

# Two-tough graphs and $f$ -factors with given properties \*

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## Abstract

Let  $G$  be a 2-tough graph on at least five vertices and let  $e_1, e_2$  be any two edges of  $G$ . Katerinis and Wang [6] showed that there exists a 2-factor in  $G$  including/excluding  $e_1$  and  $e_2$ . In this paper, we generalize their result by considering the existence of an  $f$ -factor including/excluding  $e_1$  and  $e_2$ , where  $f : V(G) \rightarrow \{1, 2\}$ .

**Keywords:**  $f$ -factor, inclusion/exclusion property, 2-toughness.

## 1 Introduction

All graphs considered are simple and finite. We refer the reader to [1] for terminology and notations not defined here.

Let  $G$  be a graph. The degree of a vertex  $v$  in  $G$  is denoted by  $\deg_G(v)$ . For any disjoint subsets  $X, Y \subseteq V(G)$ ,  $E_G(X, Y)$  denotes the set of edges with one end in  $X$  and the other in  $Y$ . Set  $e_G(X, Y) = |E_G(X, Y)|$ .

For  $X \subseteq V(G)$ , the *neighbor set* of  $X$  in  $G$  is defined to be the set of all vertices adjacent to vertices in  $X$ ; this set is denoted by  $N_G(X)$ . The *subgraph induced* by  $X$ , denoted by  $G[X]$ , has vertex set  $X$  and edge set  $\{uv \in E(G) : u, v \in X\}$ .

A *cut* or *vertex cut* of a connected graph  $G$  is a set of vertices whose removal renders  $G$  disconnected. A  *$k$ -vertex cut* is a vertex cut with  $k$  elements. The *connectivity* of  $G$ ,  $\kappa(G)$ , is the minimum  $k$  for which  $G$  has a  $k$ -vertex cut. Similarly, an edge cut and edge-connectivity of  $G$  (i.e.,  $\kappa'(G)$ ) are defined.

For an integer-valued function  $f$  defined on a finite set  $X$ , we put

$$f(X) = \sum_{x \in X} f(x), \quad f(\emptyset) = 0.$$

Let  $f$  be an integer-valued function defined on the vertex set of a graph  $G$ . Then  $G$  has an  *$f$ -factor* if there exists a spanning subgraph  $F$  of  $G$  such that  $\deg_F(v) = f(v)$  for every vertex  $v \in V(G)$ . In particular, if  $f(v) = k$  for all  $v \in V(G)$ , the spanning subgraph  $F$  is called a  *$k$ -factor*.

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If  $G$  is not complete, the *toughness*  $t(G)$  is defined as

$$t(G) = \min_S \left\{ \frac{|S|}{c(G-S)} \right\},$$

where the minimum is taken over all vertex cut  $S$  of  $G$ , and  $c(G-S)$  denotes the number of components in  $G-S$ . For complete graph  $K_n$ , we set  $t(K_n) = \infty$ . A graph  $G$  is *k-tough* if  $t(G) \geq k$ .

Chvátal introduced the concept of toughness in [3], and mainly studied the relations between toughness and the existence of Hamiltonian cycles and  $k$ -factors. He conjectured that every  $k$ -tough graph  $G$  ( $k \in \mathbb{Z}^+$ ) has a  $k$ -factor if  $k|V(G)|$  is even. Enomoto, Jackson, Katerinis and Saito [4] confirmed Chvátal's conjecture and also proved that their result is sharp. Chen [2] showed a stronger result: for any  $k$ -tough graph  $G$  and for every edge  $e$  of  $G$ , the graph  $G$  contains a  $k$ -factor  $F_1$  containing  $e$  and another  $k$ -factor  $F_2$  excluding  $e$ . Katerinis and Wang [6] obtained the following result.

**Theorem 1.1** (Katerinis and Wang, [6]). Let  $G$  be a 2-tough graph with at least five vertices, and let  $e_1, e_2$  be a pair of arbitrarily given edges of  $G$ . Then

- (a) there exists a 2-factor in  $G$  including  $e_1, e_2$ ;
- (b) there exists a 2-factor in  $G$  excluding  $e_1, e_2$ ;
- (c) there exists a 2-factor in  $G$  including  $e_1$  and excluding  $e_2$ .

Katerinis (1990) also proved a result related to the existence of  $f$ -factor in 2-tough graphs.

**Theorem 1.2** (Katerinis, [5]). Let  $G$  be a 2-tough graph and  $f : V(G) \rightarrow \{1, 2\}$  be a function such that  $f(V(G))$  is even. Then  $G$  has an  $f$ -factor.

Motivated by above theorems, we consider 2-tough graphs and  $f$ -factors with inclusion and/or exclusion properties.

## 2 Preliminary Results

The following result shows the relation between toughness, connectivity and minimum degree.

**Proposition 2.1** (Chvátal, [3]). For any non-complete graph  $G$ ,

$$t(G) \leq \frac{\kappa(G)}{2} \leq \frac{\delta(G)}{2}.$$

A necessary and sufficient condition for a graph  $G$  to have an  $f$ -factor was obtained by Tutte [7] in 1952.

**Theorem 2.1** (Tutte's  $f$ -factor Theorem). Let  $G$  be a graph and  $f : V(G) \rightarrow \mathbb{Z}^+$ , where  $\mathbb{Z}^+$  is the set of non-negative integers. Then  $G$  has an  $f$ -factor if and only if

$$q_G(S, T; f) + \sum_{x \in T} (f(x) - \deg_{G-S}(x)) \leq f(S) \quad (2.1)$$

for all disjoint subsets  $S, T \subseteq V(G)$ , where  $q_G(S, T; f)$  denotes the number of components  $C$  of  $G-(S \cup T)$  such that  $e_G(V(C), T) + f(V(C))$  is odd. (Hereafter, we refer to these components as *odd* components.) Moreover,

$$q_G(S, T; f) + \sum_{x \in T} (f(x) - \deg_{G-S}(x)) - f(S) \equiv f(V(G)) \pmod{2}. \quad (2.2)$$

The following lemmas play important roles in the proofs of the main theorems.

**Lemma 2.1.** Let  $G$  be a graph,  $e = ab$  be an edge of  $G$  and let  $G'$  be the graph obtained from  $G$  by inserting a new vertex  $u$  on the edge  $e$ .

For a given function  $f : V(G) \rightarrow \{1, 2\}$ , define a function  $f' : V(G') \rightarrow \{1, 2\}$  as follows:

$$f'(v) = \begin{cases} 2, & \text{if } v = u; \\ f(v), & \text{otherwise.} \end{cases}$$

Then, for any pair of disjoint subsets  $S', T' \subseteq V(G')$ ,

$$q_{G'}(S', T'; f') + \sum_{x \in T'} (f'(x) - \deg_{G'-S'}(x)) = q_G(S, T; f) + \sum_{x \in T} (f(x) - \deg_{G-S}(x)) + 2\varepsilon \quad (2.3)$$

where  $S = S' - \{u\}$ ,  $T = T' - \{u\}$  and  $\varepsilon = 0, 1$ . Moreover, if  $u \notin S'$ , then  $\varepsilon = 1$  if and only if

- (I)  $e \in E(G[S])$  and  $u \in T'$ ; or
- (II)  $e \in E_G(V(C'), S)$  and  $u \in T'$ , where  $C'$  is an odd component of  $G' - (S' \cup T')$  and  $V(C')$  induces an even component of  $G - (S \cup T)$ ; or
- (III)  $a \in C'_1, b \in C'_2$  and  $u \in T'$ , where  $C'_1, C'_2$  are two odd components of  $G' - (S' \cup T')$  and  $V(C'_1 \cup C'_2)$  induces an even component of  $G - (S \cup T)$ .

**Proof.** Since  $\deg_{G'}(u) = 2 = f'(u)$  and  $\deg_{G'}(x) = \deg_G(x)$  for any vertex  $x \in V(G') - \{u\}$ ,  $f(V(G')) \equiv f(V(G)) \pmod{2}$ . It follows from (2.2) that to prove (2.3), it is enough to prove  $\varepsilon = 0, 1$ . Note that

$$\begin{aligned} & q_{G'}(S', T'; f') + \sum_{x \in T'} (f'(x) - \deg_{G'-S'}(x)) \\ &= q_{G'}(S', T'; f') + \sum_{x \in T'} (f'(x) - \deg_{G'}(x)) + e_{G'}(S', T') \\ &= q_{G'}(S', T'; f') + \sum_{x \in T} (f(x) - \deg_G(x)) + e_{G'}(S', T'). \end{aligned}$$

In fact, the graph  $G'$  can be viewed as a graph obtained from  $G$  by deleting the edge  $ab$  and adding two adjacent edges  $ua, ub$ . Then

$$-1 \leq e_{G'}(S', T') - e_G(S, T) \leq 2.$$

There are four cases to consider.

*Case 1.*  $e_{G'}(S', T') = e_G(S, T) + 2$ . Then  $\{ua, ub\} \subseteq E_{G'}(S', T')$ . So  $q_{G'}(S', T'; f') = q_G(S, T; f)$  and  $\varepsilon = 1$ . If  $u \notin S'$ , then  $e \in E(G[S])$  (see Fig. 1).

*Case 2.*  $e_{G'}(S', T') = e_G(S, T) + 1$ . Then exactly one of  $\{ua, ub\}$  is in  $E_{G'}(S', T')$ , and hence one vertex of  $\{a, b\}$ , say  $b$ , is in a component of  $G' - (S' \cup T')$ . So  $q_{G'}(S', T'; f') = q_G(S, T; f) - 1$  or  $q_{G'}(S', T'; f') = q_G(S, T; f) + 1$ , and then  $\varepsilon = 0$  or  $1$ .

If  $u \notin S'$ ,  $\varepsilon = 1$  if and only if the component  $C'$  containing  $b$  is an odd component of  $G' - (S' \cup T')$  (see Fig. 2). Note that in this case,  $V(C')$  induces an even component of  $G - (S \cup T)$ .

*Case 3.*  $e_{G'}(S', T') = e_G(S, T)$ . Then  $\varepsilon = 0, 1$ , as  $0 \leq q_{G'}(S', T'; f') - q_G(S, T; f) \leq 2$ . If  $u \notin S'$ ,  $\varepsilon = 1$  if and only if  $a$  and  $b$  are in two distinct odd components  $C'_1, C'_2$  of  $G' - (S' \cup T')$  (see Fig. 3). Now  $V(C'_1 \cup C'_2)$  induces an even component of  $G - (S \cup T)$ .

*Case 4.*  $e_{G'}(S', T') = e_G(S, T) - 1$ . Then the edge  $ab \in E_G(S, T)$  and vertex  $u \notin S' \cup T'$ . Since  $u$  has only two neighbors  $a$  and  $b$ , vertex  $u$  induced a component of  $G' - (S' \cup T')$ . Moreover, vertex  $u$  induced an odd component of  $G' - (S' \cup T')$ . Then  $q_{G'}(S', T'; f') = q_G(S, T; f) + 1$ , and hence  $\varepsilon = 0$ .  $\square$

**Lemma 2.2.** Let  $G$  be a graph,  $e = ab$  be an edge of  $G$  and  $G' = G - \{e\}$ . Given a function  $f : V(G) \rightarrow \{1, 2\}$ , then, for any pair of disjoint subsets  $S, T \subseteq V(G)$ ,

$$q_{G'}(S, T; f) + \sum_{x \in T} (f(x) - \deg_{G'-S}(x)) = q_G(S, T; f) + \sum_{x \in T} (f(x) - \deg_{G-S}(x)) + 2\varepsilon \quad (2.4)$$

where  $\varepsilon = 0, 1$ . Moreover,  $\varepsilon = 1$  if and only if

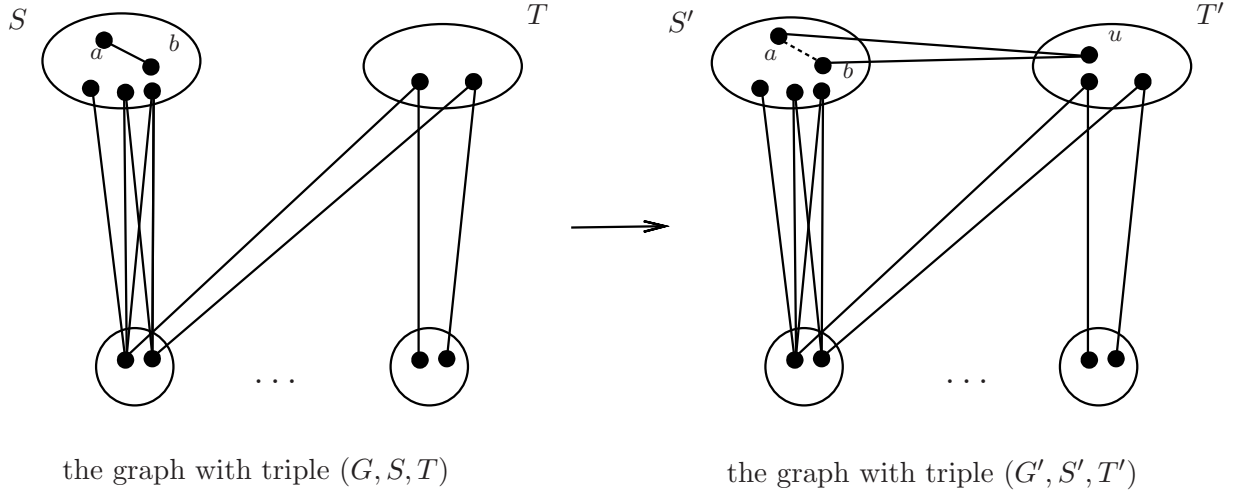


Fig. 1: Location of  $e$  in  $G$  and that of  $a, b, u$  in  $(G', S', T')$  as in type (I)

(IV)  $e \in E(G[T])$ ; or

(V)  $e \in E_G(V(C'), T)$ , where  $C'$  is an odd component of  $G' - (S \cup T)$  and  $V(C')$  induces an even component of  $G - (S \cup T)$ ; or

(VI)  $e \in E_G(V(C'_1), V(C'_2))$ , where  $C'_1, C'_2$  are two odd components of  $G' - (S \cup T)$  and  $V(C'_1 \cup C'_2)$  induces an even component of  $G - (S \cup T)$ .

**Proof.** Since  $G' = G - \{e\}$ ,  $\deg_{G'}(a) = \deg_G(a) - 1$  and  $\deg_{G'}(b) = \deg_G(b) - 1$ . Hence we have

$$q_{G'}(S, T; f) + \sum_{x \in T} (f(x) - \deg_{G'-S}(x)) = q_G(S, T; f) - \sum_{x \in T} \deg_{G'-S}(x) + f(T).$$

According to the locations of  $a, b$  and  $T$ , there are three cases to consider.

*Case 1.*  $a, b \in T$ . Then  $q_{G'}(S, T; f) = q_G(S, T; f)$  and  $\sum_{x \in T} \deg_{G'-S}(x) = \sum_{x \in T} \deg_{G-S}(x) - 2$ . Thus,  $\varepsilon = 1$ .

*Case 2.* Exactly one of  $\{a, b\}$ , say  $a$ , is in  $T$ . If  $b \in S$ , then  $\sum_{x \in T} \deg_{G'-S}(x) = \sum_{x \in T} \deg_{G-S}(x)$  and  $q_G(S, T; 2) = q_{G'}(S, T; 2)$ ; if  $b \notin S \cup T$ , then  $\sum_{x \in T} \deg_{G'-S}(x) = \sum_{x \in T} \deg_{G-S}(x) - 1$  and  $q_{G'}(S, T; f) - q_G(S, T; f) = -1$  or  $1$ . Then  $\varepsilon = 0, 1$ . Moreover,  $\varepsilon = 1$  if and only if  $q_{G'}(S, T; f) = q_G(S, T; f) + 1$ , i.e.,  $b \in V(C')$ , where  $C'$  is an odd component of  $G' - (S \cup T)$ . Clearly,  $V(C')$  induces an even component of  $G - (S \cup T)$ .

*Case 3.*  $\{a, b\} \cap T = \emptyset$ . Then  $\sum_{x \in T} \deg_{G'-S}(x) = \sum_{x \in T} \deg_{G-S}(x)$  and  $q_{G'}(S, T; f) - q_G(S, T; f) = 0$  or  $2$ ; thus  $\varepsilon = 0, 1$ . Moreover,  $\varepsilon = 1$  if and only if  $e \in E_G(V(C'_1), V(C'_2))$ , where  $C'_1, C'_2$  are two odd components of  $G' - (S \cup T)$ , and  $V(C'_1 \cup C'_2)$  induces an even component of  $G - (S \cup T)$ .  $\square$

**Lemma 2.3.** Let  $G$  be a graph, and  $f$  a function from  $V(G)$  to  $\{1, 2\}$  with  $f(V(G)) \equiv 0 \pmod{2}$ . Suppose that there exists a pair of disjoint subsets  $S$  and  $T$  of  $V(G)$  such that

$$q_G(S, T; f) + \sum_{x \in T} (f(x) - \deg_{G-S}(x)) - f(S) \geq 2. \quad (2.5)$$

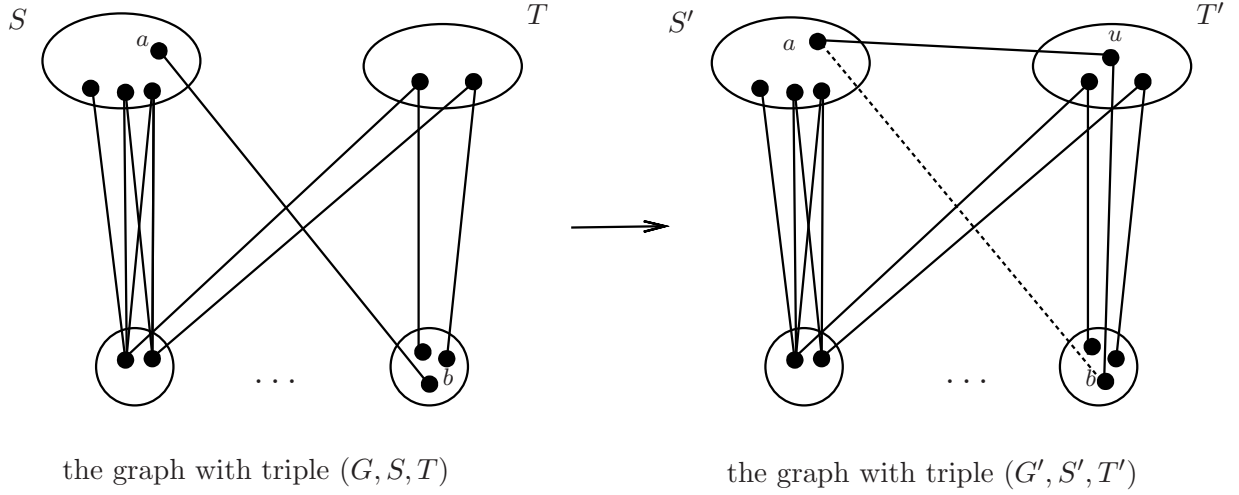


Fig. 2: Location of  $e$  in  $G$  and that of  $a, b, u$  in  $(G', S', T')$  as in type (II)

Then

- (a) if  $S$  is minimal with respect to (2.5), then for any vertex  $u \in S$ ,  $\deg_G(u) \geq f(u) + 2 \geq 3$ ;
- (b) if  $T$  is minimal with respect to (2.5), then  $T$  is an independent set in  $G$ . Moreover, for any vertex  $v \in T$ ,  $f(v) = 2$ , and  $e_G(\{v\}, V(C)) \neq 0$  implies that  $C$  is an odd component of  $G - (S \cup T)$  with  $e_G(\{v\}, V(C)) = 1$ .

**Proof.** Since  $f(V(G)) \equiv 0 \pmod{2}$ , it follows from (2.2) that

$$q_G(S, T; f) + \sum_{x \in T} (f(x) - \deg_{G-S}(x)) - f(S) \equiv 0 \pmod{2}.$$

- (a) Since  $S$  is minimal with respect to (2.5), for any vertex  $u \in S$ , we have

$$q_G(S - \{u\}, T; f) + \sum_{x \in T} (f(x) - \deg_{G-(S-\{u\})}(x)) - f(S - \{u\}) \leq 0. \quad (2.6)$$

Combining (2.5) and (2.6), we have  $q_G(S, T; f) - q_G(S - \{u\}, T; f) + |N_G(u) \cap T| - f(u) \geq 2$ . Hence  $\deg_G(u) \geq \deg_{G-(S \cup T)}(u) + |N_G(u) \cap T| \geq q_G(S, T; f) - q_G(S - \{u\}, T; f) + |N_G(u) \cap T| \geq f(u) + 2 \geq 3$ .

- (b) Since  $T$  is minimal with respect to (2.5), for any vertex  $v \in T$ , we have

$$q_G(S, T - \{v\}; f) + \sum_{x \in T - \{v\}} (f(x) - \deg_{G-S}(x)) - f(S) \leq 0. \quad (2.7)$$

Combining (2.5) and (2.7), we have  $q_G(S, T; f) - q_G(S, T - \{v\}; f) + (f(v) - \deg_{G-S}(v)) \geq 2$ . Thus

$$\deg_{G-S}(v) \leq q_G(S, T; f) - q_G(S, T - \{v\}; f) + f(v) - 2 \quad (2.8)$$

$$\leq \deg_{G-(S \cup T)}(v). \quad (2.9)$$

Therefore,  $\deg_{G-S}(v) = \deg_{G-(S \cup T)}(v)$ , that is,  $|N_G(v) \cap T| = 0$ . Since  $v$  is an arbitrary vertex in  $T$ ,  $T$  is an independent set of  $G$ . Moreover, the inequalities in (2.5), (2.7), (2.8) and (2.9) become equalities and thus  $\deg_{G-S}(v) = \deg_{G-(S \cup T)}(v)$  implies that  $f(v) = 2$  and  $q_G(S, T; f) - q_G(S, T - \{v\}; f) = \deg_{G-(S \cup T)}(v)$ . Therefore, if  $v$  is adjacent to a component  $C$ , then  $C$  is an odd component of  $G - (S \cup T)$  and  $|N_G(v) \cap V(C)| = 1$ .  $\square$

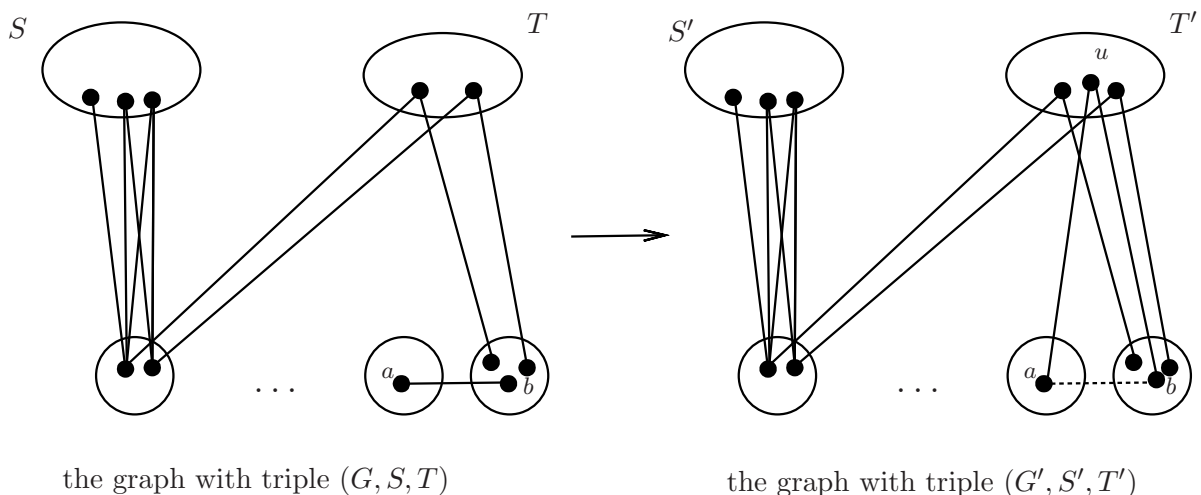


Fig. 3: Location of  $e$  in  $G$  and that of  $a, b, u$  in  $(G', S', T')$  as in type (III)

### 3 Main Results

Suppose  $G$  is a non-complete 2-tough graph and  $e_1 = a_1b_1, e_2 = a_2b_2$  are two edges of  $G$ . The graph  $G_1$  is obtained from  $G$  by either subdivision (i.e., inserting a new vertex  $u_1$  on the edge  $e_1$ ) or deletion of  $e_1$ , and  $G_2$  is obtained from  $G_1$  by either subdivision (i.e., inserting a new vertex  $u_2$  on the edge  $e_2$ ) or deletion of  $e_2$ . Consider a function  $f : V(G) \rightarrow \{1, 2\}$  with  $f(V(G)) \equiv 0 \pmod{2}$ . If the operation on  $e_i$  is deletion, at least one of  $f(a_i), f(b_i)$  is equal to 1. Let  $f_1, f_2$  be two functions defined on  $V(G_1), V(G_2)$ , respectively, with  $f_1(v) = f_2(v) = f(v)$  for  $v \in V(G)$ ,  $f_1(u_1) = 2$  if the operation on  $e_1$  is subdivision,  $f_2(u_i) = 2$  if the operation on  $e_i$  is subdivision for  $i = 1, 2$ . Let  $\varepsilon_1, \varepsilon_2$  be two binary variables, corresponding to  $\varepsilon$  in (2.3) or (2.4) when conducting operations on  $e_1$  and  $e_2$ , respectively.

In this paper, we consider the existence of  $f$ -factor including/excluding the edges  $e_1$  and  $e_2$ . In the proofs of the main theorems, there are several similar arguments in the proofs and so we state the common technique as a lemma below. For convenience, in the following lemma, when  $u_i$  ( $i = 1, 2$ ) is mentioned, it means that the operation on  $e_i$  is a subdivision.

**Lemma 3.1.** Let  $G, G_1, G_2, f, f_1, f_2$  be defined as above. Suppose that  $G_2$  contains no  $f_2$ -factor. Then

- (1) there exists disjoint subsets  $S, T \subseteq V(G)$ ,  $S_1, T_1 \subseteq V(G_1)$ ,  $S_2, T_2 \subseteq V(G_2)$  with  $S = S_1 = S_2, T = T_1 - \{u_1\}, T_1 = T_2 - \{u_2\}$  satisfying

$$2 - 2(\varepsilon_1 + \varepsilon_2) \leq q_G(S, T; f) + r - 2c(G - (S \cup T)) + |S| - f(S), \quad (3.10)$$

where  $r$  is the number of components of  $G - (S \cup T)$  which are joined to  $T$ ,  $\varepsilon_1 + \varepsilon_2 \geq 1$  and  $T$  is independent with  $f(x) = 2$  for all  $x \in T$ ;

- (2) if  $c(G - (S \cup T)) = r$  and the inequality (3.10) becomes an equality, then for any component  $C_i$  of  $G - (S \cup T)$ ,  $C_i$  containing a cut edge  $e$  implies  $|V(C_i)| = 2$ .

**Proof.** Since  $G_2$  has no  $f_2$ -factors, by Tutte's  $f$ -Factor Theorem, there exists a pair of disjoint subsets  $S_2, T_2$  of  $V(G_2)$  such that

$$q_{G_2}(S_2, T_2; f_2) + \sum_{x \in T_2} (f_2(x) - \deg_{G_2 - S_2}(x)) - \sum_{x \in S_2} f_2(x) \geq 2. \quad (3.11)$$

Furthermore, assume that  $S_2$  and  $T_2$  are minimal with respect to (3.11), respectively.

By Lemma 2.3,  $T_2$  is independent and  $u_i \notin S_2$  as  $\deg_{G_2}(u_i) = 2$ . Let  $S = S_1 = S_2$ ,  $T_1 = T_2 - \{u_2\}$  and  $T = T_1 - \{u_1\}$ . For every vertex  $x \in T$ , as  $x \in T_2$ ,  $f(x) = f_2(x) = 2$  by Lemma 2.3 again.

*Claim 1.*  $T$  is an independent set in  $G$ .

Note that  $T_2$  is independent, so we only need to consider whether  $e_i$  lies in  $G[T]$  ( $i = 1, 2$ ). If the operation on  $e_i$  is deletion, then there are at least one of  $f(a_i)$  and  $f(b_i)$ , say  $f(a_i)$ , to be 1. Thus  $f_2(a_i) = f(a_i) = 1$ . By Lemma 2.3,  $a_i \notin T_2$ . Then  $a_i \notin T$  and hence  $e_i \notin G[T]$ . Now, consider the case that at least one operation, say on  $e_2$ , is a subdivision. Assume  $T$  is not independent in  $G$ , and  $\{a_2, b_2\} \subseteq T$ . As  $T_2$  is independent in  $G_2$ ,  $u_2 \notin S_2 \cup T_2$  and so  $\{u_2\}$  is an even component of  $G_2 - (S_2 \cup T_2)$ , a contradiction to the fact that  $a_2$  is only adjacent to odd components of  $G_2 - (S_2 \cup T_2)$  (Lemma 2.3 (b)).

By Lemmas 2.1 and 2.2, we have

$$\begin{aligned} q_{G_1}(S_1, T_1; f_1) + \sum_{x \in T_1} (f_1(x) - \deg_{G_1 - S_1}(x)) &= q_G(S, T; f) + \sum_{x \in T} (f(x) - \deg_{G - S}(x)) + 2\varepsilon_1; \\ q_{G_2}(S_2, T_2; f_2) + \sum_{x \in T_2} (f_2(x) - \deg_{G_2 - S_2}(x)) &= q_{G_1}(S_1, T_1; f_1) + \sum_{x \in T_1} (f_1(x) - \deg_{G_1 - S_1}(x)) + 2\varepsilon_2. \end{aligned}$$

Thus

$$q_{G_2}(S_2, T_2; f_2) + \sum_{x \in T_2} (f_2(x) - \deg_{G_2 - S_2}(x)) = q_G(S, T; f) + \sum_{x \in T} (f(x) - \deg_{G - S}(x)) + 2(\varepsilon_1 + \varepsilon_2). \quad (3.12)$$

By (3.11) and (3.12), we have

$$q_G(S, T; f) + \sum_{x \in T} (f(x) - \deg_{G - S}(x)) - \sum_{x \in S} f(x) \geq 2 - 2(\varepsilon_1 + \varepsilon_2). \quad (3.13)$$

As  $G$  is 2-tough, by Theorem 1.2,  $G$  has an  $f$ -factor and so

$$q_G(S, T; f) + \sum_{x \in T} (f(x) - \deg_{G - S}(x)) - \sum_{x \in S} f(x) \leq 0.$$

Hence  $\varepsilon_1 + \varepsilon_2 \geq 1$ , i.e.,  $\varepsilon_1 + \varepsilon_2 = 1$  or  $2$ , and at least one of  $\varepsilon_1, \varepsilon_2$  equals to 1.

Let  $H = G - (S \cup T)$ . Assume  $C_1, C_2, \dots, C_l$  are the components of  $H$ . Let  $V_1 = \{v \in V(H) : |N_G(v) \cap T| = 1\}$  and  $V_2 = \{v \in V(H) : |N_G(v) \cap T| \geq 2\}$ . Suppose that  $C_1, C_2, \dots, C_l$  are the components containing a vertex in  $V_1$ . Arbitrarily choose  $x_i \in V(C_i)$  for which  $x_i \in V_1$  for  $i = 1, \dots, l$ , and set  $X = \{x_1, x_2, \dots, x_l\}$ . Let  $Y = N_G(T) \cap V(H) - X$ .

By the definitions of  $V_1$  and  $V_2$ , we have

$$|V_1| + 2|V_2| \leq e_G(T, V(H)). \quad (3.14)$$

Thus  $|V_1| + |V_2| \leq e_G(T, V(H)) - |V_2|$ , and  $|Y| = |N_G(T) \cap V(H)| - |X| = |V_1| + |V_2| - |X| \leq e_G(T, V(H)) - |V_2| - |X|$ .

Clearly,

$$|V_2| + |X| \geq r, \quad (3.15)$$

where  $r$  is the number of components of  $G - (S \cup T)$  which are joined to  $T$ . So  $|Y| \leq e_G(T, V(H)) - r$ , and then  $|S| + |Y| \leq |S| + e_G(T, V(H)) - r$ .

By the choice of  $Y$ ,  $c(G - (S \cup Y)) \geq |T| + c(G - (S \cup T)) - r$ . Assume  $|S| + |Y| < 2c(G - (S \cup Y))$ . Then  $c(G - (S \cup Y)) \leq 1$ , otherwise,  $S \cup Y$  is a vertex cut of  $G$ , contradicting the fact that  $G$  is 2-tough. Indeed,  $c(G - (S \cup Y)) = 1$ , otherwise  $|S| + |Y| < 0$ , a contradiction. Since  $|S| + |Y| < 2c(G - (S \cup Y)) = 2$ , so  $|S| + |Y| \leq 1$ ; moreover  $|S| \leq 1$  and  $|T| \leq c(G - (S \cup Y)) = 1$ . If  $|T| = 1$ , say  $T = \{x\}$ , then  $\deg_{G - S}(x) \leq |Y| + 1 \leq 2$  by the definition of  $Y$ . On the other hand, since  $G$  is non-complete and

2-tough,  $\delta(G) \geq 2t(G) \geq 4$ . Then,  $\deg_{G-S}(x) \geq 3$  as  $|S| \leq 1$ , a contradiction. Now we may assume  $T = \emptyset$ . Since at least one of  $\varepsilon_i$  ( $i = 1, 2$ ) is equal to 1,  $G_2$  is obtained by at least one operation on  $e_i$  ( $i = 1, 2$ ) whose location is of type II or type III or type VI. Thus,  $\{e_1, e_2\}$  is an edge cut in  $G - S$ . Since  $G$  is 2-tough, it is 4-connected. So  $G - S$  is 3-connected and 3-edge-connected. But now,  $(G - S) - \{e_1, e_2\}$  is disconnected, a contradiction.

So we may assume

$$|S| + |Y| \geq 2c(G - (S \cup Y)) \quad (3.16)$$

$$\geq 2|T| + 2c(G - (S \cup T)) - 2r. \quad (3.17)$$

Then  $|S| + e_G(T, V(H)) - r \geq |S| + |Y| \geq 2|T| + 2c(G - (S \cup T)) - 2r$ , i.e.,  $e_G(T, V(H)) \geq 2|T| + 2c(G - (S \cup T)) - r - |S|$ .

Therefore

$$\begin{aligned} 2 - 2(\varepsilon_1 + \varepsilon_2) &\leq q_G(S, T; f) + \sum_{x \in T} (f(x) - \deg_{G-S}(x)) - f(S) \\ &= q_G(S, T; f) + f(T) - \sum_{x \in T} \deg_{G-S-T}(x) - f(S) \\ &= q_G(S, T; f) + 2|T| - e_G(T, V(H)) - f(S) \\ &\leq q_G(S, T; f) + r - 2c(G - (S \cup T)) + |S| - f(S). \end{aligned}$$

This completes the proof of assertion (1).

Next, suppose that  $c(G - (S \cup T)) = r$  and  $2 - 2(\varepsilon_1 + \varepsilon_2) = q_G(S, T; f) + r - 2c(G - (S \cup T)) + |S| - f(S)$ . Then all inequalities above (in the proof) become equalities. So  $c(G - (S \cup Y)) = |T| + c(G - (S \cup T)) - r = |T|$ . By (3.17),  $|S| + |Y| = 2c(G - (S \cup Y)) = 2|T|$ . Moreover, the following assertions hold:

- (a) for any  $v \in V(H)$ ,  $|N_G(v) \cap T| \leq 2$  (by (3.14));
- (b) if  $C_j$  ( $1 \leq j \leq t$ ) contains a vertex in  $V_1$ , then  $C_j$  contains no vertex in  $V_2$ ; if  $C_j$  contains a vertex in  $V_2$ , then  $|V_2 \cap V(C_j)| = 1$  (by (3.15)).

Consider an arbitrary component  $C_i$  of  $G - (S \cup T)$ .

*Claim 2.* Either  $|N_G(v) \cap T| = 1$  for any  $v \in V(C_i)$  or  $|V(C_i)| = 1$ ,  $e_G(V(C_i), T) = 2$ .

As  $c(G - (S \cup T)) = r$ ,  $e_G(V(C_i), T) \neq 0$ ; that is,  $C_i$  contains at least one vertex either in  $V_1$  or  $V_2$ . If  $C_i$  contains a vertex in  $V_2$ , then  $|V(C_i)| = 1$  by (a), (b) and the fact that  $c(G - (S \cup Y)) = |T|$ . Next assume that  $C_i$  contains a vertex in  $V_1$ . According to (a), for any  $v \in V(C_i)$ ,  $|N_G(v) \cap T| \leq 1$ . Let  $W_i = \{v \in V(C_i) : |N_G(v) \cap T| = 0\}$ . If  $W_i = \emptyset$ , we are done. Otherwise, since  $c(G - (S \cup Y)) = |T|$ ,  $W_i$  is joined to  $x_i \in X \cap V(C_i)$ . Thus,  $G - (S \cup Y \cup \{x_i\})$  contains at least  $c(G - (S \cup Y)) + 1$  components. As  $|S| + |Y| = 2c(G - (S \cup Y))$ , we have  $|S| + |Y| + |\{x_i\}| = 2c(G - (S \cup Y)) + 1 < 2c(G - (S \cup Y \cup \{x_i\}))$ , contradicting the 2-toughness of  $G$ .

We continue to prove assertion (2) by contradiction. Suppose  $C_i$  contains an edge  $e = ab$  such that  $C_i - e$  is disconnected, but  $|V(C_i)| > 2$ . Then there exists a vertex  $v_0$  different from  $a, b$ . Without loss of generality, we may assume  $v_0$  is not adjacent to  $a$ , because  $e = ab$  is a cut edge of  $C_i$ . Now  $|V(C_i)| \geq 2$ , and by Claim 2,  $|N_G(v) \cap T| = 1$  for any  $v \in V(C_i)$ . Thus  $e_G(a, T) = 1$  and  $e_G(v_0, T) = 1$ . Select  $x_i = a$ . (This is possible because vertices of  $X$  are chosen arbitrarily at the beginning.) Since  $a \in X$ ,  $a \notin Y$ . Then  $c(G - ((S \cup Y) - \{v_0\})) = c(G - (S \cup Y)) = |T|$  for  $av_0 \notin E(G)$ . As  $|S| + |Y| = 2c(G - (S \cup Y))$ ,  $2c(G - ((S \cup Y) - \{v_0\})) = 2c(G - (S \cup Y)) = |S \cup Y| > |(S \cup Y) - \{v_0\}|$ , contradicting the 2-toughness of  $G$ . This completes the proof.  $\square$

Now we are ready to state and prove our main theorems. The first result shows the existence of  $f$ -factors including two edges.



**Theorem 3.1.** Let  $G$  be a 2-tough graph on at least five vertices and let  $f$  be a function with  $f : V(G) \rightarrow \{1, 2\}$  such that  $f(V(G)) \equiv 0 \pmod{2}$ . If  $e_1 = a_1b_1, e_2 = a_2b_2$  are two edges of  $G$  with  $f(a_i) = 2$  and  $f(b_i) = 2$  ( $i = 1, 2$ ), then  $G$  has an  $f$ -factor containing  $e_1$  and  $e_2$ .

**Proof.** If  $G$  is a complete graph on at least five vertices, the assertion is trivial. Now, we may assume that  $G$  is non-complete. For convenience of applying Lemma 2.1, we consider the operations on  $e_1, e_2$  consecutively.

Let  $G_1$  be the graph obtained from  $G$  by subdivision of  $e_1$  (inserting a new vertex  $u_1$  on the edge  $e_1$ ), and let  $G_2$  be the graph obtained from  $G_1$  by subdivision of  $e_2$  (inserting a new vertex  $u_2$  on the edge  $e_2$ ). Define two functions  $f_1 : V(G_1) \rightarrow \{1, 2\}, f_2 : V(G_2) \rightarrow \{1, 2\}$  as follows:

$$f_1(v) = \begin{cases} 2, & \text{if } v = u_1; \\ f(v), & \text{if } v \in V(G); \end{cases}$$

and

$$f_2(v) = \begin{cases} 2, & \text{if } v = u_2; \\ f_1(v), & \text{if } v \in V(G_1). \end{cases}$$

Then  $G$  has an  $f$ -factor containing  $e_1$  and  $e_2$  if and only if  $G_2$  contains an  $f_2$ -factor.

Suppose that  $G_2$  contains no  $f_2$ -factors. Then, by Lemma 3.1, there exist  $S, T \subseteq V(G), S_i, T_i \subseteq V(G_i)$  ( $i = 1, 2$ ) satisfying  $S = S_1 = S_2, T = T_1 - \{u_1\}, T_1 = T_2 - \{u_2\}$  and

$$2 - 2(\varepsilon_1 + \varepsilon_2) \leq q_G(S, T; f) + r - 2c(G - (S \cup T)) + |S| - f(S), \quad (3.18)$$

where  $r$  is the number of components of  $G - (S \cup T)$  which are joined to  $T$ ,  $\varepsilon_1 + \varepsilon_2 \geq 1$  and  $T$  is an independent set of  $G$  with  $f(x) = 2$  for all  $x \in T$ .

If  $\varepsilon_1 + \varepsilon_2 = 1$ , i.e., either  $\varepsilon_1 = 1, \varepsilon_2 = 0$  or  $\varepsilon_1 = 0, \varepsilon_2 = 1$ , then by Lemma 2.1, there exists exactly one edge  $e_i$  ( $i = 1$  or  $2$ ) whose location together with  $u_i$  in  $(G_i, S_i, T_i)$  is of type I, or II, or III. Since  $q_G(S, T; f) + r - 2c(G - (S \cup T)) + |S| - f(S) \leq 0$ , the inequality in (3.18) becomes an equality, and then  $f(S) = |S|$ , i.e.,  $f(x) = 1$  for all  $x \in S$ . Now  $\{a_1, a_2, b_1, b_2\} \cap S = \emptyset$ . So, the location cannot be of type I or II and it must be of type III. As  $q_G(S, T; f) = c(G - (S \cup T)) = r$ ,  $G - (S \cup T)$  has no even components. But a location of type III requires an even component, so the operation on the edge which is located as in type III is conducted in step two. That is, the locations of  $a_2, b_2, u_2$  in  $(G_2, S_2, T_2)$  are of type III. The first step (i.e., subdivision of  $e_1$ ) produces an even component required in step two. As  $a_1, b_1 \notin S$ , we deduce that  $u_1 \in T_1$  and  $e_1 \in E_G(V(C_{\text{odd}}), V(C_{\text{even}}))$ , where  $C_{\text{odd}}$  is an odd component of  $G_1 - (S_1 \cup T_1)$  and  $C_{\text{even}}$  is an even component of  $G_1 - (S_1 \cup T_1)$ . Moreover,  $C_{\text{even}}$  is the very component to which  $e_2$  belongs. That is,  $e_2 \in E(C_{\text{even}})$ .

Since  $e_1 \in E_G(V(C_{\text{odd}}), V(C_{\text{even}}))$ ,  $C'_0 = C_{\text{odd}} \cup C_{\text{even}} \cup \{e_1\}$  corresponds to an odd component of  $G - (S \cup T)$  and  $e_1$  is a cut edge of  $C'_0$ . As  $e_1, e_2 \in E(C'_0)$ , so  $|V(C'_0)| > 2$ , a contradiction to Lemma 3.1 (2).

If  $\varepsilon_1 + \varepsilon_2 = 2$ , then  $a_i, b_i, u_i$  in  $(G_i, S_i, T_i)$  ( $i = 1, 2$ ) are located as in type I, or II, or III. Note that the operation on an edge  $e = ab$  (i.e., subdividing  $e = ab$  by a vertex  $u$ ) does not produce an even component in the new graph when vertices  $a, b, u$  are located as in type I, or II, or III; and the original graph contains at least one even component when  $a, b, u$  are located as in type II or III.

(1) If both locations are of type I, then  $\{a_1, a_2, b_1, b_2\} \subseteq S$ . Since  $f(a_i) = f(b_i) = 2$  for  $i = 1, 2$ , so we have

$$q_G(S, T; f) + r - 2c(G - (S \cup T)) + |S| - \sum_{x \in S} f(x) \leq -3,$$

a contradiction to (3.18).

(2) If two locations are of types I and II (or types I and III), respectively, then there exists exactly one edge  $e_i$  ( $i = 1$  or  $2$ ) lying in  $G[S]$  and thus  $|S| - f(S) \leq -2$ . Assume  $e_1 \in E(G[S])$ , then

$c(G - (S \cup T)) = c(G_1 - (S_1 \cup T_1))$  and  $q_G(S, T; f) = q_{G_1}(S_1, T_1; f_1)$ . Since the location of type II (or type III) requires an even component in  $G_1 - (S_1 \cup T_1)$ , we have  $q_G(S, T; f) - c(G - (S \cup T)) = q_{G_1}(S_1, T_1; f_1) - c(G_1 - (S_1 \cup T_1)) \leq -1$ . Therefore,

$$q_G(S, T; f) + r - 2c(G - (S \cup T)) + |S| - \sum_{x \in S} f(x) \leq -1 - 2 = -3,$$

a contradiction to (3.18).

The case of  $e_2 \in E(G[S])$  can be discussed similarly.

(3) If both locations are of type II, then  $G - (S \cup T)$  has at least two even components and  $\{a_1, a_2, b_1, b_2\} \cap S \neq \emptyset$ . Therefore,

$$q_G(S, T; f) + r - 2c(G - (S \cup T)) + |S| - \sum_{x \in S} f(x) \leq -2 - 1 = -3,$$

a contradiction to (3.18).

(4) If two locations are of types II and III, respectively, then  $G - (S \cup T)$  has at least two even components and  $\{a_1, a_2, b_1, b_2\} \cap S \neq \emptyset$ . We obtain a contradiction similarly as in (3).

(5) If both locations are of type III, note that each of the two operations requires an even component as type III requires, so  $G - (S \cup T)$  contains at least two even components and thus  $q_G(S, T; f) - c(G - (S \cup T)) \leq -2$ . If  $q_G(S, T; f) - c(G - (S \cup T)) < -2$  or  $r < c(G - (S \cup T))$ , then

$$q_G(S, T; f) + r - 2c(G - (S \cup T)) + |S| - \sum_{x \in S} f(x) \leq -3,$$

a contradiction to (3.18). Finally, we may assume  $q_G(S, T; f) + 2 = c(G - (S \cup T)) = r$ . Again, the inequality (3.18) becomes an equality and  $f(x) = 1$  for any  $x \in S$ . Suppose  $e_1 \in E(C'_0)$ . Since the locations of  $a_1, b_1, u_1$  in  $(G_1, S_1, T_1)$  are of type III,  $e_1 = a_1 b_1$  is a cut edge of  $C'_0$ , where  $C'_0$  is an even component of  $G - (S \cup T)$  and  $V(C'_0)$  induces two odd components in  $G_1 - (S_1 \cup T_1)$ . Since  $f(a_1) = f(b_1) = 2$ , there exists a vertex  $v \in V(C'_0)$  distinct from  $a_1$  and  $b_1$ , or  $|V(C'_0)| \geq 3$ . But by Lemma 3.1 (2),  $|V(C'_0)| = 2$ , a contradiction.  $\square$

The next theorem shows the existence of  $f$ -factors excluding two edges under the condition of 2-toughness.

**Theorem 3.2.** Let  $G$  be a 2-tough graph on at least five vertices, and  $f$  a function with  $f : V(G) \rightarrow \{1, 2\}$  and  $f(V(G)) \equiv 0 \pmod{2}$ . If  $e = a_1 b_1, e = a_2 b_2$  are two distinct edges of  $G$  with  $f(a_i) = f(b_i) = 1$  ( $i = 1, 2$ ) or  $f(a_1) = f(a_2) = 1, f(b_i) \in \{1, 2\}$  and  $f(b_1) \neq f(b_2)$ , then  $G$  contains an  $f$ -factor excluding  $e_1$  and  $e_2$ .

**Proof.** Similarly, we only need to consider the case that  $G$  is non-complete. For convenience of applying Lemma 2.2, we consider the operations on  $e_1, e_2$  consecutively.

Let  $G_1 = G - \{e_1\}$  and  $G_2 = G_1 - \{e_2\}$ . Suppose that  $G_2$  contains no  $f$ -factors. Since  $f(a_i) = 1$  ( $i = 1, 2$ ), then by Lemma 3.1, there exist  $S, T \subseteq V(G)$  and  $S_i, T_i \subseteq V(G_i)$  ( $i = 1, 2$ ) such that  $S = S_1 = S_2, T = T_1 = T_2$  and

$$2 - 2(\varepsilon_1 + \varepsilon_2) \leq q_G(S, T; f) + r - 2c(G - (S \cup T)) + |S| - f(S), \quad (3.19)$$

where  $r$  denotes the number of components of  $G - (S \cup T)$  which are joined to  $T$ ,  $\varepsilon_1 + \varepsilon_2 \geq 1$ , and  $T$  is independent in  $G$  with  $f(x) = 2$  for all  $x \in T$ .

There are two cases to consider according to the values of  $\varepsilon_1 + \varepsilon_2$ . Note that the operation on an edge  $e = ab$  (i.e., deleting  $e$ ) does not produce an even component in the new graph when vertices  $a, b$  are located as in type IV, or V, or VI; and the original graph contains at least one even component

when  $a, b$  are located as in type V or VI.

If  $\varepsilon_1 + \varepsilon_2 = 1$ , then  $2 - 2(\varepsilon_1 + \varepsilon_2) = q_G(S, T; f) + r - 2c(G - (S \cup T)) + |S| - f(S) = 0$  and there exists exactly one edge  $e_i$  ( $i = 1$  or  $2$ ) whose location is of type V or VI. Here no edge of  $e_i$  is located as in type IV for  $f(a_1) = f(a_2) = 1$ . Since  $q_G(S, T; f) = c(G - (S \cup T)) = r$ ,  $G - (S \cup T)$  contains no even components. But type V or VI requires an even component. So the location of  $e_2$  is of type V or VI; and the first step (i.e., deletion of  $e_1$ ) produces an even component which the operation on  $e_2$  requires. It is not hard to see that either  $e_1 \in E_G(V(C_{\text{odd}}), V(C_{\text{even}}))$  or  $e_1 \in E_G(V(C_{\text{even}}), T)$ , where  $C_{\text{odd}}$  (resp.  $C_{\text{even}}$ ) is an odd (resp. even) component of  $G_1 - (S_1 \cup T_1)$ . Moreover,  $C_{\text{even}}$  is the very component that operation on  $e_2$  requires, and hence  $a_2 \in C_{\text{even}}$ .

If  $e_1 \in E_G(V(C_{\text{odd}}), V(C_{\text{even}}))$ , then  $C'_0 = C_{\text{odd}} \cup C_{\text{even}} \cup \{e_1\}$  is an odd component of  $G - (S \cup T)$  and  $e_1$  is a cut edge of  $C'_0$ . If  $e_1 \in E_G(V(C_{\text{even}}), T)$ , then  $f(b_1) = 2$  and  $C'_0 = C_{\text{even}}$  is an odd component of  $G - (S \cup T)$ . As  $f(b_2) \neq f(b_1)$ ,  $b_2 \in V(C'_0)$  and the location of  $e_2$  must be of type VI. Hence  $e_2$  is a cut edge of  $C'_0$ . In both cases,  $C'_0$  contains a cut edge and  $|V(C'_0)| \geq 3$ , a contradiction to Lemma 3.1 (2).

Next consider the case  $\varepsilon_1 + \varepsilon_2 = 2$ . If the location of  $e_i = a_i b_i$  is of type IV, then  $a_i, b_i \in T$  and thus  $f(a_i) = f(b_i) = 2$ , which is impossible. Thus the locations of both  $e_1$  and  $e_2$  are of types V or VI. Furthermore, operation on the edge which is located as in type V happens at most once, because  $f(b_1) \neq f(b_2)$ . Since both types V and VI require even components, the operations on  $e_1$  and  $e_2$  require even components. Then  $G - (S \cup T)$  has at least two even components. Thus  $q_G(S, T; f) - c(G - (S \cup T)) \leq -2$ . If  $q_G(S, T; f) - c(G - (S \cup T)) < -2$  or  $r < c(G - (S \cup T))$ , then

$$q_G(S, T; f) + r - 2c(G - (S \cup T)) + |S| - \sum_{x \in S} f(x) \leq -3,$$

a contradiction to (3.19). Suppose  $q_G(S, T; f) + 2 = c(G - S - T) = r$ . Similar to the discussion of case  $\varepsilon_1 + \varepsilon_2 = 1$  above, the inequality (3.19) becomes an equality. Without loss of generality, assume that the location of  $e_1$  is of type VI and  $f(b_1) = 1$ . Suppose  $e_1 \in E(C'_0)$ , where  $C'_0$  is an even component of  $G - (S \cup T)$ . So  $V(C'_0)$  induces two odd components of  $G_1 - (S_1 \cup T_1)$  and  $e_1$  is a cut edge of  $C'_0$ . Since  $f(a_1) = f(b_1) = 1$ , there is a vertex  $v \in V(C'_0)$  distinct from  $a_1$  and  $b_1$ , or  $|V(C'_0)| \geq 3$ , a contradiction to Lemma 3.1 (2).  $\square$

**Remark 1.** The condition that  $f(a_i) = 1$  ( $i = 1, 2$ ) and at least one of  $f(b_1)$  and  $f(b_2)$  equal to 1 in Theorem 3.2 is best possible, as there exists a class of 2-tough graphs in which after deletion of  $e_1$  and  $e_2$  the resulting graph does not have  $f$ -factors, when at least one end of  $e_i$  ( $i = 1, 2$ ) equal to 2. For example, in Fig. 4, let  $G = S \cup T \cup \{x, y\}$ , where  $T$  is an independent set,  $|S| = 2|T|$  with every vertex  $s \in S$  being adjacent to every vertex of  $V(G) - s$  and  $ax, by \in E(G)$ , and let  $f(t) = 2$  for all  $t \in T$ ,  $f(s) = 1$  for all  $s \in S$  and  $f(x) = f(y) = 1$ . Then  $G$  contains no  $f$ -factors excluding edges  $ax, by$ . For another example, in Fig. 5,  $G = S \cup T \cup \{x, y\}$ , where  $T$  is an independent set,  $|S| = 2|T| - 1$  with every vertex  $s \in S$  being adjacent to all vertices of  $G - s$  and  $ax, ay, by \in E(G)$ , and let  $f(s) = 1$  for every vertex  $s \in S$ , and for every vertex  $t \in T$ ,  $f(t) = 2$ , and  $f(x) = 1, f(y) = 2$ . Of course,  $G$  contains no  $f$ -factors excluding  $ax$  and  $by$ .

Our final result deals with the existence of  $f$ -factors including an edge and excluding another edge.

**Theorem 3.3.** Let  $G$  be a 2-tough graph on at least five vertices, and  $f$  a function with  $f : V(G) \rightarrow \{1, 2\}$  and  $f(V(G)) \equiv 0 \pmod{2}$ . If  $e = a_1 b_1, e = a_2 b_2$  are two edges of  $G$  with  $f(a_2) = f(b_2) = 2$  and at least one of  $\{f(a_1), f(b_1)\}$  is equal to 1, then  $G$  has an  $f$ -factor  $F$  excluding  $e_1$  and including  $e_2$ .

**Proof.** The assertion clearly holds for the case that  $G$  is a non-complete graph on at least five vertices. From now on, we consider the case that  $G$  is non-complete. For convenience of applying Lemmas 2.1 and 2.2, we consider the operations on  $e_1$  and  $e_2$  consecutively.

Let  $G_1$  be the graph obtained from  $G$  by deletion of  $e_1$ , and let  $G_2$  be the graph obtained from  $G_1$  by subdivision of  $e_2$  (inserting a new vertex  $u_2$  on the edge  $e_2$ ). Define a function  $f_2 : V(G_2) \rightarrow \{1, 2\}$

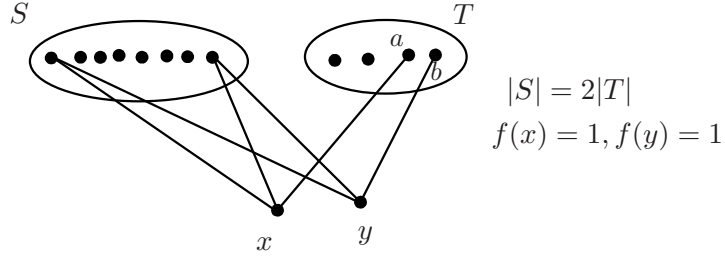


Fig. 4: A graph has no  $\{1, 2\}$ -factors excluding edges  $ax, by$

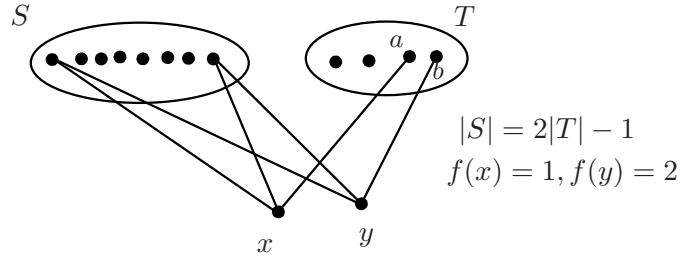


Fig. 5: A graph has no  $\{1, 2\}$ -factors excluding edges  $ax, by$

as follows:

$$f_2(v) = \begin{cases} 2, & \text{if } v = u_2; \\ f(v), & \text{if } v \in V(G_1) = V(G). \end{cases}$$

Then  $G$  has an  $f$ -factor containing  $e_2$  and excluding  $e_1$  if and only if  $G_2$  contains an  $f_2$ -factor.

Suppose that  $G_2$  contains no  $f_2$ -factors, then by Lemma 3.1, there exist  $S, T \subseteq V(G)$  and  $S_i, T_i \subseteq V(G_i)$  ( $i = 1, 2$ ) such that  $S = S_1 = S_2, T = T_1 = T_2 - \{u_2\}$  and

$$2 - 2(\varepsilon_1 + \varepsilon_2) \leq q_G(S, T; f) + r - 2c(G - (S \cup T)) + |S| - f(S), \quad (3.20)$$

where  $r$  denotes the number of components of  $G - (S \cup T)$  which are joined to  $T$ ,  $\varepsilon_1 + \varepsilon_2 \geq 1$  and  $T$  is independent in  $G$  with  $f(x) = 2$  for all  $x \in T$ .

If  $\varepsilon_1 + \varepsilon_2 = 1$ , then the inequality (3.20) becomes an equality, and either the location of  $e_1$  is of one of types IV–VI or the location of  $e_2$  is of one of types I – III. Moreover  $f(S) = |S|$ , i.e.,  $f(x) = 1$  for all  $x \in S$ . So  $\{a_2, b_2\} \cap S = \emptyset$ . Since  $T$  is independent,  $\{a_1, b_1\} \not\subseteq T$ ; so this location is not of type I, II, IV. As  $q_G(S, T; f) = c(G - (S \cup T)) = r$ ,  $G - (S \cup T)$  contains no even components. However the types V and VI require even components and both types can only occur in the step one (deletion of  $e_1$  from  $G$ ), so the location must be type III and it occurs in the step two. That is, the locations of  $a_2, b_2, u$  in  $(G_2, S_2, T_2)$  are of type III; and deletion of  $e_1$  produces an even component that type III requires. So we see that either  $e_1 \in E_G(V(C_{\text{odd}}), V(C_{\text{even}}))$  or  $e_1 \in E_G(T, V(C_{\text{even}}))$ , where  $C_{\text{odd}}$  is an odd component of  $G_1 - (S_1 \cup T_1)$ , and  $C_{\text{even}}$  is an even component of  $G_1 - (S_1 \cup T_1)$ . Moreover, in both cases,  $C_{\text{even}}$  is the very component operation on  $e_2$  requires. In other words,  $e_2 \in E(C_{\text{even}})$ .

Now  $C_{\text{even}}$  is an even component of  $G_1 - (S_1 \cup T_1)$ , and  $C_{\text{even}} - \{e_2\}$  corresponds to two odd components of  $G_2 - (S_2 \cup T_2)$ . Moreover,  $C'_0 = C_{\text{odd}} \cup C_{\text{even}} \cup \{e_1\}$  (or  $C'_0 = C_{\text{even}}$ ) is an odd component of  $G - (S \cup T)$  and  $e_2$  is a cut edge of  $C'_0$ . Since  $|N_G(a_2) \cap T| = 1$  and  $u_2 \in T_2$ , we have  $|N_{G_2}(a_2) \cap T_2| = 2$ . As  $f(a_2) = 2$ , the odd component of  $G_2 - (S_2 \cup T_2)$  that contains  $a_2$  is not a singleton. Therefore  $|V(C'_0)| \geq 3$ . But by Lemma 3.1 (2),  $|V(C'_0)| = 2$ , a contradiction.

If  $\varepsilon_1 + \varepsilon_2 = 2$ , then the location of  $e_1$  is of type V or VI, and the location of  $e_2$  is of type I or II or III. As  $T$  is independent, type IV never occur.

As argued above, the operation on an edge  $e = ab$  does not produce an even component in the new graph when the location of  $a, b$  is of one of types I–VI; and the original graph requires an even component when the locations of  $a, b$  are of type II, or III, or V, or VI.

(1) If the two locations are of types V and I respectively, then  $e_2$  lies in  $G[S]$  and thus  $|S| - f(S) \leq -2$ . Since deletion of  $e_1$  requires an even component in  $G - (S \cup T)$ ,  $q_G(S, T; f) - c(G - (S \cup T)) \leq -1$ . Therefore

$$q_G(S, T; f) + r - 2c(G - (S \cup T)) + |S| - f(S) \leq -1 - 2 = -3,$$

a contradiction to (3.20).

(2) If the two locations are of types VI and I respectively, then  $\{a_2, b_2\} \subseteq S$  and  $G - (S \cup T)$  contains at least one even component as type VI requires. Therefore,

$$q_G(S, T; f) + r - 2c(G - (S \cup T)) + |S| - \sum_{x \in S} f(x) \leq -1 - 2 = -3,$$

a contradiction to (3.20).

(3) If the two locations are of types II and V (or types II and VI) respectively, then  $|\{a_2, b_2\} \cap S| = 1$ . Since both locations of types II and V (or types II and VI) require even components but produce none, we have

$$q_G(S, T; f) + r - 2c(G - (S \cup T)) + |S| - \sum_{x \in S} f(x) \leq -2 - 1 = -3,$$

a contradiction to (3.20).

(4) If the two locations are of types V and III (or types VI and III) respectively, then  $G - (S \cup T)$  has at least two even components. Thus  $q_G(S, T; f) - c(G - (S \cup T)) \leq -2$ . If  $q_G(S, T; f) - c(G - (S \cup T)) < -2$  or  $r < c(G - (S \cup T))$ , then

$$q_G(S, T; f) + r - 2c(G - (S \cup T)) + |S| - \sum_{x \in S} f(x) \leq -3,$$

a contradiction to (3.20). Now we may assume  $q_G(S, T; f) + 2 = c(G - (S \cup T)) = r$ . As discussed in the case  $\varepsilon_1 + \varepsilon_2 = 1$ , the inequality (3.20) becomes an equality. Suppose  $e_2 \in E(C'_0)$ . Then  $e_2$  is a cut edge of  $C'_0$ . Note that deletion of  $e_1$  produces no even component because the location of  $e_1$  is of type V or type VI. It follows from the location of  $e_2$  being of type III that  $C'_0$  is an even component of  $G - (S \cup T)$  and  $V(C'_0)$  induces two odd components of  $G_2 - (S_2 \cup T_2)$ . Since  $f(a_2) = f(b_2) = 2$ , by parity argument, we see that there exists a vertex  $v \in V(C'_0)$  distinct from  $a_2$  and  $b_2$ , or  $|V(C'_0)| \geq 3$ . On the other hand,  $|V(C'_0)| = 2$  by Lemma 3.1 (2) and the fact that  $e_2$  is a cut edge of  $C'_0$ .

This completes the proof.  $\square$

**Remark 2.** The condition that at least one of  $f(a_1)$  and  $f(b_1)$  is equal to 1 in Theorem 3.3 is necessary. For instance, let  $G$  be a graph with vertex-set  $S \cup T \cup \{x, y\}$ , where  $|S| = 2|T| - 2$  and  $|T| \geq 4$ , and  $G[T]$  has only one edge  $e_1 = a_1b_1$ . Moreover, every vertex of  $S$  is adjacent to all other vertices of  $G$ , each of  $\{x, y\}$  has only one neighbor in  $T$ , and their neighbors are distinct (see Fig. 6). Select an edge  $e_2 = a_2b_2$  from  $G[S]$  and let  $f(v) = 2$  for all  $v \in T \cup \{x, y, a_2, b_2\}$ , and  $f(v) = 1$  for all  $v \in S - \{a_2, b_2\}$ . Then  $G$  contains no  $f$ -factors excluding  $e_1$  and including  $e_2$ .

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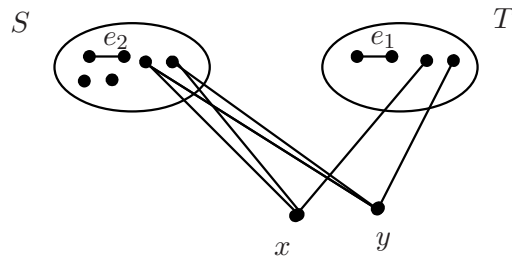


Fig. 6: A graph has no  $\{1, 2\}$ -factors including  $e_2$  and excluding  $e_1$

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