}} a_i^2 a_j^2 a_k + \frac{1}{6} \sum_{\substack{2 \leq i \leq \ell; 2 \leq j < k \leq \ell; \\ j, k \neq i}} a_i^3 a_j a_k + \frac{1}{12} \sum_{\substack{2 \leq i \leq \ell; 2 \leq j \leq \ell; \\ j \neq i}} a_i^3 a_j^2 \\
& + a_1 \left( \sum_{2 \leq j < k < h < s \leq \ell} a_j a_k a_h a_s + \frac{1}{2} \sum_{\substack{2 \leq j \leq \ell; 2 \leq k < h \leq \ell; \\ k, h \neq j}} a_j^2 a_k a_h + \frac{1}{4} \sum_{2 \leq j < k \leq \ell} a_j^2 a_k^2 \right. \\
& + \frac{1}{6} \sum_{\substack{2 \leq j \leq \ell; 2 \leq k \leq \ell; \\ k \neq j}} a_j^3 a_k + \frac{1}{2} a_1^2 \left( \sum_{2 \leq j < k < h \leq \ell} a_j a_k a_h \right) + \frac{1}{4} a_1^2 \left( \sum_{\substack{2 \leq j \leq \ell; 2 \leq k \leq \ell; \\ k \neq j}} a_j^2 a_k \right) \\
& \left. + \frac{1}{6} a_1^3 \left( \sum_{2 \leq j < k \leq \ell} a_j a_k \right) + \frac{1}{12} a_1^3 \left( \sum_{2 \leq j \leq \ell} a_j^2 \right) + \frac{1}{12} a_1^2 \left( \sum_{2 \leq j \leq \ell} a_j^3 \right) + \frac{1}{1728} \right) \\
= & \sum_{2 \leq i < j < k < h < s \leq \ell} a_i a_j a_k a_h a_s + \frac{1}{2} \sum_{\substack{2 \leq i \leq \ell; 2 \leq j < k < h \leq \ell; \\ j, k, h \neq i}} a_i^2 a_j a_k a_h
\end{aligned}$$

$$\begin{aligned}
& +\frac{1}{4} \sum_{\substack{2 \leq i < j \leq \ell; 2 \leq k \leq \ell; \\ k \neq i, j}} a_i^2 a_j^2 a_k + \frac{1}{6} \sum_{\substack{2 \leq i \leq \ell; 2 \leq j < k \leq \ell; \\ j, k \neq i}} a_i^3 a_j a_k + \frac{1}{12} \sum_{\substack{2 \leq i \leq \ell; 2 \leq j \leq \ell; \\ j \neq i}} a_i^3 a_j^2 \\
& + a_1 \left( \sum_{2 \leq j < k < h < s \leq \ell} a_j a_k a_h a_s + \frac{1}{2} \sum_{\substack{2 \leq j \leq \ell; 2 \leq k < h \leq \ell; \\ k, h \neq j}} a_j^2 a_k a_h + \frac{1}{4} \sum_{2 \leq j < k \leq \ell} a_j^2 a_k^2 \right) \\
& + \frac{1}{6} \sum_{\substack{2 \leq j \leq \ell; 2 \leq k \leq \ell; \\ k \neq j}} a_j^3 a_k + \frac{1}{12} a_1^3 \left( \sum_{2 \leq j \leq \ell} a_j \right)^2 + \frac{1}{12} a_1^2 \left( \sum_{2 \leq j \leq \ell} a_j \right)^3 + \frac{1}{1728}.
\end{aligned}$$

Applying Claim 3.3 by taking  $L = \ell - 1$  variables  $a_2, a_3, \dots, a_\ell$  and  $c = 1 - a_1$ , Claim 3.5 and  $\frac{1}{12} a_1^2 \left( \sum_{2 \leq j \leq \ell} a_j \right)^3 + \frac{1}{12} a_1^3 \left( \sum_{2 \leq j \leq \ell} a_j \right)^2 = \frac{1}{12} a_1^2 (1 - a_1)^3 + \frac{1}{12} a_1^3 (1 - a_1)^2 = \frac{1}{12} a_1^2 (1 - a_1)^2$ , we have

$$\begin{aligned}
f(a_1, a_2, \dots, a_\ell) & \leq f(a_1) \stackrel{\text{def}}{=} \frac{1}{120} \left[ 1 - \frac{5}{(\ell - 1)^3} + \frac{4}{(\ell - 1)^4} \right] (1 - a_1)^5 \\
& \quad + \frac{1}{24} \left[ 1 - \frac{1}{(\ell - 1)^3} \right] (1 - a_1)^4 a_1 + \frac{1}{12} a_1^2 (1 - a_1)^2 + \frac{1}{1728}.
\end{aligned}$$

Therefore, to show Claim 3.4, we need to show the following claim:

**Claim 3.6.**

$$f(a_1) \leq \frac{\alpha}{120}$$

holds when  $\frac{11}{15} \leq a_1 \leq 1$ .

*Proof.* By a direct calculation,

$$f'(a_1) = \frac{1}{6} \left[ \frac{1}{(\ell - 1)^3} - \frac{1}{(\ell - 1)^4} \right] (1 - a_1)^4 + \frac{1}{6(\ell - 1)^3} (1 - a_1)^3 a_1 - \frac{1}{6} a_1^3 (1 - a_1),$$

$$f''(a_1) = \left[ \frac{2}{3(\ell - 1)^4} - \frac{1}{2(\ell - 1)^3} \right] (1 - a_1)^3 - \frac{1}{2(\ell - 1)^3} (1 - a_1)^2 a_1 + \frac{2}{3} a_1^3 - \frac{1}{2} a_1^2,$$

$$f^{(3)}(a_1) = \left[ \frac{1}{(\ell - 1)^3} - \frac{2}{(\ell - 1)^4} \right] (1 - a_1)^2 + \frac{1}{(\ell - 1)^3} (1 - a_1) a_1 + 2a_1^2 - a_1,$$

$$f^{(4)}(a_1) = \left[ 4 - \frac{4}{(\ell - 1)^4} \right] a_1 - 1 - \frac{1}{(\ell - 1)^3} + \frac{4}{(\ell - 1)^4},$$

Note that  $f^{(4)}(a_1) > 0$ , when  $\frac{11}{15} \leq a_1 \leq 1$ , so  $f^{(3)}(a_1)$  increases when  $\frac{11}{15} \leq a_1 \leq 1$ . By a direct calculation,  $f^{(3)}(\frac{11}{15}) > 0$ , so  $f''(a_1)$  increases when  $\frac{11}{15} \leq a_1 \leq 1$ . Since we have  $f''(\frac{11}{15}) < 0$ ,  $f''(1) > 0$ , thus,  $f'(a_1) \leq \max\{f'(\frac{11}{15}), f'(1)\}$ . By a direct calculation,  $f'(\frac{11}{15}) < 0$ ,  $f'(1) = 0$ , so  $f(a_1)$  is a decreasing function when  $\frac{11}{15} \leq a_1 \leq 1$ . When  $\ell = 2$ ,  $f(\frac{11}{15}) = \frac{1}{12} \times \frac{11^2 \times 4^2}{15^4} + \frac{1}{1728} < \frac{1}{120} \times \frac{5}{8} = \frac{\alpha}{120}$ . If  $\ell \geq 3$ , since  $1 - \frac{5}{(\ell - 1)^3} + \frac{4}{(\ell - 1)^4} \geq 1 - \frac{5}{2^3} + \frac{4}{2^4}$ , then we have  $f(\frac{11}{15}) = \frac{1}{120} \left[ 1 - \frac{5}{(\ell - 1)^3} + \frac{4}{(\ell - 1)^4} \right] - (1 -$

$\frac{4^5}{15^5}) \times \frac{1}{120} (1 - \frac{5}{(\ell-1)^3} + \frac{4}{(\ell-1)^4}) + \frac{1}{24} [1 - \frac{1}{(\ell-1)^3}] \times \frac{11 \times 4^4}{15^5} + \frac{1}{12} \times \frac{11^2 \times 4^2}{15^4} + \frac{1}{1728} \leq \frac{1}{120} [1 - \frac{5}{(\ell-1)^3} + \frac{4}{(\ell-1)^4}] - (1 - \frac{4^5}{15^5}) \times \frac{1}{120} (1 - \frac{5}{2^3} + \frac{4}{2^4}) + \frac{1}{24} \times \frac{11 \times 4^4}{15^5} + \frac{1}{12} \times \frac{11^2 \times 4^2}{15^4} + \frac{1}{1728} \leq \frac{1}{120} [1 - \frac{5}{(\ell-1)^3} + \frac{4}{(\ell-1)^4}]$ .  
 So,  $f(a_1) \leq f(\frac{11}{15}) \leq \frac{1}{120} [1 - \frac{5}{(\ell-1)^3} + \frac{4}{(\ell-1)^4}] < \frac{1}{120} [1 - \frac{5}{\ell^3} + \frac{4}{\ell^4}] = \frac{\alpha}{120}$ . This completes the proof of Claim 3.6.  $\blacksquare$

Applying Claim 3.2 to (3), we have

$$\lambda(M) \leq \frac{\alpha}{120}.$$

This completes the proof of Lemma 3.1.  $\blacksquare$

## 4 Proof of Theorem 1.5

Theorem 1.5 extends Theorem 1.4 for the case  $\ell = 5$  to every integer  $r \geq 5$ . The proof is based on an extension of the 5-uniform graph  $H(\ell, t)$  in Section 3 for the case  $\ell = 5$ .

Suppose that  $\frac{151r!}{6r^r}$  is a jump for  $r \geq 5$ . In view of Lemma 2.2, there exists a finite collection  $\mathcal{F}$  of  $r$ -uniform graphs satisfying the following:

- i)  $\lambda(F) > \frac{151}{6r^r}$  for all  $F \in \mathcal{F}$ , and
- ii)  $\frac{151r!}{6r^r}$  is a threshold for  $\mathcal{F}$ .

Set  $k_0 = \max_{F \in \mathcal{F}} |V(F)|$  and  $\sigma_0 = 2c_0(\ell)$  be the number defined as in the above. Let  $r = 5$  and  $t_0(k_0, \sigma_0)$  be given as in Lemma 2.3. Take an integer  $t > t_0$  and a 5-uniform hypergraph  $H(5, t)$  (i.e.  $\ell = 5$ ) the same way as in the above with the new  $k_0$ . For simplicity, we write  $H(5, t)$  as  $H(t)$ .

Since Theorem 1.4 holds, we may assume that  $r \geq 6$ .

Based on the 5-uniform graph  $H(t)$ , we construct an  $r$ -uniform graph  $H^{(r)}(t)$  on  $r$  pairwise disjoint sets  $V_1, V_2, V_3, V_4, V_5, \dots, V_r$ , each with order  $t$  by taking the edge set  $\{u_1, u_2, u_3, u_4, u_5, \dots, u_r\}$ , where  $\{\{u_1, u_2, u_3, u_4, u_5\}$  is an edge in  $H(t)$  and for each  $j$ ,  $6 \leq j \leq r$ ,  $u_j \in V_j\}$ . Notice that

$$|E(H^{(r)}(t))| = t^{r-5} |E(H(t))|.$$

Take  $\ell = 5$ , we get

$$|E(H(t))| \geq \frac{151}{6} t^5 + \frac{c_0(\ell) t^4}{2}.$$

Hence, we have

$$\lambda(H^{(r)}(t)) \geq \frac{|E(H^{(r)}(t))|}{(rt)^r} \geq \frac{151}{6r^r} + \frac{c_0(\ell)}{2r^r t}.$$



Similar as the case that Theorem 1.4 follows from Lemma 3.1, we have that Theorem 1.5 follows from the following lemma.

**Lemma 4.1.** *Let  $M^{(r)}$  be a subgraph of  $H^{(r)}(t)$  with  $|V(M^{(r)})| \leq k_0$ . Then*

$$\lambda(M^{(r)}) \leq \frac{151}{6r^r}$$

*holds.*

*Proof.* In view of Fact 2.1, we may assume that  $M^{(r)}$  is a non-empty induced subgraph of  $H^{(r)}(t)$ . Define  $U_i = V(M) \cap V_i$  for  $1 \leq i \leq r$ . Let  $M^{(5)}$  be the 5-uniform graph defined on  $\bigcup_{i=1}^5 U_i$ . The edge set of  $M^{(5)}$  consists of all 5-sets of the form of  $e \cap (\bigcup_{i=1}^5 U_i)$ , where  $e$  is an edge of  $M^{(r)}$ . Let  $\vec{\xi}$  be an optimal vector for  $\lambda(M^{(r)})$ . Let  $\vec{\xi}^{(5)}$  be the restriction of  $\vec{\xi}$  to  $U_1 \cup U_2 \cup U_3 \cup U_4 \cup U_5$ . Let  $a_i$  be the sum of the weights of vertices of  $U_i$ ,  $1 \leq i \leq r$ , respectively.

According to the relationship between  $M^{(r)}$  and  $M^{(5)}$ , we have

$$\lambda(M^{(r)}) = \lambda(M^{(5)}, \vec{\xi}^{(5)}) \times \prod_{i=6}^r a_i.$$

Applying Lemma 3.1 with  $\ell = 5$  and observing that  $\sum_{i=1}^5 a_i = 1 - \sum_{i=6}^r a_i$ , we obtain that,

$$\begin{aligned} \lambda(M^{(r)}) &\leq \frac{1}{120} \times \frac{604}{5^4} (1 - \sum_{i=6}^r a_i)^5 \prod_{i=6}^r a_i \leq \frac{1}{120} \times \frac{604}{5^4} \times 5^5 \times \left( \frac{1 - \sum_{i=6}^r a_i}{5} \right)^5 \prod_{i=6}^r a_i \\ &= \frac{1}{120} \times \frac{604}{5^4} \times 5^5 \times \left( \frac{1}{r} \right)^r = \frac{151}{6r^r}. \end{aligned}$$

This completes the proof of Lemma 4.1. ■

## 5 Proof of Theorem 1.6

In this section, we focus on  $r = 5$  and prove the following Theorem, which implies Theorem 1.6.

**Theorem 5.1.** *Let  $\ell \geq 2$ ,  $q \geq 1$  be integers. Let  $N(\ell)$  be any of the five numbers given below.*

$$N(\ell) = \begin{cases} 1 - \frac{5}{\ell^3} + \frac{4}{\ell^4}, & \text{or} \\ 1 - \frac{1}{\ell^4}, & \text{or} \\ \frac{12}{125} & (\text{in this case, view } \ell = 5), & \text{or} \\ \frac{96}{625} & (\text{in this case, view } \ell = 5), & \text{or} \\ \frac{252}{625} & (\text{in this case, view } \ell = 5). \end{cases} \quad (4)$$

Then

$$N(\ell, q) = 1 - \frac{10}{\ell q} + \frac{35}{\ell^2 q^2} - \frac{50}{\ell^3 q^3} + \frac{10}{\ell q^4} - \frac{35}{\ell^2 q^4} + \frac{50}{\ell^3 q^4} - \frac{1}{q^4} + \frac{N(\ell)}{q^4} \quad (5)$$

is not a jump for 5 provided

$$q = 1 \text{ or } \ell^3(1 - N(\ell))(q^3 + q^2 + q + 1) - 10\ell^2(q^2 + q + 1) + 35\ell(q + 1) - 50 \geq 0 \quad (6)$$

holds.

Now let us explain why Theorem 5.1 implies Theorem 1.6.

If  $N(\ell) = \alpha$ , then

$$\begin{aligned} & \ell^3(1 - N(\ell))(q^3 + q^2 + q + 1) - 10\ell^2(q^2 + q + 1) + 35\ell(q + 1) - 50 \\ &= \ell^3\left(\frac{5}{\ell^3} - \frac{4}{\ell^4}\right)(q^3 + q^2 + q + 1) - 10\ell^2(q^2 + q + 1) + 35\ell(q + 1) - 50 \\ &= \frac{1}{\ell}[(5\ell - 4)q^3 + (5\ell - 10\ell^3 - 4)q^2 + (5\ell - 10\ell^3 + 35\ell^2 - 4)q \\ &\quad + (-45\ell - 10\ell^3 + 35\ell^2 - 4)] \\ &\stackrel{\text{def}}{=} f_1(q) \end{aligned}$$

is an increasing function of  $q$  when  $q \geq 2\ell^2 + 2\ell$  and  $f_1(2\ell^2 + 2\ell) > 0$ . Therefore, when  $q \geq 2\ell^2 + 2\ell$ , (6) is satisfied. Applying Theorem 5.1, we get Part (a) of Theorem 1.6.

If  $N(\ell) = 1 - \frac{1}{\ell^4}$ , then

$$\begin{aligned} & \ell^3(1 - N(\ell))(q^3 + q^2 + q + 1) - 10\ell^2(q^2 + q + 1) + 35\ell(q + 1) - 50 \\ &= \ell^3\left(\frac{1}{\ell^4}\right)(q^3 + q^2 + q + 1) - 10\ell^2(q^2 + q + 1) + 35\ell(q + 1) - 50 \\ &= \frac{1}{\ell}[q^3 - (10\ell^3 - 1)q^2 - (10\ell^3 - 35\ell^2 - 1)q + (1 - 10\ell^3 + 35\ell^2 - 50\ell)] \\ &\stackrel{\text{def}}{=} f_2(q) \end{aligned}$$

is an increasing function of  $q$  when  $q \geq 7\ell^3$  and  $f_2(10\ell^3) > 0$ . Therefore, when  $q \geq 10\ell^3$ , (6) is satisfied. Applying Theorem 5.1, we get Part (b) of Theorem 1.6.

If  $\ell = 5$  and  $N(\ell) = \frac{12}{125}$ , then

$$\begin{aligned} & \ell^3(1 - N(\ell))(q^3 + q^2 + q + 1) - 10\ell^2(q^2 + q + 1) + 35\ell(q + 1) - 50 \\ &= 113q^3 - 137q^2 + 38q - 12 \\ &\stackrel{\text{def}}{=} f_3(q) \end{aligned}$$

is an increasing function of  $q$  when  $q \geq 1$  and  $f_3(2) > 0$ . Therefore, (6) is satisfied. Applying Theorem 5.1, we get Part (c) of Theorem 1.6.

If  $\ell = 5$  and  $N(\ell) = \frac{96}{625}$ , then

$$\begin{aligned} & \ell^3(1 - N(\ell))(q^3 + q^2 + q + 1) - 10\ell^2(q^2 + q + 1) + 35\ell(q + 1) - 50 \\ &= \frac{1}{5}(529q^3 - 721q^2 + 154q - 96) \\ &\stackrel{\text{def}}{=} f_4(q) \end{aligned}$$

is an increasing function of  $q$  when  $q \geq 1$  and  $f_4(2) > 0$ . Therefore, (6) is satisfied. Applying Theorem 5.1, we get Part (d) of Theorem 1.6.

If  $\ell = 5$  and  $N(\ell) = \frac{252}{625}$ , then

$$\begin{aligned} & \ell^3(1 - N(\ell))(q^3 + q^2 + q + 1) - 10\ell^2(q^2 + q + 1) + 35\ell(q + 1) - 50 \\ &= \frac{1}{5}(373q^3 - 877q^2 - 2q - 252) \\ &\stackrel{\text{def}}{=} f_5(q) \end{aligned}$$

is an increasing function of  $q$  when  $q \geq 2$  and  $f_5(3) > 0$ . Therefore, when  $q \geq 3$ , (6) is satisfied. Applying Theorem 5.1, we get Part (e) of Theorem 1.6.

Now we give the proof of Theorem 5.1.

*Proof of Theorem 5.1.* Let integers  $\ell, q$  and numbers  $N(\ell)$  and  $N(\ell, q)$  be given as in Theorem 5.1. We will show that  $N(\ell, q)$  is not a jump for 5. Let  $t$  be a fixed large enough integer determined later. We first define a 5-uniform hypergraph  $G(\ell, t)$  on  $\ell$  pairwise disjoint sets  $V_1, \dots, V_\ell$ , each of them with size  $t$  and the density of  $G(\ell, t)$  is close to  $N(\ell)$  when  $t$  is large enough. Each of five choices of  $N(\ell)$  corresponds to a construction.

1. If  $N(\ell) = \alpha$ , then  $G(\ell, t)$  is defined in section 3. Notice that

$$d(G(\ell, t)) = \frac{\binom{\ell}{5}t^5 + \ell\binom{\ell-1}{3}\binom{t}{2}t^3 + \binom{\ell}{2}(\ell-2)\binom{t}{2}\binom{t}{2}t + \ell\binom{\ell-1}{2}\binom{t}{3}t^2 + \ell(\ell-1)\binom{t}{3}\binom{t}{2}}{\binom{\ell t}{5}}$$

which is close to  $\alpha$  if  $t$  is large enough.

2. If  $N(\ell) = 1 - \frac{1}{\ell^4}$ , then  $G(\ell, t)$  is defined on  $\ell$  pairwise disjoint sets  $V_1, V_2, \dots, V_\ell$ , where  $|V_i| = t$ , and the edge set of  $G(\ell, t)$  is  $\left(\cup_{i=1}^{\ell} V_i\right) - \cup_{i=1}^{\ell} \binom{V_i}{5}$ . Notice that

$$d(G(\ell, t)) = \frac{\binom{\ell t}{5} - \ell \binom{t}{5}}{\binom{\ell t}{5}}$$

which is close to  $1 - \frac{1}{\ell^4}$  if  $t$  is large enough.

3. If  $N(5) = \frac{12}{125}$  (in this case, view  $\ell = 5$ ), then  $G(5, t)$  is defined on 5 pairwise disjoint sets  $V_1, V_2, V_3, V_4, V_5$ , where  $|V_i| = t$ , and the edge set of  $G(5, t)$  consists of all 5-sets in the form of  $\{\{a, b, c, v_4, v_5\}, \text{ where } a \in V_1, b \in V_2, c \in V_3 \text{ and } v_4 \in V_4, v_5 \in V_5\}$ , or  $\{\{a, b, c, v_4, v_5\}, \text{ where } \{a, b\} \in \binom{V_1}{2}, c \in V_2 \text{ and } v_4 \in V_4, v_5 \in V_5\}$ , or  $\{\{a, b, c, v_4, v_5\}, \text{ where } \{a, b\} \in \binom{V_2}{2}, c \in V_3 \text{ and } v_4 \in V_4, v_5 \in V_5\}$ , or  $\{\{a, b, c, v_4, v_5\}, \text{ where } \{a, b\} \in \binom{V_3}{2}, c \in V_1 \text{ and } v_4 \in V_4, v_5 \in V_5\}$ . Notice that

$$d(G(5, t)) = \frac{t^5 + 3 \binom{t}{2} t^3}{\binom{5t}{5}}$$

which is close to  $\frac{12}{125}$  if  $t$  is large enough.

4. If  $N(5) = \frac{96}{625}$  (in this case, view  $\ell = 5$ ), then  $G(5, t)$  is defined on 5 pairwise disjoint sets  $V_1, V_2, V_3, V_4, V_5$ , where  $|V_i| = t$ , and the edge set of  $G(5, t)$  consists of all 5-sets in the form of  $\{\{v_1, v_2, v_3, v_4, v_5\}, \text{ where } \{v_1, v_2, v_3\} \in \left(\cup_{i=1}^3 V_i\right) - \cup_{i=1}^3 \binom{V_i}{3}, \text{ and } v_4 \in V_4, v_5 \in V_5\}$ . Notice that

$$d(G(5, t)) = \frac{\left(\binom{3t}{3} - 3 \binom{t}{3}\right) t^2}{\binom{5t}{5}}$$

which is close to  $\frac{96}{625}$  if  $t$  is large enough.

5. If  $N(5) = \frac{252}{625}$  (in this case, view  $\ell = 5$ ), then  $G(5, t)$  is defined on 5 pairwise disjoint sets  $V_1, V_2, V_3, V_4, V_5$ , where  $|V_i| = t$ , and the edge set of  $G(5, t)$  consists of all 5-sets in the form of  $\{\{v_1, v_2, v_3, v_4, v_5\}, \text{ where } \{v_1, v_2, v_3, v_4\} \in \left(\cup_{i=1}^4 V_i\right) - \cup_{i=1}^4 \binom{V_i}{4}, \text{ and } v_5 \in V_5\}$ . Notice that

$$d(G(5, t)) = \frac{\left(\binom{4t}{4} - 4 \binom{t}{4}\right) t}{\binom{5t}{5}}$$

which is close to  $\frac{252}{625}$  if  $t$  is large enough.

We also note that

$$\frac{|E(G(\ell, t))| + \frac{1}{12} \ell^4 t^4}{(\ell t)^5} \geq \frac{1}{120} \left(N(\ell) + \frac{1}{\ell^5 t}\right) \quad (7)$$

holds for  $t \geq t_1$ .

The 5-uniform graph  $G(\ell, q, t)$  on  $\ell q$  pairwise disjoint sets  $V_i$ ,  $1 \leq i \leq \ell q$ , each of them with size  $t$  is obtained as follows: for each  $p$ ,  $0 \leq p \leq q-1$ , take a copy of  $G(\ell, t)$  on the vertex set  $\cup_{p\ell+1 \leq j \leq (p+1)\ell} V_j$ , then add all other edges (not entirely in any copy of  $G(\ell, t)$ ) in the form of  $\{\{v_{j_1}, v_{j_2}, v_{j_3}, v_{j_4}, v_{j_5}\}$ , where  $1 \leq j_1 < j_2 < j_3 < j_4 < j_5 \leq \ell q$  and  $v_{j_k} \in V_{j_k}$  for  $1 \leq k \leq 5\}$ . We will use Lemma 2.3 to add a 5-uniform graph to  $G(\ell, q, t)$  so that the Lagrangian of the resulting graph is  $> \frac{N(\ell, q)}{120} + \varepsilon(t)$  for some  $\varepsilon(t) > 0$ . The precise argument is given below.

Suppose that  $N(\ell, q)$  is a jump for  $r = 5$ . By Lemma 2.2, there exists a finite collection  $\mathcal{F}$  of 5-uniform graphs satisfying the following:

- i)  $\lambda(F) > \frac{N(\ell, q)}{120}$  for all  $F \in \mathcal{F}$ , and
- ii)  $N(\ell, q)$  is a threshold for  $\mathcal{F}$ .

Assume that  $r = 5$  and set  $k_1 = \max_{F \in \mathcal{F}} |V(F)|$  and  $\sigma_1 = \frac{1}{12} \ell^4 q$ . Let  $t_0(k_1, \sigma_1)$  be given as in Lemma 2.3. Fix an integer  $t > \max(t_0, t_1)$ , where  $t_1$  is the number from (7).

Take a 5-uniform graph  $A_{k_1, \sigma_1}(t)$  satisfying the conditions in Lemma 2.3 with  $V(A_{k_1, \sigma_1}(t)) = V_1$ . The 5-uniform hypergraph  $H(\ell, q, t)$  is obtained by adding  $A_{k_1, \sigma_1}(t)$  to the 5-uniform hypergraph  $G(\ell, q, t)$ . Now we give a lower bound of  $\lambda(H(\ell, q, t))$ . Notice that,

$$\lambda(H(\ell, q, t)) \geq \frac{|E(H(\ell, q, t))|}{(\ell q t)^5}.$$

In view of the construction of  $H(\ell, q, t)$ , we have

$$\begin{aligned} & \frac{|E(H(\ell, q, t))|}{(\ell q t)^5} = \frac{|E(G(\ell, q, t))| + \sigma_1 t^4}{(\ell q t)^5} \\ &= \frac{q|E(G(\ell, t))| + \frac{1}{12} \ell^4 q t^4 + ((\binom{\ell q}{5} - q \binom{\ell}{5}) t^5)}{(\ell q t)^5} \\ &= \frac{q|E(G(\ell, t))| + \frac{1}{12} \ell^4 q t^4}{(\ell q t)^5} + \frac{1}{120} \left(1 - \frac{10}{\ell q} + \frac{35}{\ell^2 q^2} - \frac{50}{\ell^3 q^3} - \frac{1}{q^4} + \frac{10}{\ell q^4} - \frac{35}{\ell^2 q^4} + \frac{50}{\ell^3 q^4}\right) \\ &\stackrel{(7)}{\geq} \frac{1}{120} \left(\frac{N(\ell)}{q^4} + \frac{1}{(\ell q)^5 t}\right) + \frac{1}{120} \left(1 - \frac{10}{\ell q} + \frac{35}{\ell^2 q^2} - \frac{50}{\ell^3 q^3} - \frac{1}{q^4} + \frac{10}{\ell q^4} - \frac{35}{\ell^2 q^4} + \frac{50}{\ell^3 q^4}\right) \\ &\stackrel{(5)}{=} \frac{1}{120} \left(N(\ell, q) + \frac{1}{(\ell q)^5 t}\right). \end{aligned}$$

Hence, we have

$$\lambda(H(\ell, q, t)) \geq \frac{1}{120} \left(N(\ell, q) + \frac{1}{(\ell q)^5 t}\right).$$

Now suppose  $\vec{y} = \{y_1, y_2, \dots, y_{\ell q t}\}$  is an optimal vector of  $\lambda(H(\ell, q, t))$ . Let  $\varepsilon = \frac{1}{2(\ell q)^5 t}$  and  $n > n_1(\varepsilon)$  as in Remark 2.1. Then 5-uniform graph  $S_n = ([ny_1], \dots, [ny_{\ell q t}]) \otimes H(\ell, q, t)$  has density larger than  $N(\ell, q) + \varepsilon$ . Since  $N(\ell, q)$  is a threshold for

$\mathcal{F}$ , some member  $F$  of  $\mathcal{F}$  is a subgraph of  $S_n$  for  $n \geq \max\{n_0(\varepsilon), n_1(\varepsilon)\}$ . For such  $F \in \mathcal{F}$ , there exists a subgraph  $M'$  of  $H(\ell, q, t)$  with  $|V(M')| \leq k_1$  so that  $F \subset \vec{\mathbf{n}} \otimes M' \subset \vec{\mathbf{n}} \otimes H(\ell, q, t)$ .

Theorem 5.1 will follow from the following lemma.

**Lemma 5.1.** *Let  $M'$  be any graph of  $H(\ell, q, t)$  with  $|V(M')| \leq k_1$ . Then*

$$\lambda(M') \leq \frac{1}{120}N(\ell, q) \quad (8)$$

holds.

The proof of Lemma 5.1 will be given as follows. We continue the proof of Theorem 5.1 by applying this Lemma. By Fact 2.2 we have

$$\lambda(F) \leq \lambda(\vec{\mathbf{n}} \otimes M') = \lambda(M') \leq \frac{1}{120}N(\ell, q)$$

which contradicts our choice of  $F$ , i.e., contradicts the fact that  $\lambda(F) > \frac{1}{120}N(\ell, q)$  for all  $F \in \mathcal{F}$ . This completes the proof of Theorem 5.1.  $\blacksquare$

*Proof of Lemma 5.1.* Let  $M'$  be any subgraph of  $H(\ell, q, t)$  with  $|V(M')| \leq k_1$  and  $\vec{\xi}$  be an optimal vector for  $\lambda(M')$ . Define  $U_i = V(M') \cap V_i$  for  $1 \leq i \leq \ell q$ . Let  $a_i$  be the sum of the weights in  $U_i$ ,  $1 \leq i \leq \ell q$ , respectively. Note that  $\sum_{i=1}^{\ell q} a_i = 1$  and  $a_i \geq 0$  for each  $i$ ,  $1 \leq i \leq \ell q$ .

The proof of Lemma 5.1 is based on Lemma 3.1, Claim 3.2, 3.3 and an estimation given in [5] and [11] on the summation of the terms in  $\lambda(M')$  corresponding to edges in  $E(M') \cap \binom{\cup_{i=1}^{\ell} V_i}{5}$ , denoted by  $\lambda(M' \cap \cup_{i=1}^{\ell} V_i)$ . For our purpose, we formulate Claim 3.2 in Section 3, Lemma 4.2 in [5] and Lemma 3.2 in [11] as follows.

**Lemma 5.2.** *There exists a function  $f$  such that*

$$\lambda(M' \cap \cup_{i=1}^{\ell} V_i) \leq f(a_1, a_2, \dots, a_{\ell}, \rho), \quad (9)$$

where the function  $f$  satisfies the following property:

$$f(a_1, a_2, \dots, a_{\ell}, \rho) \leq f\left(\frac{1}{\ell}, \frac{1}{\ell}, \dots, \frac{1}{\ell}, 0\right) = \frac{1}{120}N(\ell) \quad (10)$$

holds under the constraints  $\sum_{j=1}^{\ell} a_j = 1$  and each  $a_j \geq 0$ ,  $1 \leq j \leq \ell$  and  $0 \leq \rho \leq \frac{\alpha_1}{4}$ .

In view of the construction of  $H(\ell, q, t)$ , for each  $p$ ,  $1 \leq p \leq q-1$ , the structure of  $M'$  restricted on the vertex set  $\cup_{i=p\ell+1}^{(p+1)\ell} V_i$  is similar to the structure of  $M'$  restricted on the vertex set  $\cup_{i=1}^{\ell} V_i$ , but there might be some other extra edges in  $\binom{V_1}{5}$  for  $M'$

restricted on the vertex set  $\cup_{i=1}^{\ell} V_i$ . Therefore, for each  $p$ ,  $1 \leq p \leq q-1$  the summation of the terms in  $\lambda(M')$  corresponding to edges in  $E(M') \cap \left(\cup_{i=p\ell+1}^{(p+1)\ell} V_i\right)$  denoted by  $\lambda(M' \cap \cup_{i=p\ell+1}^{(p+1)\ell} V_i)$ . For our purpose, we formulate Claim 3.3 in section 3, Lemma 4.2 in [5] and Lemma 3.2 in [11] as follows.

**Lemma 5.3.** *There exists a function  $g$  such that*

$$\lambda(M' \cap \cup_{i=p\ell+1}^{(p+1)\ell} V_i) \leq g(a_{p\ell+1}, a_{p\ell+2}, \dots, a_{(p+1)\ell}), \quad (11)$$

where the function  $g$  satisfies the following property:

$$g(d_{p\ell+1}, d_{p\ell+2}, \dots, d_{(p+1)\ell}) \leq g\left(\frac{c}{\ell}, \frac{c}{\ell}, \dots, \frac{c}{\ell}\right) = \frac{1}{120} N(\ell) c^5 \quad (12)$$

holds under the constraints  $\sum_{j=p\ell+1}^{(p+1)\ell} d_j = c$  and each  $d_j \geq 0$ ,  $p\ell + 1 \leq j \leq (p+1)\ell$  for any positive constant  $c$ .

Consequently,

$$\begin{aligned} \lambda(M') &\leq f(a_1, a_2, \dots, a_{\ell}, \rho) + \sum_{p=1}^{q-1} g(a_{p\ell+1}, a_{p\ell+2}, \dots, a_{(p+1)\ell}) \\ &\quad + \left( \sum_{1 \leq i_1 < i_2 < i_3 < i_4 < i_5 \leq \ell q} a_{i_1} a_{i_2} a_{i_3} a_{i_4} a_{i_5} - \sum_{p=0}^{q-1} \sum_{p\ell+1 \leq i_1 < i_2 < i_3 < i_4 < i_5 \leq (p+1)\ell} a_{i_1} a_{i_2} a_{i_3} a_{i_4} a_{i_5} \right) \\ &\stackrel{\text{def}}{=} F(a_1, a_2, \dots, a_{\ell q}, \rho). \end{aligned}$$

Note that

$$F\left(\frac{1}{\ell q}, \frac{1}{\ell q}, \dots, \frac{1}{\ell q}, 0\right) = \frac{N(\ell)}{120q^4} + \frac{\binom{\ell q}{5} - q\binom{\ell}{5}}{(\ell q)^5} = \frac{N(\ell, q)}{120}. \quad (13)$$

Therefore, to show Lemma 5.1, we only need to show the following claim:

**Claim 5.1.**

$$F(a_1, a_2, \dots, a_{\ell q}, \rho) \leq F\left(\frac{1}{\ell q}, \frac{1}{\ell q}, \dots, \frac{1}{\ell q}, 0\right) \quad (14)$$

holds under the constraints  $\sum_{j=1}^{\ell q} a_j = 1$  and each  $a_j \geq 0$ ,  $1 \leq j \leq \ell q$  and  $0 \leq \rho \leq \frac{a_1}{4}$ .

*Proof.* Suppose the function  $F$  reaches the maximum at  $(a_1, a_2, \dots, a_{\ell}, \rho)$ . By applying Lemma 5.2, we claim that we can assume that  $a_1 = a_2 = \dots = a_{\ell}$  and  $\rho = 0$ . Otherwise, let  $c_1 = c_2 = \dots = c_{\ell} = \frac{\sum_{j=1}^{\ell} a_j}{\ell}$ . Then

$$F(c_1, c_2, \dots, c_{\ell}, a_{\ell+1}, \dots, a_{\ell q}, 0) - F(a_1, a_2, \dots, a_{\ell}, a_{\ell+1}, \dots, a_{\ell q}, \rho)$$

$$\begin{aligned}
&= f(c_1, c_2, \dots, c_\ell, 0) - f(a_1, a_2, \dots, a_\ell, \rho) \\
&+ \left( \sum_{1 \leq i < j < k < h \leq \ell} c_i c_j c_k c_h - \sum_{1 \leq i < j < k < h \leq \ell} a_i a_j a_k a_h \right) \left( \sum_{s=\ell+1}^{\ell q} a_s \right) \\
&+ \left( \sum_{1 \leq i < j < k \leq \ell} c_i c_j c_k - \sum_{1 \leq i < j < k \leq \ell} a_i a_j a_k \right) \left( \sum_{\ell+1 \leq h < s \leq \ell q} a_h a_s \right) \\
&+ \left( \sum_{1 \leq i < j \leq \ell} c_i c_j - \sum_{1 \leq i < j \leq \ell} a_i a_j \right) \left( \sum_{\ell+1 \leq k < h < s \leq \ell q} a_k a_h a_s \right) \geq 0
\end{aligned}$$

holds by combining (10),  $\sum_{1 \leq i < j < k < h \leq \ell} c_i c_j c_k c_h - \sum_{1 \leq i < j < k < h \leq \ell} a_i a_j a_k a_h \geq 0$ ,  $\sum_{1 \leq i < j < k \leq \ell} c_i c_j c_k - \sum_{1 \leq i < j < k \leq \ell} a_i a_j a_k \geq 0$  and  $\sum_{1 \leq i < j \leq \ell} c_i c_j - \sum_{1 \leq i < j \leq \ell} a_i a_j \geq 0$ . This implies that  $a_1 = a_2 = \dots = a_\ell$  and  $\rho = 0$  can be assumed. Similarly, by applying Lemma 5.3, for each  $p$ ,  $1 \leq p \leq q-1$ , we can assume that  $a_{p\ell+1} = a_{p\ell+2} = \dots = a_{(p+1)\ell}$ . Set  $b_{p+1} = a_{p\ell+1} = a_{p\ell+2} = \dots = a_{(p+1)\ell}$  for each  $0 \leq p \leq q-1$ . In view of Lemma 5.2 and Lemma 5.3, we have

$$\begin{aligned}
&F(a_1, a_2, \dots, a_{\ell q}, \rho) \leq H(b_1, b_2, \dots, b_q) \\
\stackrel{\text{def}}{=} &\frac{N(\ell)}{120} \sum_{p=1}^q \ell^5 b_p^5 + \sum_{p=1}^q \binom{\ell}{4} b_p^4 (1 - \ell b_p) + \sum_{1 \leq p_1 \leq q; 1 \leq p_2 \leq q; p_2 \neq p_1} \binom{\ell}{3} \binom{\ell}{2} b_{p_1}^3 b_{p_2}^2 \\
&+ \sum_{1 \leq p_1 \leq q; 1 \leq p_2 < p_3 \leq q; p_2, p_3 \neq p_1} \binom{\ell}{3} \ell^2 b_{p_1}^3 b_{p_2} b_{p_3} + \sum_{1 \leq p_1 < p_2 \leq q; 1 \leq p_3 \leq q; p_3 \neq p_1, p_2} \binom{\ell}{2} \ell b_{p_1}^2 b_{p_2}^2 b_{p_3} \\
&+ \sum_{1 \leq p_1 \leq q; 1 \leq p_2 < p_3 < p_4 \leq q; p_2, p_3, p_4 \neq p_1} \binom{\ell}{2} \ell^3 b_{p_1}^2 b_{p_2} b_{p_3} b_{p_4} + \sum_{1 \leq p_1 < p_2 < p_3 < p_4 < p_5 \leq q} \ell^5 b_{p_1} b_{p_2} b_{p_3} b_{p_4} b_{p_5}.
\end{aligned}$$

Note that

$$H\left(\frac{1}{\ell q}, \frac{1}{\ell q}, \dots, \frac{1}{\ell q}\right) = F\left(\frac{1}{\ell q}, \frac{1}{\ell q}, \dots, \frac{1}{\ell q}, 0\right) \stackrel{(11)}{=} \frac{N(\ell, q)}{120}. \quad (15)$$

Therefore, to show Claim 5.1, it is sufficient to show the following claim

**Claim 5.2.**

$$H(b_1, b_2, \dots, b_q) \leq H\left(\frac{1}{\ell q}, \frac{1}{\ell q}, \dots, \frac{1}{\ell q}\right)$$

holds under the constraints

$$\begin{cases} \sum_{i=1}^q b_i = \frac{1}{\ell}, \\ b_i \geq 0, \quad 1 \leq i \leq q. \end{cases} \quad (16)$$

Suppose that function  $H$  reaches the maximum at  $(b_1, b_2, \dots, b_q)$ . We will apply Claim 5.3 and 5.4 stated below.



**Claim 5.3.** Let  $i, j, 1 \leq i < j \leq q$  be a pair of integers and  $\varepsilon$  be a real number. Let  $c_i = b_i + \varepsilon$ ,  $c_j = b_j - \varepsilon$ , and  $c_k = b_k$  for  $k \neq i, j$ . Let  $(b_j - b_i)A(b_1, b_2, \dots, b_q)$  and  $B(b_1, b_2, \dots, b_q)$  be the coefficients of  $\varepsilon$  and  $\varepsilon^2$  in  $H(c_1, c_2, \dots, c_q) - H(b_1, b_2, \dots, b_q)$ , respectively, i.e.,

$$\begin{aligned} & H(c_1, c_2, \dots, c_q) - H(b_1, b_2, \dots, b_q) \\ &= (b_j - b_i)A(b_1, b_2, \dots, b_q)\varepsilon + B(b_1, b_2, \dots, b_q)\varepsilon^2 + o(\varepsilon^2). \end{aligned}$$

If  $b_i \neq b_j$ , then

$$A(b_1, b_2, \dots, b_q) + B(b_1, b_2, \dots, b_q) \geq 0.$$

*Proof.* Without loss of generality, we take  $i = 1$  and  $j = 2$ . By the definition of the function  $H(b_1, b_2, \dots, b_q)$ , we have

$$\begin{aligned} & H(b_1 + \varepsilon, b_2 - \varepsilon, \dots, b_q) - H(b_1, b_2, \dots, b_q) \\ &= \frac{N(\ell)}{120} \ell^5 [(b_1 + \varepsilon)^5 + (b_2 - \varepsilon)^5 - b_1^5 - b_2^5] \\ &+ \binom{\ell}{4} [(b_1 + \varepsilon)^4(1 - \ell b_1 - \ell \varepsilon) + (b_2 - \varepsilon)^4(1 - \ell b_2 + \ell \varepsilon) - b_1^4(1 - \ell b_1) - b_2^4(1 - \ell b_2)] \\ &+ \binom{\ell}{3} \binom{\ell}{2} [(b_1 + \varepsilon)^3 + (b_2 - \varepsilon)^3 - b_1^3 - b_2^3] \left( \sum_{3 \leq p_1 \leq q} b_{p_1}^2 \right) \\ &+ \binom{\ell}{3} \binom{\ell}{2} [(b_1 + \varepsilon)^2 + (b_2 - \varepsilon)^2 - b_1^2 - b_2^2] \left( \sum_{3 \leq p_1 \leq q} b_{p_1}^3 \right) \\ &+ \binom{\ell}{3} \binom{\ell}{2} [(b_1 + \varepsilon)^3(b_2 - \varepsilon)^2 + (b_2 - \varepsilon)^3(b_1 + \varepsilon)^2 - b_1^3 b_2^2 - b_2^3 b_1^2] \\ &+ \binom{\ell}{3} \ell^2 [(b_1 + \varepsilon)^3 + (b_2 - \varepsilon)^3 - b_1^3 - b_2^3] \left( \sum_{3 \leq p_1 < p_2 \leq q} b_{p_1} b_{p_2} \right) \\ &+ \binom{\ell}{3} \ell^2 [(b_1 + \varepsilon)^3(b_2 - \varepsilon) + (b_2 - \varepsilon)^3(b_1 + \varepsilon) - b_1^3 b_2 - b_2^3 b_1] \left( \sum_{3 \leq p_1 \leq q} b_{p_1} \right) \\ &+ \binom{\ell}{3} \ell^2 [(b_1 + \varepsilon)(b_2 - \varepsilon) - b_1 b_2] \left( \sum_{3 \leq p_1 \leq q} b_{p_1}^3 \right) \\ &+ \binom{\ell}{2}^2 \ell [(b_1 + \varepsilon)^2 + (b_2 - \varepsilon)^2 - b_1^2 - b_2^2] \left( \sum_{3 \leq p_1 \leq q; 3 \leq p_2 \leq q; p_2 \neq p_1} b_{p_1}^2 b_{p_2} \right) \\ &+ \binom{\ell}{2}^2 \ell [(b_1 + \varepsilon)^2(b_2 - \varepsilon)^2 - b_1^2 b_2^2] \left( \sum_{3 \leq p_1 \leq q} b_{p_1} \right) \\ &+ \binom{\ell}{2}^2 \ell [(b_1 + \varepsilon)^2(b_2 - \varepsilon) + (b_2 - \varepsilon)^2(b_1 + \varepsilon) - b_1^2 b_2 - b_2^2 b_1] \left( \sum_{3 \leq p_1 \leq q} b_{p_1}^2 \right) \end{aligned}$$

$$\begin{aligned}
& + \binom{\ell}{2} \ell^3 [(b_1 + \varepsilon)^2 + (b_2 - \varepsilon)^2 - b_1^2 - b_2^2] \left( \sum_{3 \leq p_1 < p_2 < p_3 \leq q} b_{p_1} b_{p_2} b_{p_3} \right) \\
& + \binom{\ell}{2} \ell^3 [(b_1 + \varepsilon)^2 (b_2 - \varepsilon) + (b_2 - \varepsilon)^2 (b_1 + \varepsilon) - b_1^2 b_2 - b_2^2 b_1] \left( \sum_{3 \leq p_1 < p_2 \leq q} b_{p_1} b_{p_2} \right) \\
& + \binom{\ell}{2} \ell^3 [(b_1 + \varepsilon)(b_2 - \varepsilon) - b_1 b_2] \left( \sum_{3 \leq p_1 \leq q; 3 \leq p_2 \leq q; p_2 \neq p_1} b_{p_1}^2 b_{p_2} \right) \\
& + \ell^5 [(b_1 + \varepsilon)(b_2 - \varepsilon) - b_1 b_2] \left( \sum_{3 \leq p_1 < p_2 < p_3 \leq q} b_{p_1} b_{p_2} b_{p_3} \right).
\end{aligned}$$

By a direct calculation, we obtain that

$$\begin{aligned}
& A(b_1, b_2, \dots, b_q) + B(b_1, b_2, \dots, b_q) \\
= & -\frac{N(\ell)}{24} \ell^5 (b_1 + b_2)(b_1^2 + b_2^2) + 5\ell \binom{\ell}{4} (b_1 + b_2)(b_1^2 + b_2^2) - 4 \binom{\ell}{4} (b_1^2 + b_2^2 + b_1 b_2) \\
& + 2 \binom{\ell}{3} \binom{\ell}{2} b_1 b_2 (b_1 + b_2) + \binom{\ell}{3} \ell^2 (b_1 - b_2)^2 \left( \sum_{3 \leq p_1 \leq q} b_{p_1} \right) + 2 \binom{\ell}{2}^2 \ell b_1 b_2 \left( \sum_{3 \leq p_1 \leq q} b_{p_1} \right) \\
& + \frac{N(\ell)}{12} \ell^5 (b_1^3 + b_2^3) + \binom{\ell}{4} (6b_1^2 + 6b_2^2 - 10\ell b_1^3 - 10\ell b_2^3) + \binom{\ell}{3} \binom{\ell}{2} (b_1^3 + b_2^3 - 3b_1 b_2^2 - 3b_1^2 b_2) \\
& - 3 \binom{\ell}{3} \ell^2 (b_1 - b_2)^2 \left( \sum_{3 \leq p_1 \leq q} b_{p_1} \right) + \binom{\ell}{2}^2 \ell (b_1^2 + b_2^2 - 4b_1 b_2) \left( \sum_{3 \leq p_1 \leq q} b_{p_1} \right) \\
= & [2\ell \binom{\ell}{4} - 2 \binom{\ell}{3} \ell^2 + \binom{\ell}{2}^2 \ell] \frac{1}{\ell} (b_1 - b_2) \\
& + \left[ \frac{N(\ell)}{24} \ell^5 - 5\ell \binom{\ell}{4} + \binom{\ell}{3} \binom{\ell}{2} + 2 \binom{\ell}{3} \ell^2 - \binom{\ell}{2}^2 \ell \right] (b_1 + b_2)(b_1 - b_2)^2 \\
\geq & [2\ell \binom{\ell}{4} - 2 \binom{\ell}{3} \ell^2 + \binom{\ell}{2}^2 \ell] (b_1 + b_2)(b_1 - b_2)^2 \\
& + \left[ \frac{N(\ell)}{24} \ell^5 - 5\ell \binom{\ell}{4} + \binom{\ell}{3} \binom{\ell}{2} + 2 \binom{\ell}{3} \ell^2 - \binom{\ell}{2}^2 \ell \right] (b_1 + b_2)(b_1 - b_2)^2 \\
= & \left[ \frac{N(\ell)}{24} \ell^5 - 3\ell \binom{\ell}{4} + \binom{\ell}{3} \binom{\ell}{2} \right] (b_1 + b_2)(b_1 - b_2)^2 \\
= & \begin{cases} \left( \frac{5}{12} \ell^4 - \frac{23}{24} \ell^3 + \frac{3}{8} \ell^2 + \frac{1}{6} \ell \right) (b_1 + b_2)(b_1 - b_2)^2 & \text{when } N(\ell) = \alpha \\ \left( \frac{5}{12} \ell^4 - \frac{23}{24} \ell^3 + \frac{7}{12} \ell^2 - \frac{1}{24} \ell \right) (b_1 + b_2)(b_1 - b_2)^2 & \text{when } N(\ell) = 1 - \frac{1}{\ell^4} \\ \frac{75}{2} (b_1 + b_2)(b_1 - b_2)^2 & \text{when } \ell = 5 \text{ and } N(5) = \frac{12}{125} \\ 45 (b_1 + b_2)(b_1 - b_2)^2 & \text{when } \ell = 5 \text{ and } N(5) = \frac{96}{625} \\ \frac{155}{2} (b_1 + b_2)(b_1 - b_2)^2 & \text{when } \ell = 5 \text{ and } N(5) = \frac{252}{625} \end{cases} \\
> & 0
\end{aligned}$$

if  $b_1 \neq b_2$  and since  $2\ell \binom{\ell}{4} - 2 \binom{\ell}{3} \ell^2 + \binom{\ell}{2}^2 \ell = \frac{\ell^2(\ell-1)}{2} > 0$  and  $\frac{1}{\ell} \geq (b_1 + b_2)$ . This

completes the proof of Claim 5.3. ■

We will apply Claim 5.3 to prove the following claim.

**Claim 5.4.** Let  $i, j, 1 \leq i < j \leq q$  be a pair of integers. Let  $A(b_1, b_2, \dots, b_q)$  and  $B(b_1, b_2, \dots, b_q)$  be given as in Claim 5.3.

Case 1. If  $A(b_1, b_2, \dots, b_q) > 0$  then  $b_i = b_j$ ;

Case 2. If  $A(b_1, b_2, \dots, b_q) \leq 0$ , then either  $b_i = b_j$ , or  $\min\{b_i, b_j\} = 0$ .

The proof of Claim 5.4 (based on Claim 5.3) can be given by exactly the same lines as in the proof of Claim 4.5 in [9] and is omitted here. ■

*Proof of Claim 5.2.* By Claim 5.4, either  $b_1 = b_2 = \dots = b_q = \frac{1}{\ell q}$  or for some integer  $p < q$ ,  $b_{i_1} = b_{i_2} = \dots = b_{i_p} = \frac{1}{\ell p}$  and other  $b_i = 0$ .

Now we compare  $H(\frac{1}{\ell q}, \frac{1}{\ell q}, \dots, \frac{1}{\ell q}) = \frac{N(\ell, q)}{120}$  and  $H(\frac{1}{\ell p}, \frac{1}{\ell p}, \dots, \frac{1}{\ell p}, 0, \dots, 0) = \frac{N(\ell, p)}{120}$ . It sufficient to show that  $N(\ell, p) \leq N(\ell, q)$  when  $1 \leq p \leq q$ . Note that condition (6) implies that  $N(\ell, 1) \leq N(\ell, q)$ . Hence it is sufficient to show that  $N(\ell, p) \leq N(\ell, q)$  when  $2 \leq p \leq q$  for each of the five choices of  $N(\ell)$ . In each case, we view  $N(\ell, q)$  as a function with one variable  $q$ .

**Case a.**  $N(\ell) = \alpha$  and  $q \geq 2\ell^2 + 2\ell$ .

In this case, the derivative of  $N(\ell, q)$  with respect to  $q$  is

$$\begin{aligned} \frac{d(N(\ell, q))}{dq} &= \frac{10}{\ell q^2} - \frac{70}{\ell^2 q^3} + \frac{150}{\ell^3 q^4} - \frac{16}{\ell^4 q^5} - \frac{40}{\ell q^5} + \frac{140}{\ell^2 q^5} - \frac{180}{\ell^3 q^5} \\ &= \frac{1}{\ell^4 q^5} (10\ell^3 q^3 - 70\ell^2 q^2 + 150\ell q - 16 - 40\ell^3 + 140\ell^2 - 180\ell). \end{aligned}$$

Let  $h_1(q) = 10\ell^3 q^3 - 70\ell^2 q^2 + 150\ell q - 16 - 40\ell^3 + 140\ell^2 - 180\ell$ , then  $h_1'(q) = 30\ell^3 q^2 - 140\ell^2 q + 150\ell$ ,  $h_1''(q) = 60\ell^3 q - 140\ell^2$ . Note that  $h_1''(q) > 0$  when  $q \geq 2$ ,  $\ell \geq 2$ , so  $h_1'(q)$  increases when  $q \geq 2$ ,  $\ell \geq 2$ . By a direct calculation,  $h_1'(2) > 0$  when  $\ell \geq 2$ , thus,  $h_1(q)$  increases when  $q \geq 2$ ,  $\ell \geq 2$ . Since,  $h_1(2) = 40\ell^3 - 140\ell^2 + 120\ell - 16 > 0$  when  $q \geq 2$ ,  $\ell \geq 3$ , we know that  $N(\ell, q)$  increases when  $q \geq 2$ ,  $\ell \geq 3$ . When  $\ell = 2$ , by a direct calculation,  $h_1(3) > 0$ , so  $N(2, q)$  increases when  $q \geq 3$ . Also we calculate that  $N(2, 2) \leq N(2, q)$  since  $q \geq 2\ell^2 + 2\ell$ . So  $N(\ell, p) \leq N(\ell, q)$  for  $2 \leq p \leq q$ .

**Case b.**  $N(\ell) = 1 - \frac{1}{\ell^4}$  and  $q \geq 10\ell^3$ .

In this case, the derivative of  $N(\ell, q)$  with respect to  $q$  is

$$\begin{aligned} \frac{d(N(\ell, q))}{dq} &= \frac{10}{\ell q^2} - \frac{70}{\ell^2 q^3} + \frac{150}{\ell^3 q^4} + \frac{4}{\ell^4 q^5} - \frac{40}{\ell q^5} + \frac{140}{\ell^2 q^5} - \frac{200}{\ell^3 q^5} \\ &= \frac{1}{\ell^4 q^5} (10\ell^3 q^3 - 70\ell^2 q^2 + 150\ell q + 4 - 40\ell^3 + 140\ell^2 - 200\ell). \end{aligned}$$

Let  $h_2(q) = 10\ell^3q^3 - 70\ell^2q^2 + 150\ell q + 4 - 40\ell^3 + 140\ell^2 - 200\ell$ , then  $h_2'(q) = 30\ell^3q^2 - 140\ell^2q + 150\ell$ ,  $h_2''(q) = 60\ell^3q - 140\ell^2$ . Note that  $h_2''(q) > 0$  when  $q \geq 2$ ,  $\ell \geq 2$ , so  $h_2'(q)$  increases when  $q \geq 2$ ,  $\ell \geq 2$ . By a direct calculation,  $h_2'(2) > 0$  when  $\ell \geq 2$ , thus,  $h_2(q)$  increases when  $q \geq 2$ ,  $\ell \geq 2$ . Since,  $h_2(2) = 40\ell^3 - 140\ell^2 + 100\ell + 4 > 0$  when  $q \geq 2$ ,  $\ell \geq 3$ , we know that  $N(\ell, q)$  increases when  $q \geq 2$ ,  $\ell \geq 3$ . When  $\ell = 2$ , by a direct calculation,  $h_2(3) > 0$ , so  $N(2, q)$  increases when  $q \geq 3$ . Also we calculate that  $N(2, 2) \leq N(2, q)$  since  $q \geq 10\ell^3$ . So  $N(\ell, p) \leq N(\ell, q)$  for  $2 \leq p \leq q$ .

**Case c.**  $N(\ell) = \frac{12}{125}$  and  $\ell = 5$ .

In this case, the derivative of  $N(5, q)$  with respect to  $q$  is

$$\frac{d(N(\ell, q))}{dq} = \frac{2}{q^2} - \frac{14}{5q^3} + \frac{6}{5q^4} - \frac{48}{125q^5} = \frac{1}{125q^5}(250q^3 - 350q^2 + 150q - 48) \geq 0$$

when  $q \geq 2$ . This proves that  $N(5, q)$  increases as  $q \geq 2$  increases. So  $N(5, p) \leq N(5, q)$  for  $2 \leq p \leq q$ .

**Case d.**  $N(\ell) = \frac{96}{625}$  and  $\ell = 5$ .

In this case, the derivative of  $N(5, q)$  with respect to  $q$  is

$$\frac{d(N(\ell, q))}{dq} = \frac{2}{q^2} - \frac{14}{5q^3} + \frac{6}{5q^4} - \frac{384}{625q^5} = \frac{1}{625q^5}(1250q^3 - 1750q^2 + 750q - 384) \geq 0$$

when  $q \geq 2$ . This proves that  $N(5, q)$  increases as  $q \geq 2$  increases. So  $N(5, p) \leq N(5, q)$  for  $2 \leq p \leq q$ .

**Case e.**  $N(\ell) = \frac{252}{625}$  and  $\ell = 5$ .

In this case, the derivative of  $N(5, q)$  with respect to  $q$  is

$$\frac{d(N(\ell, q))}{dq} = \frac{2}{q^2} - \frac{14}{5q^3} + \frac{6}{5q^4} - \frac{1008}{625q^5} = \frac{1}{625q^5}(1250q^3 - 1750q^2 + 750q - 1008) \geq 0$$

when  $q \geq 2$ . This proves that  $N(5, q)$  increases as  $q \geq 2$  increases. So  $N(5, p) \leq N(5, q)$  for  $2 \leq p \leq q$ .

The proof is thus complete. ■

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