

# Proof of a Conjecture of Hirschhorn and Sellers on Overpartitions

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## Abstract

Let  $\bar{p}(n)$  denote the number of overpartitions of  $n$ . It was conjectured by Hirschhorn and Sellers that  $\bar{p}(40n + 35) \equiv 0 \pmod{40}$  for  $n \geq 0$ . Employing 2-dissection formulas of theta functions due to Ramanujan, and Hirschhorn and Sellers, we obtain a generating function for  $\bar{p}(40n + 35)$  modulo 5. Using the  $(p, k)$ -parametrization of theta functions given by Alaca, Alaca and Williams, we prove the congruence  $\bar{p}(40n + 35) \equiv 0 \pmod{5}$  for  $n \geq 0$ . Combining this congruence and the congruence  $\bar{p}(4n + 3) \equiv 0 \pmod{8}$  for  $n \geq 0$  obtained by Hirschhorn and Sellers, and Fortin, Jacob and Mathieu, we confirm the conjecture of Hirschhorn and Sellers.

## 1 Introduction

The objective of this paper is to give a proof of a conjecture of Hirschhorn and Sellers on the number of overpartitions. We shall use the technique of dissections of theta functions.

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Let us begin with some notation and terminology on  $q$ -series and partitions. We adopt the common notation

$$(1.1) \quad (a; q)_\infty = \prod_{n=0}^{\infty} (1 - aq^n),$$

where  $|q| < 1$ , and we write

$$(1.2) \quad (a_1, a_2, \dots, a_n; q)_\infty = (a_1; q)_\infty (a_2; q)_\infty \cdots (a_n; q)_\infty.$$

Recall that the Ramanujan theta function  $f(a, b)$  is defined by

$$(1.3) \quad f(a, b) = \sum_{n=-\infty}^{\infty} a^{n(n+1)/2} b^{n(n-1)/2},$$

where  $|ab| < 1$ . The Jacobi triple product identity can be restated as

$$(1.4) \quad f(a, b) = (-a, -b, ab; ab)_\infty.$$

Here is a special case of (1.3), namely,

$$(1.5) \quad f(-q) = f(-q, -q^2) = \sum_{n=-\infty}^{\infty} (-1)^n q^{n(3n-1)/2} = (q; q)_\infty.$$

For any positive integer  $n$ , we use  $f_n$  to denote  $f(-q^n)$ , that is,

$$(1.6) \quad f_n = (q^n; q^n)_\infty = \prod_{k=1}^{\infty} (1 - q^{nk}).$$

The function  $f_n$  is related to the generating function of overpartitions. A partition of a positive integer  $n$  is a nonincreasing sequence of positive integers whose sum is  $n$ . An overpartition of  $n$  is a partition in which the first occurrence of a number may be overlined, see Corteel and Lovejoy [CL]. For  $n \geq 1$ , let  $\bar{p}(n)$  denote the number of overpartitions of  $n$ , and we set  $\bar{p}(0) = 1$ . Corteel and Lovejoy [CL] showed that the generating function for  $\bar{p}(n)$  is given by

$$(1.7) \quad \sum_{n=0}^{\infty} \bar{p}(n) q^n = \frac{f_2}{f_1^2}.$$

Hirschhorn and Sellers [HS-1], and Fortin, Jacob and Mathieu [FJM] obtained the following Ramanujan-type generating function formulas for  $\bar{p}(2n+1)$ ,  $\bar{p}(4n+3)$ , and  $\bar{p}(8n+7)$ :

$$(1.8) \quad \sum_{n=0}^{\infty} \bar{p}(2n+1) q^n = 2 \frac{f_2^2 f_8^2}{f_1^4 f_4^4},$$

$$(1.9) \quad \sum_{n=0}^{\infty} \bar{p}(4n+3)q^n = 8 \frac{f_2 f_4^6}{f_1^8},$$

$$(1.10) \quad \sum_{n=0}^{\infty} \bar{p}(8n+7)q^n = 64 \frac{f_2^{22}}{f_1^{23}}.$$

The above identities lead to congruences modulo 2, 8 and 64 for the overpartition function. Mahlburg [M] proved that  $\bar{p}(n)$  is divisible by 64 for almost all  $n$  by using relations between  $\bar{p}(n)$  and the number of representations of  $n$  as a sum of squares. Using the theory of modular forms, Treener [T] showed that the coefficients of a wide class of weakly holomorphic modular forms have infinitely many congruence relations for powers of every prime  $p$  other than 2 and 3. In particular, Treener [T] proved that  $\bar{p}(5m^3n) \equiv 0 \pmod{5}$  for any  $n$  that is coprime to  $m$ , where  $m$  is a prime satisfying  $m \equiv -1 \pmod{5}$ .

The following conjecture was posed by Hirschhorn and Sellers [HS-1].

**Conjecture 1.1.** *For  $n \geq 0$ ,*

$$(1.11) \quad \bar{p}(40n+35) \equiv 0 \pmod{40}.$$

To prove the above conjecture, we derive a generating function for  $\bar{p}(40n+35)$  modulo 5 by using 2-dissection formulas for quotients of theta functions given by Ramanujan [B], and Hirschhorn and Sellers [HS-2]. Then we use the  $(p, k)$ -parametrization of theta functions due to Alaca, Alaca and Williams [AAW, AW, W] to show that  $\bar{p}(40n+35) \equiv 0 \pmod{5}$  for  $n \geq 0$ . Combining this congruence and the congruence  $\bar{p}(4n+3) \equiv 0 \pmod{8}$  for  $n \geq 0$ , we confirm the conjecture.

## 2 The generating function

In this Section, we derive a generating function of  $\bar{p}(40n+35)$  modulo 5. We first recall several 2-dissection formulas for quotients of theta functions due to Ramanujan [B], Hirschhorn and Sellers [HS-2].

The following relations are consequences of dissection formulas of Ramanujan collected in Entry 25 in Berndt's book [B, p. 40]. Recall that  $f_n = (q^n; q^n)_\infty$  as given by (1.6).

**Lemma 2.1.** *We have*

$$(2.1) \quad f_1^2 = \frac{f_2 f_8^5}{f_4^2 f_{16}^2} - 2q \frac{f_2 f_{16}^2}{f_8},$$

$$(2.2) \quad \frac{1}{f_1^2} = \frac{f_8^5}{f_2^5 f_{16}^2} + 2q \frac{f_4^2 f_{16}^2}{f_2^5 f_8},$$

$$(2.3) \quad f_1^4 = \frac{f_4^{10}}{f_2^2 f_8^4} - 4q \frac{f_2^2 f_8^4}{f_4^2}$$

and

$$(2.4) \quad \frac{1}{f_1^4} = \frac{f_4^{14}}{f_2^{14} f_8^4} + 4q \frac{f_4^2 f_8^4}{f_2^{10}}.$$

Hirschhorn and Sellers [HS-2] established the following 2-dissection formula.

**Lemma 2.2.** *We have*

$$(2.5) \quad \frac{f_5}{f_1} = \frac{f_8 f_{20}^2}{f_2^2 f_{40}} + q \frac{f_4^3 f_{10} f_{40}}{f_2^3 f_8 f_{20}}.$$

By Lemmas 2.1 and 2.2, we are led to a generating function of  $\bar{p}(40n+35)$  modulo 5.

**Theorem 2.3.** *We have*

$$(2.6) \quad \sum_{n=0}^{\infty} \bar{p}(40n+35)q^n \equiv 2 \frac{f_2^{122}}{f_1^{63} f_4^{40}} + 3 \frac{f_1 f_2^{26}}{f_4^8} + 4q \frac{f_2^{98}}{f_1^{55} f_4^{24}} + 3q f_1^9 f_2^2 f_4^8 + 4q^2 \frac{f_2^{74}}{f_1^{47} f_4^8} \\ + 4q^3 \frac{f_2^{50} f_4^8}{f_1^{39}} + 4q^4 \frac{f_2^{26} f_4^{24}}{f_1^{31}} + 2q^5 \frac{f_2^2 f_4^{40}}{f_1^{23}} \pmod{5}.$$

*Proof.* Recall that the theta functions  $\varphi(q)$  and  $\psi(q)$  are defined by

$$(2.7) \quad \varphi(q) = f(q, q) = \sum_{n=-\infty}^{\infty} q^{n^2},$$

and

$$(2.8) \quad \psi(q) = f(q, q^3) = \sum_{n=0}^{\infty} q^{\frac{n(n+1)}{2}}.$$

By the Jacobi triple product identity, we find

$$(2.9) \quad \varphi(q) = \frac{f_2^5}{f_1^2 f_4^2}$$

and

$$(2.10) \quad \psi(q) = \frac{f_2^2}{f_1}.$$

Replacing  $q$  by  $-q$  in (2.9) and (2.10), and using the fact that

$$(2.11) \quad f(q) = (-q; -q)_\infty = \frac{f_2^3}{f_1 f_4},$$

we deduce that

$$(2.12) \quad \varphi(-q) = \frac{f_1^2}{f_2}$$

and

$$(2.13) \quad \psi(-q) = \frac{f_1 f_4}{f_2}.$$

In view of (1.3), (1.4) and (2.7), we see that

$$(2.14) \quad \begin{aligned} \varphi(q) &= \sum_{n=-\infty}^{\infty} q^{n^2} = \sum_{n=-\infty}^{\infty} q^{25n^2} + 2q \sum_{n=-\infty}^{\infty} q^{25n^2+10n} + 2q^4 \sum_{n=-\infty}^{\infty} q^{25n^2+20n} \\ &= \varphi(q^{25}) + 2qD(q^5) + 2q^4E(q^5), \end{aligned}$$

where

$$(2.15) \quad D(q) = \sum_{n=-\infty}^{\infty} q^{5n^2+2n} = (-q^3, -q^7, q^{10}; q^{10})_\infty$$

and

$$(2.16) \quad E(q) = \sum_{n=-\infty}^{\infty} q^{5n^2+4n} = (-q, -q^9, q^{10}; q^{10})_\infty.$$

It is easily checked that

$$(2.17) \quad D(q)E(q) = \frac{f_2^2 f_5 f_{20}}{f_1 f_4}.$$

By the binomial theorem, we see that for any positive integer  $k$ ,

$$(2.18) \quad (1 - q^k)^5 \equiv (1 - q^{5k}) \pmod{5},$$

which implies that

$$(2.19) \quad \varphi^5(q) \equiv \varphi(q^5) \pmod{5}.$$

Based on (1.7), (2.9) and (2.19), we have

$$\sum_{n=0}^{\infty} \bar{p}(n)(-q)^n = \frac{1}{\varphi(q)} = \frac{\varphi^4(q)}{\varphi^5(q)}$$

$$\begin{aligned}
&\equiv \frac{\varphi^4(q)}{\varphi(q^5)} \equiv \frac{(\varphi(q^{25}) + 2qD(q^5) + 2q^4E(q^5))^4}{\varphi(q^5)} \\
&\equiv \frac{1}{\varphi(q^5)} (\varphi^4(q^{25}) + 3q\varphi^3(q^{25})D(q^5) + 4q^2\varphi^2(q^{25})D^2(q^5) \\
&\quad + 2q^3\varphi(q^{25})D^3(q^5) + 3q^4\varphi^3(q^{25})E(q^5) + q^4D^4(q^5) \\
&\quad + 3q^5\varphi^2(q^{25})D(q^5)E(q^5) + q^6\varphi(q^{25})D^2(q^5)E(q^5) \\
&\quad + 4q^7D^3(q^5)E(q^5) + 4q^8\varphi^2(q^{25})E^2(q^5) + q^9\varphi(q^{25})D(q^5)E^2(q^5) \\
&\quad + q^{10}D^2(q^5)E^2(q^5) + 2q^{12}\varphi(q^{25})E^3(q^5) \\
(2.20) \quad &\quad + 4q^{13}D(q^5)E^3(q^5) + q^{16}E^4(q^5)^4) \pmod{5},
\end{aligned}$$

which yields

$$(2.21) \quad \sum_{n=0}^{\infty} \bar{p}(5n)(-q)^n \equiv \frac{\varphi^4(q^5) + 3q\varphi^2(q^5)D(q)E(q) + q^2D^2(q)E^2(q)}{\varphi(q)} \pmod{5}.$$

Plugging (2.9) and (2.17) into (2.21), we get

$$(2.22) \quad \sum_{n=0}^{\infty} \bar{p}(5n)(-q)^n \equiv \frac{f_1^2 f_4^2 f_{10}^{20}}{f_2^5 f_5^8 f_{20}^8} + 3q \frac{f_1 f_4 f_{10}^{10}}{f_2^3 f_5^3 f_{20}^3} + q^2 \frac{f_5^2 f_{20}^2}{f_2} \pmod{5}.$$

Replacing  $q$  by  $-q$  in (2.22) and invoking (2.11), we arrive at

$$(2.23) \quad \sum_{n=0}^{\infty} \bar{p}(5n)q^n \equiv \frac{f_2 f_5^8}{f_1^2 f_{10}^4} - 3q \frac{f_5^3 f_{10}}{f_1} + q^2 \frac{f_{10}^6}{f_2 f_5^2} \pmod{5}.$$

According to 2-dissection formulas (2.1), (2.2), (2.3), (2.5) and congruence (2.23), we obtain

$$\begin{aligned}
\sum_{n=0}^{\infty} \bar{p}(5n)q^n &\equiv \frac{f_2}{f_{10}^4} \left( \frac{f_8^5}{f_2^5 f_{16}^2} + 2q \frac{f_4 f_{16}^2}{f_2^5 f_8} \right) \left( \frac{f_{20}^{10}}{f_{10}^2 f_{40}^4} - 4q^5 \frac{f_{10}^2 f_{40}^4}{f_{20}^2} \right)^2 \\
&\quad - 3q f_{10} \left( \frac{f_{10} f_{40}^5}{f_{20}^2 f_{80}^2} - 2q^5 \frac{f_{10} f_{80}^2}{f_{40}} \right) \left( \frac{f_8 f_{20}^2}{f_2^2 f_{40}} + q \frac{f_4^3 f_{10} f_{40}}{f_2^3 f_8 f_{20}} \right) \\
&\quad + q^2 \frac{f_{10}^6}{f_2} \left( \frac{f_{40}^5}{f_{10}^5 f_{80}^2} + 2q^5 \frac{f_{20}^2 f_{80}^2}{f_{10}^5 f_{40}} \right) \\
&\equiv \frac{f_8^5 f_{20}^{20}}{f_2^4 f_{10}^8 f_{16}^2 f_{40}^8} + 2q \frac{f_4^2 f_{16}^2 f_{20}^{20}}{f_2^4 f_8 f_{10}^8 f_{40}^8} - 3q \frac{f_8 f_{10}^2 f_{40}^4}{f_2^2 f_{80}^2} - 3q^2 \frac{f_4^3 f_{10}^3 f_{40}^6}{f_2^3 f_8 f_{20}^3 f_{80}^2} \\
&\quad + q^2 \frac{f_{10} f_{40}^5}{f_2 f_{80}^2} - 3q^5 \frac{f_8 f_{20}^8}{f_2^4 f_{10}^4 f_{16}^2} + q^6 \frac{f_8 f_{10}^2 f_{20}^2 f_{80}^2}{f_2^2 f_{40}^2} - q^6 \frac{f_4^2 f_{16}^2 f_{20}^8}{f_2^4 f_8 f_{10}^4}
\end{aligned}$$

$$(2.24) \quad \begin{aligned} &+ q^7 \frac{f_4^3 f_{10}^3 f_{80}^2}{f_2^3 f_8 f_{20}} + 2q^7 \frac{f_{10} f_{20}^2 f_{80}^2}{f_2 f_{40}} + q^{10} \frac{f_8^5 f_{40}^8}{f_2^4 f_{16}^2 f_{20}^4} \\ &+ 2q^{11} \frac{f_4^2 f_{16}^2 f_{40}^8}{f_2^4 f_8 f_{20}^4} \pmod{5}. \end{aligned}$$

Extracting the terms of odd powers of  $q$  on both sides of (2.24), we have

$$(2.25) \quad \begin{aligned} \sum_{n=0}^{\infty} \bar{p}(10n+5)q^{2n+1} &\equiv 2q \frac{f_4^2 f_{16}^2 f_{20}^2}{f_2^4 f_8 f_{10}^8 f_{40}^8} - 3q \frac{f_8 f_{10}^2 f_{40}^4}{f_2^2 f_{80}^2} - 3q^5 \frac{f_8^5 f_{20}^8}{f_2^4 f_{10}^4 f_{16}^2} + q^7 \frac{f_4^3 f_{10}^3 f_{80}^2}{f_2^3 f_8 f_{20}} \\ &+ 2q^7 \frac{f_{10} f_{20}^2 f_{80}^2}{f_2 f_{40}} + 2q^{11} \frac{f_4^2 f_{16}^2 f_{40}^8}{f_2^4 f_8 f_{20}^4} \pmod{5}. \end{aligned}$$

Dividing both sides of (2.25) by  $q$  and replacing  $q^2$  by  $q$ , we get

$$(2.26) \quad \begin{aligned} \sum_{n=0}^{\infty} \bar{p}(10n+5)q^n &\equiv 2 \frac{f_2^2 f_8^2 f_{10}^2}{f_1^4 f_4 f_5^8 f_{20}^8} - 3 \frac{f_4 f_5^2 f_{20}^4}{f_1^2 f_{40}^2} - 3q^2 \frac{f_4^5 f_{10}^8}{f_1^4 f_5^4 f_8^2} \\ &+ q^3 \frac{f_2^3 f_5^3 f_{40}^2}{f_1^3 f_4 f_{10}} + 2q^3 \frac{f_5 f_{10}^2 f_{40}^2}{f_1 f_{20}} + 2q^5 \frac{f_2^2 f_8^2 f_{20}^8}{f_1^4 f_4 f_{10}^4} \pmod{5}. \end{aligned}$$

Employing 2-dissection formulas (2.4), (2.5) and congruence (2.26), we deduce that

$$\begin{aligned} \sum_{n=0}^{\infty} \bar{p}(10n+5)q^n &\equiv 2 \frac{f_2^2 f_8^2 f_{10}^2}{f_4 f_{20}^8} \left( \frac{f_4^{14}}{f_2^{14} f_8^4} + 4q \frac{f_4^2 f_8^4}{f_2^{10}} \right) \left( \frac{f_{20}^{14}}{f_{10}^{14} f_{40}^4} + 4q^5 \frac{f_{20}^2 f_{40}^4}{f_{10}^{10}} \right)^2 \\ &- 3 \frac{f_4 f_{20}^4}{f_{40}^2} \left( \frac{f_8 f_{20}^2}{f_2^2 f_{40}} + q \frac{f_4^3 f_{10} f_{40}}{f_2^3 f_8 f_{20}} \right)^2 \\ &- 3q^2 \frac{f_4^5 f_{10}^8}{f_8^2} \left( \frac{f_4^{14}}{f_2^{14} f_8^4} + 4q \frac{f_4^2 f_8^4}{f_2^{10}} \right) \left( \frac{f_{20}^{14}}{f_{10}^{14} f_{40}^4} + 4q^5 \frac{f_{20}^2 f_{40}^4}{f_{10}^{10}} \right) \\ &+ q^3 \frac{f_2^3 f_4^2}{f_4 f_{10}} \left( \frac{f_8 f_{20}^2}{f_2^2 f_{40}} + q \frac{f_4^3 f_{10} f_{40}}{f_2^3 f_8 f_{20}} \right)^3 \\ &+ 2q^3 \frac{f_{10}^2 f_{40}^2}{f_{20}} \left( \frac{f_8 f_{20}^2}{f_2^2 f_{40}} + q \frac{f_4^3 f_{10} f_{40}}{f_2^3 f_8 f_{20}} \right) \\ &+ 2q^5 \frac{f_2^2 f_8^2 f_{20}^8}{f_4 f_{10}^4} \left( \frac{f_4^{14}}{f_2^{14} f_8^4} + 4q \frac{f_4^2 f_8^4}{f_2^{10}} \right) \\ &\equiv -3 \frac{f_4 f_8^2 f_{20}^8}{f_2^4 f_{40}^4} + 2 \frac{f_4^{13} f_{20}^2}{f_2^{12} f_8^2 f_{10}^8 f_{40}^8} + 3q \frac{f_4 f_8^6 f_{20}^2}{f_2^8 f_{10}^8 f_{40}^8} - q \frac{f_4^4 f_{10} f_{20}^5}{f_2^5 f_{40}^2} \\ &- 3q^2 \frac{f_4^{19} f_{20}^{14}}{f_2^{14} f_8^6 f_{10}^6 f_{40}^4} - 3q^2 \frac{f_4^7 f_{10}^2 f_{20}^2}{f_2^6 f_8^2} - 2q^3 \frac{f_4^7 f_8^2 f_{20}^{14}}{f_2^{10} f_{10}^6 f_{40}^4} \end{aligned}$$

$$\begin{aligned}
& + 2q^3 \frac{f_8 f_{10}^2 f_{20} f_{40}}{f_2^2} + q^3 \frac{f_8^3 f_{20}^6}{f_2^3 f_4 f_{10} f_{40}} + 2q^4 \frac{f_4^3 f_{10}^3 f_{40}^3}{f_2^3 f_8 f_{20}^2} \\
& + 3q^4 \frac{f_4^2 f_8 f_{20}^3 f_{40}}{f_2^4} + 3q^5 \frac{f_4^5 f_{10} f_{40}^3}{f_2^5 f_8} + 3q^5 \frac{f_4^{13} f_{20}^8}{f_2^{12} f_8^2 f_{10}^4} \\
& + 2q^6 \frac{f_4 f_8^6 f_{20}^8}{f_2^8 f_{10}^4} + q^6 \frac{f_4^8 f_{10}^2 f_{40}^5}{f_2^6 f_8^3 f_{20}^3} - 2q^7 \frac{f_4^{19} f_{20}^2 f_{40}^4}{f_2^{14} f_8^6 f_{10}^2} \\
(2.27) \quad & - 3q^8 \frac{f_4^7 f_8^2 f_{20}^2 f_{40}^4}{f_2^{10} f_{10}^2} + 2q^{10} \frac{f_4^{13} f_{40}^8}{f_2^{12} f_8^2 f_{40}^4} + 3q^{11} \frac{f_4 f_8^6 f_{40}^8}{f_2^8 f_{40}^4} \pmod{5}.
\end{aligned}$$

Extracting the terms of odd powers of  $q$  on both sides of (2.27), then dividing by  $q$  and replacing  $q^2$  by  $q$ , we find that

$$\begin{aligned}
\sum_{n=0}^{\infty} \bar{p}(20n+15)q^n & \equiv 3 \frac{f_2 f_4^6 f_{10}^{20}}{f_1^8 f_5^8 f_{20}^8} - \frac{f_4^4 f_5 f_{10}^5}{f_1^5 f_{20}^2} - 2q \frac{f_2^7 f_4^2 f_{10}^{14}}{f_1^{10} f_5^6 f_{20}^4} + 2q \frac{f_4 f_5^2 f_{10} f_{20}}{f_1^2} \\
& + q \frac{f_4^3 f_{10}^6}{f_1^3 f_2 f_5 f_{20}} + 3q^2 \frac{f_2^5 f_5 f_{20}^3}{f_1^5 f_4} + 3q^2 \frac{f_2^{13} f_{10}^8}{f_1^{12} f_4^2 f_5^4} \\
(2.28) \quad & - 2q^3 \frac{f_2^{19} f_{10}^2 f_{40}^4}{f_1^{14} f_4^6 f_5^2} + 3q^5 \frac{f_2 f_4^6 f_{20}^8}{f_1 f_{40}^4} \pmod{5}.
\end{aligned}$$

By (2.18), we see that

$$(2.29) \quad f_5 \equiv f_1^5 \pmod{5}.$$

Substituting (2.29) into (2.28) gives

$$\begin{aligned}
\sum_{n=0}^{\infty} \bar{p}(20n+15)q^n & \equiv 3 \frac{f_2^{101}}{f_1^{48} f_4^{34}} - \frac{f_2^{29}}{f_4^{10}} - 2q \frac{f_2^{77}}{f_1^{40} f_4^{18}} + 2q f_1^8 f_2^5 f_4^6 + q \frac{f_2^{29}}{f_1^8 f_4^2} \\
(2.30) \quad & + 3q^2 f_2^5 f_4^{14} + 3q^2 \frac{f_2^{53}}{f_1^{32} f_4^2} - 2q^3 \frac{f_2^{29} f_4^{14}}{f_1^{24}} + 3q^5 \frac{f_4^{46}}{f_1^8 f_2^{19}} \pmod{5}.
\end{aligned}$$

Combining 2-dissection formulas (2.3), (2.4) and congruence (2.30), we see that

$$\begin{aligned}
\sum_{n=0}^{\infty} \bar{p}(20n+15)q^n & \equiv 3 \frac{f_2^{101}}{f_4^{34}} \left( \frac{f_4^{14}}{f_2^{14} f_8^4} + 4q \frac{f_4^2 f_8^4}{f_2^{10}} \right)^{12} + 2q f_2^5 f_4^6 \left( \frac{f_4^{10}}{f_2^2 f_8^4} - 4q \frac{f_2^2 f_8^4}{f_4^2} \right)^2 \\
& - \frac{f_2^{29}}{f_4^{10}} - 2q \frac{f_2^{77}}{f_4^{18}} \left( \frac{f_4^{14}}{f_2^{14} f_8^4} + 4q \frac{f_4^2 f_8^4}{f_2^{10}} \right)^{10} \\
& + q \frac{f_2^{29}}{f_4^2} \left( \frac{f_4^{14}}{f_2^{14} f_8^4} + 4q \frac{f_4^2 f_8^4}{f_2^{10}} \right)^2 + 3q^2 f_2^5 f_4^{14}
\end{aligned}$$



$$\begin{aligned}
 & + 3q^2 \frac{f_2^{53}}{f_4^2} \left( \frac{f_4^{14}}{f_2^{14} f_8^4} + 4q \frac{f_4^2 f_8^4}{f_2^{10}} \right)^8 \\
 & - 2q^3 f_2^{29} f_4^{14} \left( \frac{f_4^{14}}{f_2^{14} f_8^4} + 4q \frac{f_4^2 f_8^4}{f_2^{10}} \right)^6 \\
 & + 3q^5 \frac{f_4^{46}}{f_2^{19}} \left( \frac{f_4^{14}}{f_2^{14} f_8^4} + 4q \frac{f_4^2 f_8^4}{f_2^{10}} \right)^2 \\
 \equiv & 3 \frac{f_4^{134}}{f_2^{67} f_8^{48}} - \frac{f_2^{29}}{f_4^{10}} + 2q \frac{f_4^{122}}{f_2^{63} f_8^{40}} + 3q \frac{f_2 f_4^{26}}{f_8^8} + q^2 \frac{f_4^{110}}{f_2^{59} f_8^{32}} \\
 & + 4q^3 \frac{f_4^{98}}{f_2^{55} f_8^{24}} + 3q^3 f_2^9 f_4^2 f_8^8 + q^4 \frac{f_4^{86}}{f_2^{51} f_8^{16}} + 4q^5 \frac{f_4^{74}}{f_2^{47} f_8^8} \\
 & + 4q^7 \frac{f_4^{50} f_8^8}{f_2^{39}} + q^8 \frac{f_4^{38} f_8^{16}}{f_2^{35}} + 4q^9 \frac{f_4^{26} f_8^{24}}{f_2^{31}} + q^{10} \frac{f_4^{14} f_8^{32}}{f_2^{27}} \\
 (2.31) \quad & + 2q^{11} \frac{f_4^2 f_8^{40}}{f_2^{23}} + 3q^{12} \frac{f_8^{48}}{f_2^{19} f_4^{10}} \pmod{5}.
 \end{aligned}$$

Extracting the terms of odd powers of  $q$  on both sides of (2.31), then dividing by  $q$  and replacing  $q^2$  by  $q$ , we obtain (2.6). This completes the proof.  $\square$

### 3 Proof of Conjecture 1.1

In this section, we use the  $(p, k)$ -parametrization of theta functions given by Alaca, Alaca and Williams [AAW, AW, W] to represent the generating function of  $\bar{p}(40n + 35)$  modulo 5 as a linear combination of functions in  $p$  and  $k$ , where  $p$  and  $k$  are defined in terms of the theta function  $\varphi(q)$  as given by

$$p := p(q) = \frac{\varphi^2(q) - \varphi^2(q^3)}{2\varphi^2(q^3)}$$

and

$$(3.1) \quad k := k(q) = \frac{\varphi^3(q^3)}{\varphi(q)},$$

see Alaca, Alaca and Williams [AAW]. Williams [W] proved that

$$(3.2) \quad p = 2 \frac{f_2^3 f_3^3 f_{12}^6}{f_1 f_4^2 f_9^6}.$$

We have the following congruence.

**Theorem 3.1.** For  $n \geq 0$ ,

$$(3.3) \quad \bar{p}(40n + 35) \equiv 0 \pmod{5}.$$

*Proof.* The following representations of  $q^{\frac{1}{24}}f_1$ ,  $q^{\frac{1}{12}}f_2$  and  $q^{\frac{1}{6}}f_4$  in terms of  $p$  and  $k$  are due to Alaca and Williams [AW],

$$(3.4) \quad q^{\frac{1}{24}}f_1 = 2^{-\frac{1}{6}}p^{\frac{1}{24}}(1-p)^{\frac{1}{2}}(1+p)^{\frac{1}{6}}(1+2p)^{\frac{1}{8}}(2+p)^{\frac{1}{8}}k^{\frac{1}{2}},$$

$$(3.5) \quad q^{\frac{1}{12}}f_2 = 2^{-\frac{1}{3}}p^{\frac{1}{12}}(1-p)^{\frac{1}{4}}(1+p)^{\frac{1}{12}}(1+2p)^{\frac{1}{4}}(2+p)^{\frac{1}{4}}k^{\frac{1}{2}}$$

and

$$(3.6) \quad q^{\frac{1}{6}}f_4 = 2^{-2/3}p^{\frac{1}{6}}(1-p)^{\frac{1}{8}}(1+p)^{\frac{1}{24}}(1+2p)^{\frac{1}{8}}(2+p)^{\frac{1}{2}}k^{\frac{1}{2}}.$$

Substituting (3.4), (3.5) and (3.6) into (2.6), we find that

$$(3.7) \quad 2^{19} \sum_{n=0}^{\infty} \bar{p}(40n + 35)q^n \equiv \frac{\sqrt{2}p^{7/8}(1+2p)^{21/8}(2+p)^{21/8}}{16q^{7/8}(1-p)^6(1+p)^2\sqrt{k}} F(p, k) \pmod{5},$$

where  $F(p, k)$  is given by

$$(3.8) \quad \begin{aligned} F(p, k) = & 5k^{10}(524288 + 6029312p + 88735744p^2 + 840761344p^3 \\ & + 5072977920p^4 + 22470361088p^5 + 75791417344p^6 \\ & + 196034666496p^7 + 392385622016p^8 + 610286094336p^9 \\ & + 731633712128p^{10} + 663209854464p^{11} + 441020946176p^{12} \\ & + 204189055872p^{13} + 59086163776p^{14} + 8129694944p^{15} \\ & + 138932400p^{16} + 2477318p^{19} - 16585772p^{18} \\ & + 33708184p^{17} + 19661p^{20}). \end{aligned}$$

By (3.4) and (3.5), we have

$$(3.9) \quad \frac{f_2^{22}}{f_1^{23}} = \frac{\sqrt{2}p^{7/8}(1+2p)^{21/8}(2+p)^{21/8}}{16q^{7/8}(1-p)^6(1+p)^2\sqrt{k}}.$$

Hence (3.7) can be rewritten as

$$(3.10) \quad 2^{19} \sum_{n=0}^{\infty} \bar{p}(40n + 35)q^n \equiv \frac{f_2^{22}}{f_1^{23}} F(p, k) \pmod{5},$$

where  $F(p, k)$  is defined by (3.8). Clearly,  $\frac{f_2^{22}}{f_1^{23}}$  is a formal power series in  $q$  with integer coefficients. By (3.1) and (3.2), we see that  $p$  and  $k$  are also formal power series in  $q$  with integer coefficients. It can be seen that the coefficients of  $F(p, k)$  are divisible by 5. So we reach the assertion that  $\bar{p}(40n + 35) \equiv 0 \pmod{5}$  for  $n \geq 0$ .  $\square$

To complete the proof of Conjecture 1.1, we recall that Hirschhorn and Sellers [HS-1], and Fortin, Jacob and Mathieu [FJM] independently derived the congruence

$$(3.11) \quad \bar{p}(4n + 3) \equiv 0 \pmod{8},$$

for  $n \geq 0$ . This yields

$$(3.12) \quad \bar{p}(40n + 35) \equiv 0 \pmod{8},$$

for  $n \geq 0$ . Combining (3.12) and the congruence  $\bar{p}(40n + 35) \equiv 0 \pmod{5}$  for  $n \geq 0$ , we come to the conclusion that  $\bar{p}(40n + 35) \equiv 0 \pmod{40}$  for  $n \geq 0$ .  $\square$

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