# Proper disconnection of graphs\*

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#### Abstract

For an edge-colored graph G, a set F of edges of G is called a proper edge-cut if F is an edge-cut of G and any pair of adjacent edges in F are assigned different colors. An edge-colored graph is proper disconnected if for each pair of distinct vertices of G there exists a proper edge-cut separating them. For a connected graph G, the proper disconnection number of G, denoted by pd(G), is the minimum number of colors that are needed in order to make G proper disconnected. In this paper, we first give the exact values of the proper disconnection numbers for some special families of graphs. Next, we obtain a sharp upper bound of pd(G) for a connected graph G of order n, i.e,  $pd(G) \leq \min\{\chi'(G) - 1, \left\lceil \frac{n}{2} \right\rceil\}$ . Finally, we show that for given integers k and n, the minimum size of a connected graph G of order n with pd(G) = k is n-1 for k = 1 and n + 2k - 4 for  $2 \leq k \leq \left\lceil \frac{n}{2} \right\rceil$ .

**Keywords:** edge-coloring; proper edge-cut; proper disconnection number; outerplanar graph

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### 1 Introduction

All graphs considered in this paper are simple, nontrivial, finite and undirected. Let G = (V(G), E(G)) be a connected graph with vertex set V(G) and edge set

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E(G). For  $v \in V(G)$ , let d(v) denote the degree of v, N(v) denote the neighborhood of v, and N[v] denote the closed neighborhood of v in G. For a subset S of V(G), denote by N(S) the set of neighbors of S in G. Denote the diameter of G by D(G). For any notation or terminology not defined here, we follow those used in [2].

Throughout this paper, we use  $P_n$ ,  $C_n$ ,  $K_n$  to denote the path, the cycle and the complete graph of order n, respectively. Given two disjoint graphs G and H, the *join* of G and H, denoted by  $G \vee H$ , is obtained from the vertex-disjoint copies of G and H by adding all edges between V(G) and V(H).

For a graph G, let  $c: E(G) \to [k] = \{1, 2, ..., k\}, k \in \mathbb{N}$ , be an edge-coloring of G. For an edge e of G, we denote the color of e by c(e). When adjacent edges of G receive different colors by c, the edge-coloring c is called *proper*. The *chromatic index* of G, denoted by  $\chi'(G)$ , is the minimum number of colors needed in a proper edge-coloring of G.

Chartrand et al. in [3] introduced the concept of rainbow disconnection of graphs. An edge-cut of a graph G is a set R of edges such that G - R is disconnected. An edge-coloring is called a rainbow disconnection coloring of G if for every two vertices of G, there exists a rainbow cut in G separating them. For a connected graph G, the rainbow disconnection number of G, denoted by rd(G), is the smallest number of colors required for a rainbow disconnection coloring of G. A rainbow disconnection coloring with rd(G) colors is called an rd-coloring of G. In [1] the authors have obtained many results.

Inspired by the concept of rainbow disconnection, we naturally put forward a concept of proper disconnection. For an edge-colored graph G, a set F of edges of G is a proper edge-cut if F is an edge-cut of G and any pair of adjacent edges in F are assigned different colors. An edge-colored graph is called proper disconnected if for each pair of distinct vertices of G, there exists a proper edge-cut separating them. For a connected graph G, the proper disconnection number of G, denoted by pd(G), is defined as the minimum number of colors that are needed in order to make G proper disconnected. A proper disconnection coloring with pd(G) colors is called an pd-coloring of G. Clearly, for any pair of vertices of a graph, a rainbow cut is definitely a proper edge-cut. In [3], we know that if G is a nontrivial connected graph, then  $\lambda(G) \leq \lambda^+(G) \leq rd(G) \leq \chi'(G) \leq \Delta(G) + 1$ . Hence, we immediately have the following observation.

**Observation 1.1** If G is a nontrivial connected graph, then  $1 \le pd(G) \le rd(G) \le \chi'(G) \le \Delta(G) + 1$ .

Some complexity results on the proper disconnection of graphs are obtained in

our recent paper [4].

The concept of monochromatic disconnection of graphs is discussed in [5, 6].

# 2 Preliminaries

At the very beginning, we state some fundamental results on the proper disconnection numbers of graphs, which will be used in this paper. Let G be a connected graph. An edge-cut of G is a matching cut if the edge-cut is a matching of G. For vertices u and v of G, a matching cut F is called a u-v matching cut if F separates u and v in G. For a vertex  $v \in V(G)$ , let  $E_v$  be the set of all the edges incident with v in G.

**Theorem 2.1** Let G be a nontrivial connected graph. Then pd(G) = 1 if and only if for any two vertices of G, there exists a matching cut separating them.

*Proof.* Let pd(G) = 1 and c be a pd-coloring of G with one color. Assume, to the contrary, that there exist two vertices x and y which have no matching cut, i.e. each x-y proper cut has two adjacent edges. Obviously, the two adjacent edges are colored differently. That is,  $pd(G) \geq 2$ . This is a contradiction.

For the converse, define an edge-coloring c such that c(e) = 1 for every  $e \in E(G)$ . For any two vertices x and y in G, there is a matching cut which is an x-y proper edge-cut. Thus, c is a proper disconnection coloring of G and so pd(G) = 1.

For trees and cycles, we get the following results immediately by Theorem 2.1.

**Proposition 2.2** If G is a tree, then pd(G) = 1.

**Proposition 2.3** If  $C_n$  is a cycle, then

$$pd(C_n) = \begin{cases} 2, & if \ n = 3, \\ 1, & if \ n \ge 4. \end{cases}$$

**Lemma 2.4** If H is a connected subgraph of a connected graph G, then  $pd(H) \leq pd(G)$ .

Proof. Let c be a pd-coloring of G and  $c_H$  be a coloring of H by restricting c to H. Let x and y be two vertices of H. Suppose that F is an x-y proper edge-cut in G. Then  $F \cap E(H)$  is an x-y proper edge-cut in H. Hence, the coloring c restricted to H is a proper disconnection coloring of H. Thus,  $pd(H) \leq pd(G)$ .

A block of a graph G is a maximal connected subgraph of G that has no cut vertex. Then the block is either a cut edge, say trivial block, or a maximal 2-connected subgraph. Let  $\{B_1, B_2, ..., B_t\}$  be the block set of G.

**Lemma 2.5** Let G be a nontrivial connected graph with blocks  $B_1, B_2, \dots, B_t$ . Then  $pd(G) = \max\{pd(B_i)| i \in [t]\}.$ 

*Proof.* Let  $k = \max\{pd(B_i) \mid 1 \leq i \leq t\}$ . If G has no cut vertex, then  $G = B_1$  and the result follows. Next, we assume that G has at least one cut vertex. Since each block is a subgraph of G,  $pd(G) \geq k$  by Lemma 2.4.

For each  $i \in [t]$ , let  $c_i$  be a pd-coloring of  $B_i$ . We define the edge-coloring  $c: E(G) \to [k]$  of G by  $c(e) = c_i(e)$  if  $e \in E(B_i)$ .

Let  $x, y \in V(G)$ . If there exists a block, say  $B_i$ , that contains both x and y, then any x-y proper edge-cut in  $B_i$  is an x-y proper edge-cut in G. Next, we consider that no block of G contains both x and y. Assume that  $x \in B_i$  and  $y \in B_j$ , where  $i \neq j$ . Now every x-y path contains a cut vertex, say v, of G in  $B_i$  and a cut vertex, say w, of G in  $B_j$ . Note that v could equal w. If  $x \neq v$ , then any x-v proper cut of  $B_i$  is an x-y proper edge-cut in G. Similarly, if  $y \neq w$ , then any y-w proper cut of  $B_j$  is an x-y proper edge-cut in G. Thus, we may assume that x = v and y = w. It follows that  $v \neq w$ . Consider the x-y path  $P = (x = v_1, v_2, \dots, v_p = y)$ . Since x and y are cut vertices in different blocks and no block contains both x and y, we can select the first cut vertex z of G on P except x, that is,  $z = v_k$  for some k ( $2 \leq k \leq p - 1$ ). Then x and z belong to the same block, say  $B_s$  ( $s \in \{1, 2, \dots, t\} \setminus \{i, j\}$ ). Then any x-z proper edge-cut of  $B_s$  is an x-y proper edge-cut of G. Hence,  $pd(G) \leq k$ , and so pd(G) = k.

We next present a useful structural property.

**Lemma 2.6** Let G be a nontrivial connected graph. If there exist two nonadjacent vertices u and v sharing t  $(t \ge 1)$  common neighbors in G, then  $pd(G) \ge \lceil \frac{t}{2} \rceil$ . Furthermore, if  $uv \in E(G)$ , then  $pd(G) \ge \lceil \frac{t}{2} \rceil + 1$ .

Proof. Let c be a pd-coloring of G. Let u, v be two vertices of G and F(u, v) be a u-v proper edge-cut in G. If  $uv \notin E(G)$ , let  $W = N(u) \cap N(v) = \{w_1, w_2, \cdots, w_t\}$ . Then there are t internally disjoint paths of length two. Let  $E_1 = \{uw_i | 1 \le i \le t\}$  and  $E_2 = \{vw_i | 1 \le i \le t\}$ . Then  $|F(u, v) \cap E_1| \ge \lceil \frac{t}{2} \rceil$  or  $|F(u, v) \cap E_2| \ge \lceil \frac{t}{2} \rceil$ . Otherwise there exists at least one u-v path of length two in  $G \setminus F(u, v)$ , which is a contradiction. Since  $E_1 \subseteq E_u$  and  $E_2 \subseteq E_v$ ,  $pd(G) \ge \lceil \frac{t}{2} \rceil$ . Moreover, if  $uv \in E(G)$ , then  $uv \in F(u, v)$ .

So 
$$|F(u,v) \cap E_u| \ge |F(u,v) \cap E_1| + 1$$
 or  $|F(u,v) \cap E_v| \ge |F(u,v) \cap E_2| + 1$ . Hence,  $pd(G) \ge \lceil \frac{t}{2} \rceil + 1$ .

## 3 Main results

In this section, we give the exact values of the proper disconnection numbers for the wheel graphs, the complete graphs, the complete bipartite graphs and the outerplanar graphs. Furthermore, we obtain a sharp upper bound of pd(G), and derive the minimum size of a graph G of order n with pd(G) = k, where  $1 \le k \le \lceil \frac{n}{2} \rceil$ .

#### 3.1 Wheel graphs

**Lemma 3.1** Let  $G = K_4 - \{e\}$ . Then pd(G) = 2. Furthermore, the colors of matching edges in G are the same for any pd-coloring.

Proof. Let  $V(G) = \{v_1, v_2, v_3, v_4\}$  and  $E(G) = \{v_i v_j\} | 1 \le i < j \le 4\} \setminus \{v_2 v_4\}$ . First, we have  $pd(G) \ge 2$  since  $K_3$  is a subgraph of G. Define an edge-coloring  $c: E(G) \to [2]$  of G as follows. Let  $c(v_1 v_2) = c(v_1 v_3) = c(v_3 v_4) = 1$ ,  $c(v_1 v_4) = c(v_2 v_3) = 2$ . Let u and v be two vertices of G. If d(u) = 2 or d(v) = 2, then  $E_u$  (or  $E_v$ ) is a u-v proper edge-cut. Otherwise, If d(u) = d(v) = 3, then the edge set  $\{v_1 v_4, v_1 v_3, v_2 v_3\}$  is a proper edge-cut of u, v. Thus,  $pd(G) \le 2$ .

For any pd-coloring  $c: E(G) \to [2]$  of G, assume that  $c(v_1v_3) = 1$ . Since any edge-cut of  $v_1$  and  $v_3$  has at least three edges, there exist two matching edges with color 2 incident with  $v_1$ ,  $v_3$  respectively. We claim that the remaining two matching edges must have the same color. Otherwise, there exists a vertex, say  $v_2$ , such that all edges of  $E_{v_2}$  have color 2. Then  $E_{v_1}$  or  $E_{v_3}$  has two edges with color 2. Therefore, there is no  $v_1$ - $v_2$  or  $v_2$ - $v_3$  proper edge-cut.

**Theorem 3.2** If  $W_n = C_n \vee K_1$  is the wheel of order  $n + 1 \geq 4$ , then

$$pd(W_n) = \begin{cases} 2, & if \ n = 3k \ (k \in \mathbb{Z}), \\ 3, & otherwise. \end{cases}$$

*Proof.* Let  $V(W_n) = \{v_0, v_1, \ldots, v_n\}$  and  $E(W_n) = \{v_0v_i, v_0v_n, v_iv_{i+1}, v_1v_n|1 \le i \le n-1\}$ . For convenience, each subscript of vertices is expressed as an integer  $0, 1, 2, \cdots, n-1$  modular n. First,  $pd(W_n) \ge 2$ , since  $K_3$  is a subgraph of  $W_n$ .

Case 1. n = 3k.

Define an edge-coloring  $c: E(W_n) \to [2]$  of  $W_n$ . Let  $c(v_0v_{3i}) = c(v_{1+3j}v_{2+3j}) = 2$  where  $1 \le i \le k$  and  $0 \le j \le k - 1$  and assign color 1 to the remaining edges. Let  $v_i$  be a vertex of  $W_n$ , where i = 3t  $(1 \le t \le k)$ . Then the edge set  $\{v_{i-1}v_i, v_0v_i, v_0v_{i+1}, v_{i+1}v_{i+2}\}$  is a proper edge-cut between  $\{v_i, v_{i+1}\}$  and  $V(W_n) \setminus \{v_i, v_{i+1}\}$  and the edge set  $\{v_iv_{i+1}, v_0v_i, v_0v_{i-1}, v_{i-2}v_{i-1}\}$  is also a proper edge-cut between  $\{v_{i-1}, v_i\}$  and  $V(W_n) \setminus \{v_{i-1}, v_i\}$ .

Let  $v_k$ ,  $v_l$  be any two vertices of  $W_n$  where k, l are integers. If  $v_k$  is nonadjacent to  $v_l$ , then there exists an edge  $v_k v_p$  such that p = 3t or k = 3t. By above argument, we have a proper edge-cut between  $\{v_k, v_p\}$  and  $V(W_n) \setminus \{v_k, v_p\}$ , which is a  $v_k$ - $v_l$  proper edge-cut. Assume  $v_k$  is adjacent to  $v_l$  with  $k \leq l$ . If k or l is a multiple of 3, without loss of generality, k = 3t, then there exists a proper cut between  $\{v_k, v_p\}$  and  $V(W_n) \setminus \{v_k, v_p\}$  where  $v_p \in N(v_k) \setminus \{v_0, v_l\}$ , which is a  $v_k$ - $v_l$  proper edge-cut. If neither k nor l is a multiple of 3, then there exits a proper edge-cut between  $\{v_k, v_s\}$  and  $V(W_n) \setminus \{v_k, v_s\}$  where  $v_s \in N(v_k) \setminus \{v_0, v_l\}$ , which is a  $v_k$ - $v_l$  proper edge-cut. Thus,  $\operatorname{pd}(W_n) = 2$ .

Case 2.  $n \neq 3k$ .

Assume that  $pd(W_n) = 2$ . Let  $c(v_0v_1) = 1$ . Then for matching edges  $v_0v_1$  and  $v_2v_3$  in induced graph  $G[\{v_0, v_1, v_2, v_3\}]$ , we get  $c(v_0v_1) = c(v_2v_3) = 1$  by Lemma 3.1. Using Lemma 3.1 repeatedly, we get c(e) = 1 for any edge e of  $W_n$  (i.e.  $c(v_0v_1) = c(v_2v_3) = c(v_0v_4) = \cdots = 1$ ). This is a contradiction with  $pd(W_n) \geq 2$ . Thus,  $pd(W_n) \geq 3$ .

Now we define an edge-coloring  $c: E(W_n) \to [3]$  of  $W_n$ . First, let c be a proper edge-coloring of  $C_n$  using the colors 1, 2, 3. For each integer i with  $1 \le i \le n$ , let  $a_i \in \{1, 2, 3\} \setminus \{c(v_{i-1}v_i), c(v_iv_{i+1})\}$ , and let  $c(v_0v_i) = a_i$ . Thus,  $E_{v_i}$  is a proper set for  $1 \le i \le n$ . Let x, y be two distinct vertices of  $W_n$ . Then at least one of x and y belongs to  $C_n$ , say  $x \in V(C_n)$ . Since  $E_x$  separates x and y, it follows that c is a proper disconnection coloring of  $W_n$  using three colors. Therefore,  $pd(W_n) = 3$  for  $n \ne 3k$ .

# 3.2 Complete bipartite graphs and complete graphs

Now we introduce some notations. Let X and Y be sets of vertices of a graph G, we denote by E[X,Y] the set of all the edges of G with one end in X and the other end in Y. We write G[X,Y] for G[E[X,Y]]. For an edge-coloring c of G[X,Y], if c is a proper coloring, then E[X,Y] is called a *proper set*.

**Theorem 3.3** Let  $K_{n,n}$  be a complete bipartite graph. Then  $pd(K_{n,n}) = \lceil \frac{n}{2} \rceil$ .

*Proof.* Let  $G = K_{n,n}$  and suppose that X and Y are two partite vertex sets of G, where  $X = \{x_1, x_2, \dots, x_n\}$  and  $Y = \{y_1, y_2, \dots, y_n\}$ . For any two vertices  $x_i, x_j \in X$ , there are n common neighbors in Y. Then  $pd(G) \geq \lceil \frac{n}{2} \rceil$  by Lemma 2.6.

Now, for the upper bound, we define an edge-coloring  $c: E(G) \to \{0, 1, \cdots, \lceil \frac{n}{2} \rceil - 1\}$  of G by assigning each edge  $x_i y_j$  with  $c(x_i y_j) \equiv i + j - 1 \pmod{\lceil \frac{n}{2} \rceil}$  for  $1 \leq i, j \leq n$ . Let  $X = X_1 \cup X_2$ , where  $X_1 = \{x_1, x_2, \cdots, x_{\lceil \frac{n}{2} \rceil}\}$  and  $X_2 = \{x_{\lceil \frac{n}{2} \rceil + 1}, x_{\lceil \frac{n}{2} \rceil + 2}, \cdots, x_n\}$ . Let  $Y = Y_1 \cup Y_2$ , where  $Y_1 = \{y_1, y_2, \cdots, y_{\lceil \frac{n}{2} \rceil}\}$  and  $Y_2 = \{y_{\lceil \frac{n}{2} \rceil + 1}, y_{\lceil \frac{n}{2} \rceil + 2}, \cdots, y_n\}$ .

Claim 3.4 For each pair of vertex sets  $X_k$  and  $Y_l$   $(k, l \in [2])$ ,  $E[X_k, Y_l]$  is a proper set.

Proof. By symmetry, we only consider the vertex sets  $X_1$  and  $Y_1$ . For each vertex  $x_i$  of  $X_1$ , since  $c(x_iy_j) \equiv i + j - 1 \pmod{\lceil \frac{n}{2} \rceil}$ , it follows that  $c(x_iy_1) \neq c(x_iy_2) \neq \cdots \neq c(x_iy_{\lceil \frac{n}{2} \rceil})$ . Therefore, the edges of  $G[X_1, Y_1]$  incident with the same vertex are colored by different colors. Thus,  $E[X_1, Y_1]$  is a proper set.

We now show that for each pair of vertices u and w of G, there is a proper edge-cut separating them. Two cases are needed to be discussed.

Case 1.  $u \in X$ ,  $w \in Y$ .

Suppose that  $u=x_i$  and  $w=y_j$ . Let  $x_i \in X_1, y_j \in Y_1$  or  $x_i \in X_2, y_j \in Y_2$  and let  $F(x_i, y_j) = E[X_1, Y_1] \cup E[X_2, Y_2]$ . Consider the subgraph H of G obtained by deleting  $F(x_i, y_j)$  from G, then H has two components  $G[X_1, Y_2]$  and  $G[X_2, Y_1]$  (See Figure 1). Since  $x_i \in G[X_1, Y_2]$  and  $y_j \in G[X_2, Y_1]$ , we can know that  $F(x_i, y_j)$  is an edge cut separating  $x_i$  and  $y_j$ . Moreover,  $E[X_1, Y_1]$  and  $E[X_2, Y_2]$  are proper sets by the claim and  $E[X_1, Y_1] \cap E[X_2, Y_2] = \phi$ , so  $F(x_i, y_j)$  is an  $x_i$ - $y_j$  proper edge-cut. If  $x_i \in X_1, y_j \in Y_2$  or  $x_i \in X_2, y_j \in Y_1$ , we can similarly show that  $E[X_1, Y_2] \cup E[X_2, Y_1]$  is an  $x_i$ - $y_j$  proper edge-cut.

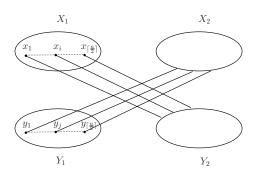


Figure 1: A graph H

Case 2.  $u, w \in X$  or  $u, w \in Y$ .

By symmetry, suppose without loss of generality that  $u=x_i$  and  $w=x_j$ . If  $x_i, x_j \in X_1$ , where  $1 \leq i < j \leq \lceil \frac{n}{2} \rceil$ . Let  $X_1' = X_1 - x_i + x_{i+\lceil \frac{n}{2} \rceil}$  and  $X_2' = X_2 + x_i - x_{i+\lceil \frac{n}{2} \rceil}$ . Then  $x_i \in X_2'$  and  $x_j \in X_1'$ . For every vertex  $y_t$  of Y, we know that  $c(x_iy_t) = c(x_{i+\lceil \frac{n}{2} \rceil}y_t) \equiv i+t-1 \pmod{\lceil \frac{n}{2} \rceil}$ . By the same method used in the claim, for vertex sets  $X_k'$  and  $Y_l$   $(k, l \in [2])$ ,  $E[X_k', Y_l]$  is a proper set. Let  $F'(x_i, x_j) = E[X_1', Y_1] \cup E[X_2', Y_2]$ . Let  $H' = G[X_1', Y_2] \cup G[X_2', Y_1]$  be a graph obtained by deleting  $F'(x_i, x_j)$  from G (See Figure 2). According to Case 1,  $F'(x_i, x_j)$  is an  $x_i$ - $x_j$  proper edge-cut. If  $x_i \in X_1$  and  $x_j \in X_2$ , similarly, we can get  $E[X_1, Y_1] \cup E[X_2, Y_2]$  is an  $x_i$ - $x_j$  proper edge-cut.

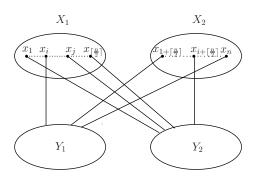


Figure 2: A graph H'

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**Theorem 3.5** Let  $K_{m,n}$  be a complete bipartite graph with  $2 \leq m \leq n$ . Then  $pd(K_{m,n}) = \lceil \frac{n}{2} \rceil$ .

Proof. Let  $G = K_{m,n}$  and  $V(G) = X \cup Y$ , where  $X = \{x_1, \dots, x_m\}$  and  $Y = \{y_1, \dots, y_n\}$ . For any two vertices  $x_i, x_j \in X$ , there are n common neighbors in Y. From Lemma 2.6, it follows that  $pd(G) \geq \lceil \frac{n}{2} \rceil$ . Also, G is a subgraph of  $K_{n,n}$ . By Theorem 3.3 and Lemma 2.4, we have that  $pd(G) \leq pd(K_{n,n}) = \lceil \frac{n}{2} \rceil$ . Hence, it follows that  $pd(G) = \lceil \frac{n}{2} \rceil$ .

**Theorem 3.6** For each integer  $n \geq 2$ ,  $pd(K_n) = \lceil \frac{n}{2} \rceil$ .

Proof. Let  $a = \lceil \frac{n}{2} \rceil$  and  $V(K_n) = X \cup Y$ , where  $X = \{v_1, v_2, \dots, v_a\}$  and  $Y = \{v_{a+1}, v_{a+2}, \dots, v_n\}$ . For any two vertices  $v_i, v_j \in V(K_n)$ , there are n-2 common neighbors in  $K_n$  and  $v_i v_j \in E(K_n)$ . Then  $pd(K_n) \geq \lceil \frac{n}{2} \rceil$  by Lemma 2.6. Define an edge-coloring  $c : E(G) \to \{0, 1, \dots, a-1\}$  such that  $c(v_i v_j) \equiv i + j - 1 \pmod{a}$ . For any two vertices  $u, w \in V(G)$ , assume  $u \in X$  and  $w \in Y$ . Since E[X, Y] separates u and w, and w and w are proper set in w by a similar proof method as

Claim 3.4, E[X,Y] is a u-w proper edge-cut. If  $u,w \in X$ , assume that  $u=v_i$  and  $w=v_j$ , where i < j. Let  $i_0=i+a$ . Then  $v_{i_0} \in Y$ . For  $v_r \in V(K_n)$   $(r \neq i,i_0)$ , we have  $c(v_iv_r)=c(v_{i_0}v_r)$ . Let  $X'=X\setminus\{v_i\}$  and  $Y'=Y\setminus\{v_{i_0}\}$ . Similarly,  $F(v_i,v_j)=E[X'\cup\{v_{i_0}\},Y'\cup\{v_i\}]$  is a proper set. Since  $F(v_i,v_j)$  separates u and w,  $F(v_i,v_j)$  is a u-w proper edge-cut in  $K_n$ . If  $u,w \in Y$ , we can obtain a u-w proper edge-cut in the similar way. Thus, c is a proper disconnection coloring of  $K_n$  and so  $pd(K_n) \leq \lceil \frac{n}{2} \rceil$ .

#### 3.3 Outerplanar graphs

An outerplanar graph is a graph that can be drawn in the plane without crossings in such a way that all of the vertices belong to the unbounded face of the drawing. A minor of a graph G is any graph obtained from G by means of a sequence of vertex and edge deletions and edge contractions. A chord of a cycle G in a graph G is an edge in  $E(G) \setminus E(G)$  both of whose ends lie on G. There is a characterization of outerplanar graphs as follows.

**Theorem 3.7** [2] A graph is outerplanar if and only if it does not contain  $K_4$  or  $K_{2,3}$  as a minor.

Let  $P_n$  be a path of order n. We denote  $K_1 \vee P_n$  by  $F_{1,n}$ , which is called a fan graph. We first show that the proper disconnection number of fan graph is 2, which will be used to characterize the outerplanar graphs with diameter 2.

**Lemma 3.8** Let  $F_{1,n} = K_1 \vee P_n$  be a fan graph, where  $n \geq 2$ . Then  $pd(F_{1,n}) = 2$ .

Proof. Firstly, we have that  $pd(F_{1,n}) \geq pd(K_3) = 2$  by Lemma 2.4. Clearly,  $F_{1,n}$  is a subgraph of  $W_n$ . If n = 3k  $(k \geq 1)$ , then  $pd(F_{1,n}) \leq pd(W_n) = 2$  by Lemma 2.4 and Theorem 3.2. Thus,  $F_{1,3k} = 2$ . If n = 3k - 2 or 3k - 1  $(k \geq 1)$ , then  $pd(F_{1,3k-2}) \leq pd(F_{1,3k-1}) \leq pd(F_{1,3k}) = 2$  since both  $F_{1,3k-2}$  and  $F_{1,3k-1}$  are subgraphs of  $F_{1,3k}$ . Hence,  $pd(F_{1,n}) = 2$ .

**Theorem 3.9** Let G be an outerplanar graph. Then pd(G) = 1 if and only if G is a triangle-free graph.

*Proof.* Let pd(G) = 1. Assume, to the contrary, that G contains a copy of  $K_3$ . Then  $pd(G) \ge pd(K_3) = 2$  by Theorem 2.4, which is a contradiction. Then G is a triangle-free outerplanar graph.

For the converse, let B be a block of G with t vertices such that pd(B) is maximum among all proper disconnection numbers of the other blocks. Then B is a triangle-free outerplanar graph. By Lemma 2.5, it suffices to show that pd(B) = 1. If B is trivial, then pd(B) = 1. If B is not trivial, then  $t \geq 4$ . Suppose that B is a cycle. Then pd(B) = 1 by Proposition 2.3.

It remains to consider that B is not a cycle. Since B is a triangle-free and outerplanar graph, we only consider  $t \geq 6$ . We proceed by induction on t. When t = 6, the block B has only one chord since B is a triangle-free and outerplanar graph. Clearly, pd(B) = 1 and the result is true. When  $t \geq 7$ , let  $C = v_1e_1v_2e_2\cdots e_{t-1}v_te_tv_1$  be the boundary of the outer face in B. Choose a chord  $v_iv_j$  such that the internal vertices of  $P = v_ie_iv_{i+1}e_{i+1}\cdots e_{j-1}v_j (j \geq i+3)$  have degree 2 in B. Let B' be a graph by removing internal vertices of P from B. Then pd(B') = 1 by induction hypothesis. By Theorem 2.1, for any two vertices x and y of B' there is an x-y matching cut, denoted by  $F_{B'}(x,y)$ . For any two vertices u, v in u, if u, u is u in u

Now we characterize the outerplanar graphs G with D(G) = 2. We first construct some graph classes. Let  $\mathcal{D}$  be a family of graphs obtained from  $W_n = K_1 \vee C_n$  by deleting t  $(1 \leq t \leq n-1)$  edges from  $C_n$ . Let z be an isolated vertex and  $v_1v_2v_3v_4v_5$  be a path of length 4. Then join z with  $v_1, v_2, v_4$  and  $v_5$ . We denote the resulting graph by  $F_{1,5}^-$ . Let y be an isolated vertex and  $v_1v_2v_3v_4$  be a path of length 3. Then join y with  $v_1, v_3$  and  $v_4$ . We denote the resulting graph by  $F_{1,4}^-$ . Let  $C_6 = v_1v_2v_3v_4v_5v_6v_1$  Then let  $F' = C_6 \cup \{v_1v_3, v_3v_5, v_1v_5\}$ .

**Theorem 3.10** Let G be an outerplanar graph with D(G) = 2. Then pd(G) = 2 if and only if  $G \in \mathcal{D}$  or  $G \cong F_{1,5}^-$  or  $F_{1,4}^-$  or F'.

Proof. Sufficiency. Since there is at least one triangle  $K_3$  for every  $G \in \mathcal{D}$ , it is clear to see that  $pd(G) \geq pd(K_3) = 2$  by Theorem 2.4 and Theorem 3.6. Meanwhile, G is a subgraph of a fan graph, therefore,  $pd(G) \leq 2$  by Lemma 3.8 and Lemma 2.4. Hence, pd(G) = 2 for  $G \in \mathcal{D}$ . Similarly,  $pd(F_{1,5}^-) = pd(F_{1,4}^-) = 2$ . For the graph F',  $pd(F') \geq 2$  by Lemma 2.4 and Theorem 3.6. We now assign a 2-edge-coloring  $c : E(F') \to \{1,2\}$  for F'.

Let  $c(v_1v_5) = c(v_3v_4) = c(v_2v_3) = 2$  and the remaining edges are colored by 1. Thus, for every pair of vertices in F', there exists a proper edge-cut  $F[V_1, V(F') \setminus V_1]$ , where  $V_1 = \{v_1, v_2, v_3\}$  and  $V(F') \setminus V_1 = \{v_4, v_5, v_6\}$  or  $V_1 = \{v_1, v_2, v_6\}$  and  $V(F') \setminus V_1 = \{v_4, v_5, v_3\}$  or  $V_1 = \{v_1, v_2, v_3, v_5, v_6\}$  and  $V(F') \setminus V_1 = \{v_4\}$  or  $V_1 = \{v_1, v_3, v_4, v_5, v_6\}$  and  $V(F') \setminus V_1 = \{v_2\}$ . Hence, pd(F') = 2.

Necessity. Suppose that pd(G) = 2. Clearly, there is at most one cut vertex since D(G) = 2. Otherwise  $D(G) \ge 3$ . We now discuss it by two cases.

Case 1. Suppose that there exists exactly one cut vertex. Then the remaining vertices are adjacent to the cut vertex. Since the star  $pd(K_{1,t}) = 1$ , G is not a star. And since the wheel graph is not the outerplanar graph, G is not the graph containing the wheel graph. Thus, we get  $G \in \mathcal{D}$  because G is a outerplanar graph.

Case 2. Suppose that there is not a cut vertex. Then  $\delta(G) \geq 2$ . Let r be a vertex with maximum degree and  $N(r) = \{x_1, x_2, \dots, x_{\Delta}\}.$ 

Subcase 2.1. d(r) = n - 1. Since there is no cut vertex in G, the induced subgraph G[N(r)] is connected. We claim that G[N(r)] is a path. Otherwise, it is a tree with a vertex v of degree at least three, or it contains a cycle. Thus, G contains a minor of  $K_{2,3}$  or  $K_4$ . By Theorem 3.7, we have a contradiction. Clearly, G is the graph from  $\mathcal{D}$  with t = 1.

Subcase 2.2. d(r) = n - 2. Let x be a vertex which is nonadjacent to r. Suppose that  $|N(x)| \geq 3$ . Then, there is a minor of  $K_{2,3}$  in G, which is a contradiction. Thus, |N(x)| = 2. We now illustrate our claim that  $G \cong F_{1,5}^-$  or  $F_{1,4}^-$  or  $G \cong F'$ . Without loss of generality, let  $x_1x, x_2x$  be two edges of G. Suppose that  $n \geq 7$ . Since D(G) = 2, the vertices  $x_3, x_4, x_5$  are adjacent to  $x_1$  or  $x_2$ . Then there are at least two vertices adjacent to the same vertex  $x_1$  (or  $x_2$ ). Then we obtain a minor of  $K_{2,3}$  in G. Suppose that n = 5. Since  $d(x_3) \geq 2$ , we have exactly one edge  $x_2x_3 \in E(G)$  or  $x_1x_3 \in E(G)$ . Otherwise, there is a minor of  $K_4$ . So  $G \cong F_{1,4}^-$ . Suppose that n = 6. The vertices  $x_3, x_4$  have no common neighbor other than r. Otherwise, there is a minor of  $K_{2,3}$  in G, and it is the same for  $x_1, x_2$ . Since D(G) = 2, there exist edges  $x_2x_3, x_1x_4$  (or  $x_1x_3, x_2x_4$ ). Hence, we have  $G \cong F_{1,5}^-$  if  $x_1x_2 \notin E(G)$  and  $G \cong F'$  if  $x_1x_2 \in E(G)$ .

Subcase 2.3.  $d(r) \leq n-3$ . Let  $y_1$  and  $y_2$  be two vertices which are nonadjacent to r. Assume that  $y_1y_2 \in E(G)$ . If  $|N(r) \cap N(\{y_1, y_2\})| \geq 3$ , then there is a minor of  $K_{2,3}$  in G. Thus,  $|N(r) \cap N(\{y_1, y_2\})| \leq 2$ . If  $N(r) \cap N(\{y_1, y_2\}) = \emptyset$ , then  $d(r, y_1) \geq 3$ , which is a contradiction. If  $|N(r) \cap N(\{y_1, y_2\})| = 1$ , then when there is exactly one vertex of  $\{y_1, y_2\}$  which is adjacent to one vertex of N(r), it contradicts with D(G) = 2. When both  $y_1$  and  $y_2$  are adjacent to one common vertex of  $N(r) \cap N(\{y_1, y_2\})$ , without loss of generality, let  $y_1x_1, y_2x_1 \in E(G)$ . Then there exists  $x_i$   $(i \neq 1)$  which is nonadjacent to  $x_1 \in N(r) \cap N(\{y_1, y_2\})$  since r is a vertex with maximum degree. Then there exists two vertices  $y_3, y_4 \in V(G) \setminus (N[r] \cup \{y_1, y_2\})$  such that, with loss of generality,

 $x_iy_3, y_3y_1, x_iy_4, y_4y_2 \in E(G)$  since D(G) = 2, where  $y_3$  may be equal to  $y_4$ . Then  $|N(r) \cap N(\{y_1, y_3\})| = 2$ . Namely, there are always two vertices, with loss of generality,  $y_1, y_2 \in V(G) \setminus N[r]$ , which satisfy  $y_1y_2 \in E(G)$  and  $|N(r) \cap N(\{y_1, y_2\})| = 2$ . Therefore, we only consider the case that  $|N(r) \cap N(\{y_1, y_2\})| = 2$ . Without loss of generality, let  $x_1y_1, x_2y_2 \in E(G)$ .

When |N(r)| = 2, clearly,  $G \cong C_5$ , contradicting that pd(G) = 2. If  $|N(r)| \geq 5$ , then  $x_3, x_4$  and  $x_5$  belong to N(r) but not  $N(\{y_1, y_2\})$ . So, there are at least two vertices of  $x_3, x_4$  and  $x_5$  adjacent to one vertex of  $x_1$  and  $x_2$ , which induces a minor of  $K_{2,3}$ . Thus, |N(r)| = 3 or 4. If there exists a vertex  $y \notin N(r)$  ( $y \neq y_1, y_2$ ) such that  $x_3y, yy_1 \in E(G)$  (or  $x_3y, yy_2 \in E(G)$ ), then it contains a minor of  $K_{2,3}$ . If |N(r)| = 3, then  $N(x_3) \cap N(\{y_1, y_2\}) \neq \emptyset$  since D(G) = 2. However, there is at most one vertex in  $N(r) \cap N(\{y_1, y_2\})$  adjacent to  $x_3$ . Otherwise, there is a minor of  $K_4$  in G. Suppose that  $x_3$  is adjacent to one vertex of  $\{x_1, x_2\}$ , say  $x_1$ , then D(G) = 3 since r has the maximum degree. This is a contradiction. If |N(r)| = 4, then  $x_3$  and  $x_4$  are not adjacent to one common vertex of  $x_1$  and  $x_2$ . Otherwise, it produces a minor of  $K_{2,3}$ . Then let  $x_2x_3, x_1x_4 \in E(G)$ . In the sake of D(G) = 2, the pair of vertices  $x_3$  and  $y_1$  has at least one common neighbor and so does the pair of  $x_4$  and  $y_2$ . However, it produces a minor of  $K_4$ .

Assume that  $V(G) \setminus N[r]$  is an independent set. Clearly,  $y_1$  and  $y_2$  have at most two neighbors in  $\{x_i|1 \leq i \leq n-3\}$ , respectively. Since D(G)=2,  $y_1$  and  $y_2$  have at least one common neighbor in  $\{x_i|1 \leq i \leq n-3\}$ . If they have at least two common neighbors, then G contains a minor of  $K_{2,3}$ , which is a contradiction. Thus,  $y_1$  and  $y_2$  have exactly one common neighbor in  $\{x_i|1 \leq i \leq n-3\}$ . Without loss of generality, let  $x_1, x_2 \in N(y_1)$  and  $x_1 \in N(y_2)$ . Then  $y_2$  has a neighbor x where  $x \neq x_1, x_2$ . Without loss of generality, let  $x = x_3$ . Then there is an edge  $x_1x_3$  or  $x_2x_3$ . Otherwise, it is a contradiction to D(G)=2. If  $x_2x_3 \in E(G)$ , then there is a minor of  $K_4$ . If  $x_1x_3 \in E(G)$ , then  $x_1x_2 \in E(G)$  since D(G)=2. Since D(G)=2. Since D(G)=3 contradiction to D(G)=3. In view of D(G)=3, then D(G)=3 contradiction to D(G)=3, then D(G)=3 contradiction is a minor of D(G)=3. There is a minor of D(G)=3, which is a contradiction.

# 3.4 An upper bound and an extremal problem

We first consider the upper bound of the proper disconnection number for a graph of order n and chromatic index  $\chi'(G)$ .

**Theorem 3.11** If G is a nontrivial connected graph, then  $pd(G) \leq \chi'(G) - 1$ .

Proof. Let  $c: E(G) \to [\chi'(G)]$  be a proper edge-coloring of G. Then we define an edge-coloring c' of G as follows: for any edge e of G, if  $c(e) = \chi'(G)$ , then c'(e) = 1; otherwise, c'(e) = c(e). Let x, y be two vertices of G. Assume  $N(x) = \{v_1, v_2, \cdots, v_{d(x)}\}$ . Obviously, at most two incident edges of x are assigned the color 1. If there exists at most one incident edge of x with color 1, then  $E_x$  is an x-y proper edge-cut. If there exist two edges with color 1, then we may assume  $c'(xv_i) = c'(xv_j) = 1$   $(1 \le i < j \le d(x))$ . If  $y \in \{v_i, v_j\}$ , then let t be the vertex in  $\{v_i, v_j\}$  that is not y. Then  $(E_x \cup E_t) \setminus \{xt\}$  is an x-y proper edge-cut. Otherwise,  $(E_x \cup E_{v_i}) \setminus \{xv_i\}$   $(i \in [d(x)])$  is an x-y proper edge-cut. Thus, c' is a proper disconnection coloring of G and so  $pd(G) \le \chi'(G) - 1$ .

According to Theorem 3.6 and Theorem 3.11, we get the following result.

**Theorem 3.12** Let G be a nontrivial connected graph of order n. Then  $pd(G) \le min\{\chi'(G)-1, \lceil \frac{n}{2} \rceil \}$ , and the bound is sharp.

*Proof.* By Theorem 3.11,  $pd(G) \leq \chi'(G) - 1$ . Since G is a connected subgraph of  $K_n$ ,  $pd(G) \leq \left\lceil \frac{n}{2} \right\rceil$  by Theorem 3.6. For the sharpness,  $\left\lceil \frac{n}{2} \right\rceil$  can be reached by complete graphs, and  $\chi'(G) - 1$  can be reached by even cycles and paths with at least 3 vertices.

Now we investigate the following extremal problem: For given positive integers k and n with  $1 \le k \le \lceil \frac{n}{2} \rceil$ , what is the minimum possible size of a connected graph G of order n such that the proper disconnection number of G is k?

**Lemma 3.13** Let G be a connected graph of order n. Let M be a matching of G. Then  $pd(G) \leq \max\{pd(G_i)|1 \leq i \leq t\} + 1$ , where  $G_i$  is a connected component of G - M and t is the number of components of G - M.

Proof. Denote the components of G-M by  $G_1, G_2, \ldots, G_t$ . Let  $\ell = \max\{\operatorname{pd}(G_i)|1 \le i \le t\}$ . Let  $c_i$  be a pd-coloring of  $G_i$  and  $F_{G_i}(u,v)$  be a u-v proper edge-cut in  $G_i$  for  $i \in [t]$ . We define an edge-coloring  $c: E(G) \to [\ell+1]$  of G by  $c(e) = c_i(e)$  if  $e \in E(G_i)$  and  $c(e) = \ell+1$  if  $e \in M$ . Let x, y be any two vertices of G. If  $x, y \in G_i$ , then  $F_{G_i}(x,y) \cup M$  is an x-y proper edge-cut in G. If  $x \in G_i$  and  $y \in G_j$  where  $i \ne j$ , then M is an x-y proper edge-cut in G. Hence,  $pd(G) \le \max\{pd(G_i)|1 \le i \le t\} + 1$ .

**Theorem 3.14** For integers k and n with  $1 \le k \le \lceil \frac{n}{2} \rceil$ , the minimum size of a

connected graph G of order n with pd(G) = k is

$$|E(G)|_{min} = \begin{cases} n-1, & \text{if } k = 1, \\ n+2k-4, & \text{if } k \ge 2. \end{cases}$$

Proof. Since G is a connected graph,  $|E(G)|_{min} = n-1$  for k=1 by Proposition 2.2. For  $k \geq 2$ , we first show that if the size of a connected graph G of order n is at most n+2k-5, then  $pd(G) \leq k-1$ . We proceed by induction on k. The result holds for k=2 by Proposition 2.2. Suppose that G is a graph with  $|E(G)| \leq n+2k-5$ . If G is a graph with at most one block which is a cycle and other blocks are trivial, the result is true for G by Proposition 2.3 and Lemma 2.5. Otherwise, we claim that there exist two matching edges, say  $e_1$ ,  $e_2$ , of G such that  $G - \{e_1, e_2\}$  is connected graph of order n. Now, there are two cases as follows:

- (i) G has exactly one nontrivial block which is not a cycle, and the other blocks are trivial;
  - (ii) G has at least two nontrivial blocks.

For (i), let B be a nontrivial block which is not a cycle. Then B contains two vertices x and y such that they are connected by at least three internally disjoint x-y paths. We can respectively pick one edge from two x-y paths as matching edges. For (ii), we can respectively pick one edge from two nontrivial blocks as matching edges. The edges from (i) and (ii) can insure that  $H = G - \{e_1, e_2\}$  is a connected graph of order n. Since  $|E(H)| \le n + 2(k-1) - 5$ , we have  $pd(H) \le k - 2$  by induction hypothesis. Then  $pd(G) = pd(H + \{e_1, e_2\}) \le pd(H) + 1 \le k - 1$  by Lemma 3.13. Hence, we obtain that if pd(G) = k, then  $|E(G)| \ge n + 2k - 4$ .

Next we show that for each pair integers k and n with  $2 \le k \le \lceil \frac{n}{2} \rceil$ , there is a connected graph G of order n and size n+2k-4 such that pd(G)=k. Let  $H=K_{2,2k-3}$  with partite vertex sets  $A=\{a_1,a_2\}$  and  $B=\{b_1,b_2,\ldots,b_{2k-3}\}$ . Let G be the graph of order n and size n+2k-4 obtained from H by adding an edge  $a_1a_2$  and adding n-2k+1 pendent edges to a vertex of H. Now, define a coloring  $c \to [k]$  for graph  $H+a_1a_2$ . Let  $c_H$  be a pd-coloring using colors [k-1] by Theorem 3.5 and  $c(a_1a_2)=k$ . We can verify that the coloring c is a pd-coloring using colors [k], so  $pd(H+a_1a_2) \le k$ . Moreover,  $pd(H+a_1a_2) \ge k$  by Lemma 2.6. Thus, We obtain that  $pd(H+a_1a_2)=k$ . Furthermore, since  $H+a_1a_2$  is a block of G, we get  $pd(G)=pd(H+a_1a_2)=k$  by Lemma 2.5.

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