

# High-order spectral characterizations of graphs

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## Abstract

The tensor spectra of the power hypergraph of a graph  $G$  is called the high-order spectra of  $G$ . In this paper, we show that all Smith graphs are determined by their high-order spectra. We give some high-order cospectral invariants of trees and use them to show that some cospectral trees constructed by the classical Schwenk method can be distinguished by their high-order spectra.

*Keywords:* hypergraph, high-order spectra, spectral characterization, cospectral invariants.

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## 1. Introduction

If there was a “Holy Grail” in graph theory, it would be a practical test for graph isomorphism [18]. It was commonly believed that two cospectral graphs are isomorphic [13], until a pair of non-isomorphic cospectral trees was presented by Collatz and Sinogowitz in 1957 [24]. Many non-isomorphic cospectral graphs have since been found [8, 12, 20].

If all graphs who are cospectral with a graph  $G$  are isomorphic to  $G$ , the graph  $G$  is said to be *determined by the spectra* (short for *DS*). Until now, the known DS graphs are very special [9, 22, 23, 25].

There have been several variants of research on spectral characterization of graphs and researchers used many kinds of spectra to study them, such as the Laplacian spectra [17, 18], the generalized spectra [25] and the Hermitian spectra [26, 27].

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Let the  $k$ -power hypergraph  $G^{(k)}$  be the  $k$ -uniform hypergraph that is obtained by adding  $k - 2$  new vertices to each edge of  $G$  for  $k \geq 2$  [14]. The spectra of  $G^{(k)}$  is called the  $k$ -order spectra of  $G$ . Some interesting properties of the  $k$ -order spectra of  $G$  are given, for example, the  $k$ -order spectra of  $G$  is always  $k$ -symmetric [10] and the  $k$ -order eigenvalues of  $G$  can be generated from the eigenvalues of signed subgraphs of  $G$  for  $k \geq 3$  [4]. If graphs  $G_1$  and  $G_2$  have the same  $k$ -order spectra for some  $k$ ,  $G_1$  and  $G_2$  are said to be  $k$ -order cospectral. If graphs which have the same  $k$ -order spectra with the graph  $G$  are isomorphic to  $G$ , we say that  $G$  is *determined by the  $k$ -order spectra*. If  $G_1$  and  $G_2$  are  $k$ -order cospectral for all positive integers  $k \geq 2$ ,  $G_1$  and  $G_2$  are said to be *high-order cospectral*.

**Definition 1.1.** *A graph  $G$  is determined by the high-order spectra (DHS for short) if all graphs who are high-order cospectral with  $G$  are isomorphic to  $G$ .*

Notice that the graphs determined by the  $k$ -order spectra for some  $k$  are DHS and then the graphs determined by the spectra (2-order spectra) are DHS.

In 1970, Smith classified all connected graphs with spectral radii at most 2 [21], which usually are called “Smith graphs”. In 2009, Van Dam and Haemers gave all graphs which are not determined by the spectra in the Smith’s classification [23]. We show that these graphs given by Van Dam and Haemers are determined by the high-order spectra, thus all Smith graphs are determined by the high-order spectra. In 1973, Schwenk gave a useful method to construct non-isomorphic cospectral trees and proved his famous conclusion: “Almost all trees are not DS” [20]. We show that the infinitely many pairs of non-isomorphic cospectral trees constructed by Schwenk’s method have different high-order spectra. That means these trees cannot be distinguished by the spectra, but our results show that they can be distinguished by the high-order spectra.

This paper is organized as follows. In Section 2, we introduce the spectra of hypergraphs and some lemmas. In Section 3, we show that Smith graphs are determined by the high-order spectra. In Section 4, we give some high-order cospectral invariants about the number of some subtrees. Using these high-order cospectral invariants, we give infinitely many pairs of cospectral trees with different high-order spectra.

## 2. Preliminaries

In this section, we introduce the spectra of a hypergraph and present lemmas which are important to the results of this paper. For a positive integer  $n$ , let  $[n] =$

$\{1, \dots, n\}$ . A  $k$ -order  $n$ -dimension complex tensor  $T = (t_{i_1 \dots i_k})$  is a multidimensional array with  $n^k$  entries on complex number field  $\mathbb{C}$ , where  $i_j \in [n]$ ,  $j = 1, \dots, k$ . Denote the set of  $n$ -dimension complex vectors by  $\mathbb{C}^n$ . For  $x = (x_1, \dots, x_n)^T \in \mathbb{C}^n$ ,  $Tx^{k-1}$  denotes a vector in  $\mathbb{C}^n$  whose  $i$ -th component is

$$(Tx^{k-1})_i = \sum_{i_2, \dots, i_k=1}^n t_{ii_2 \dots i_k} x_{i_2} \cdots x_{i_k}.$$

If there exist  $\lambda \in \mathbb{C}$  and a nonzero vector  $x = (x_1, x_2, \dots, x_n)^T \in \mathbb{C}^n$  such that  $Tx^{k-1} = \lambda x^{[k-1]}$ , then  $\lambda$  is called an *eigenvalue* of  $T$  and  $x$  is an *eigenvector* of  $T$  corresponding to  $\lambda$ , where  $x^{[k-1]} = (x_1^{k-1}, \dots, x_n^{k-1})^T$  [16, 19].

A hypergraph  $H = (V(H), E(H))$  is called *k-uniform* if each edge of  $H$  contains exactly  $k$  vertices. For a  $k$ -uniform hypergraph  $H$  with  $n$  vertices, its (normalized) *adjacency tensor*  $A_H = (a_{i_1 i_2 \dots i_k})$  is a  $k$ -order  $n$ -dimension tensor [6], where

$$a_{i_1 i_2 \dots i_k} = \begin{cases} \frac{1}{(k-1)!}, & \text{if } \{i_1, i_2, \dots, i_k\} \in E(H), \\ 0, & \text{otherwise.} \end{cases}$$

All the eigenvalues of  $A_H$  are called the *spectra* of the hypergraph  $H$  [6]. When  $H$  is 2-uniform,  $A_H$  is the adjacency matrix of the graph  $H$ .

A signed graph  $G^\pi$  is a pair  $(G, \pi)$ , where  $G = (V, E)$  is a graph and the edge sign function is  $\pi : E \rightarrow \{+1, -1\}$ . We use  $i \sim j$  to denote that the vertices  $i$  and  $j$  are adjacent in the graph  $G$  and use  $\pi(i, j)$  to denote the sign of edge  $\{i, j\}$ . The adjacency matrix  $A(G^\pi) = (A_{ij})$  of the signed graph  $G^\pi$  is the symmetric  $\{0, +1, -1\}$ -matrix, where

$$A_{ij} = \begin{cases} \pi(i, j), & \text{if } i \sim j, \\ 0, & \text{otherwise.} \end{cases}$$

The eigenvalues of  $A(G^\pi)$  are called the eigenvalues of  $G^\pi$ . An (induced) subgraph of the signed graph  $G^\pi$  is called a signed (induced) subgraphs of  $G$ . All the eigenvalues of  $G^{(k)}$  without counting multiplicity were given by the eigenvalues of signed subgraphs of  $G$  as follows [4].

**Lemma 2.1.** [4] *Let  $G^{(k)}$  be the  $k$ -power hypergraph of a graph  $G$ .*

(1) *When  $k = 3$ ,  $\lambda$  is an eigenvalue of  $G^{(3)}$  if and only if there is a signed induced subgraph with an eigenvalue  $\beta$  such that  $\beta^2 = \lambda^3$ .*

(2) When  $k > 3$ ,  $\lambda$  is an eigenvalue of  $G^{(k)}$  if and only if there is a signed subgraph with an eigenvalue  $\beta$  such that  $\beta^2 = \lambda^k$ .

In [2], the authors gave the characteristic polynomial of power hyperpaths and provided a closed formula of their distinct eigenvalues.

**Lemma 2.2.** [2] *The set of all distinct eigenvalues of the  $k$ -uniform hyperpath  $P_n^{(k)}$  is*

$$\left\{ \lambda \in \mathbb{C} : \lambda^k = \left( 2 \cos \frac{\pi}{j+1} t \right)^2, j \in [n], t \in [j] \right\}.$$

A signed cycle  $(C_n, \pi)$  is called positive (resp. negative) cycle if the product of signs of all edges of  $(C_n, \pi)$  is positive (resp. negative). We use  $C_n^+$  and  $C_n^-$  to denote the positive and negative cycle, respectively. Since the connected subgraphs of the cycle  $C_n$  are  $C_n$  and  $P_j$  for  $j \in [n-1]$ , we obtain all distinct eigenvalues of  $C_n^{(k)}$  from all eigenvalues of  $C_n^+$ ,  $C_n^-$  and  $P_j$  by Lemma 2.1. This result will be used to find distinct eigenvalues of power hypergraphs of Smith graphs and their cospectral graphs in Section 3.

**Lemma 2.3.** *The set of all distinct eigenvalues of the  $k$ -power hypercycle  $C_n^{(k)}$  (for  $k > 3$ ) is*

$$\{ \lambda \in \mathbb{C} : \lambda^k = \beta^2, \beta \in \Omega \},$$

where

$$\Omega = \left\{ 2 \cos \frac{t\pi}{j+1}, 2 \cos \frac{2r\pi}{n}, 2 \cos \frac{(2r-1)\pi}{n} : j \in [n-1], t \in [j], r \in [n] \right\}.$$

*Proof.* The connected subgraphs of cycle  $C_n$  are  $C_n$  and  $P_j$  for  $j \in [n-1]$ . By Lemmas 2.1 and 2.2, we know that the complex number  $\lambda$  is an eigenvalue of the  $k$ -power hypercycle  $C_n^{(k)}$  (for  $k > 3$ ) if and only if there is

$$\beta \in \left\{ 2 \cos \frac{t\pi}{j+1} : j \in [n-1], t \in [j] \right\} \cup \sigma(C_n^+) \cup \sigma(C_n^-)$$

such that  $\lambda^k = \beta^2$ , where  $\sigma(C_n^+)$  (resp.  $\sigma(C_n^-)$ ) is the set of all eigenvalues of  $C_n^+$  (resp.  $C_n^-$ ). It is known that  $\sigma(C_n^+) = \{ 2 \cos \frac{2\pi r}{n} : r \in [n] \}$  [9, Page 72] and  $\sigma(C_n^-) = \{ 2 \cos \frac{(2r-1)\pi}{n} : r \in [n] \}$  [11, Lemma 2.3], then we have

$$\beta \in \left\{ 2 \cos \frac{t\pi}{j+1}, 2 \cos \frac{2r\pi}{n}, 2 \cos \frac{(2r-1)\pi}{n} : j \in [n-1], t \in [j], r \in [n] \right\}.$$

□

The  $d$ -th order spectral moment  $S_d(G)$  of a graph  $G$  is the sum of  $d$ -th power of all eigenvalues of  $G$ . Two graphs are cospectral if and only if all of their  $d$ -th order spectral moments are equal [23]. The spectral moment of a graph is an important parameter in spectral characterizations of graphs [1, 7, 15, 22]. Let  $\widehat{G}$  be a connected subgraph of  $G$ . We use  $N_G(\widehat{G})$  to denote the number of subgraphs of  $G$  isomorphic to  $\widehat{G}$ .

A walk is a sequence of vertices and edges of a graph, and a walk is said to be closed if the beginning and ending vertices are identical [7]. We use  $c_d(\widehat{G})$  to denote the number of closed walks with length  $d$  in the graph  $\widehat{G}$  running through all the edges at least once. The  $d$ -th order spectral moment  $S_d(G)$  can be represented as a linear combination of the number of connected subgraphs [1, 7, 9], i.e.,

$$S_d(G) = \sum_{\widehat{G} \in G(d)} c_d(\widehat{G}) N_G(\widehat{G}),$$

where  $G(d)$  is the set of connected subgraphs of  $G$  with at most  $d$  edges. The coefficient  $c_d(\widehat{G})$  is called the  $d$ -th order spectral moment coefficient of  $\widehat{G}$ . A formula for the  $d$ -th order spectral moment coefficients of trees was given in [3].

**Lemma 2.4.** [3, Theorem 2.10] *The  $d$ -th order spectral moment of the tree  $T$  is*

$$S_d(T) = \begin{cases} \sum_{m=1}^{\frac{d}{2}} \sum_{\widehat{T} \in \mathbf{T}(m)} c_d(\widehat{T}) N_T(\widehat{T}), & 2 \mid d, \\ 0, & 2 \nmid d, \end{cases}$$

where  $\mathbf{T}(m)$  is the set of subtrees of  $T$  with  $m$  edges. The  $d$ -th order spectral moment coefficient of the subtree  $\widehat{T}$  is

$$c_d(\widehat{T}) = \begin{cases} d \sum_{\sum_{e \in E(\widehat{T})} w(e) = \frac{d}{2}} \left( \prod_{e \in E(\widehat{T})} w(e) \prod_{v \in V(\widehat{T})} \frac{(d_v - 1)!}{r_v} \right), & 2 \mid d, \\ 0, & 2 \nmid d, \end{cases} \quad (2.1)$$

where  $w(e)$  is a positive integer corresponding to edge  $e$  of the tree  $\widehat{T}$ ,  $r_v = \prod_{e \in E_v(\widehat{T})} w(e)!$  and  $d_v = \sum_{e \in E_v(\widehat{T})} w(e)$ .

Similar to the spectral moments of graphs, the  $d$ -th order spectral moment  $S_d(H)$  of a  $k$ -uniform hypergraph  $H$  is the sum of  $d$ -th power of all eigenvalues of  $H$ ,

i.e.,  $S_d(H) = \sum_{\lambda \in \sigma(H)} \lambda^d$ , where  $\sigma(H)$  is the spectra of  $H$ . Two hypergraphs are cospectral if and only if their  $d$ -th order spectral moments are equal for all  $d \geq 1$  [5]. Clark and Cooper expressed the characteristic polynomial coefficients of a uniform hypergraph  $H$  by means of the spectral moments of  $H$  and gave the ‘‘Harary-Sachs Theorem’’ of hypergraphs [5]. In [3], the authors expressed the spectral moment of power hypertree by the number of subtrees as follows.

**Lemma 2.5.** [3] *Let  $T^{(k)}$  be the  $k$ -power hypertree of a tree  $T$ . Let  $c_i(\widehat{T})$  denote the  $i$ -th order spectral moment coefficient of the subtree  $\widehat{T}$ . Then the  $d$ -th spectral moment of  $T^{(k)}$  is*

$$S_d(T^{(k)}) = \begin{cases} \sum_{m=1}^{\frac{d}{k}} \frac{1}{2}(k-1)^{(|E(T)|-m)(k-1)} k^{m(k-2)+1} \sum_{\widehat{T} \in \mathbf{T}(m)} c_{\frac{2d}{k}}(\widehat{T}) N_T(\widehat{T}), & k \mid d, \\ 0, & k \nmid d, \end{cases}$$

where  $\mathbf{T}(m)$  is the set of subtrees of  $T$  with  $m$  edges.

### 3. Smith graphs are DHS

Connected graphs with spectral radii at most 2 are usually called ‘‘Smith graphs’’, since Smith classified them in 1970 [21]. In 2009, Van Dam and Haemers showed that not all Smith graphs are determined by the spectra [23]. In this section, we show that all Smith graphs are determined by the high-order spectra.

Figure 1 shows all the Smith graphs. Except for the graphs  $\widetilde{D}_n$  and  $\widetilde{E}_6$ , Smith graphs are determined by the spectra [23]. Then all Smith graphs are DHS if and only if  $\widetilde{D}_n$  and  $\widetilde{E}_6$  are DHS. In order to prove that  $\widetilde{D}_n$  and  $\widetilde{E}_6$  are DHS, we only need to give all non-isomorphic cospectral graphs of  $\widetilde{D}_n$  (resp.  $\widetilde{E}_6$ ) and then prove that these cospectral graphs are not high-order cospectral with  $\widetilde{D}_n$  (resp.  $\widetilde{E}_6$ ).

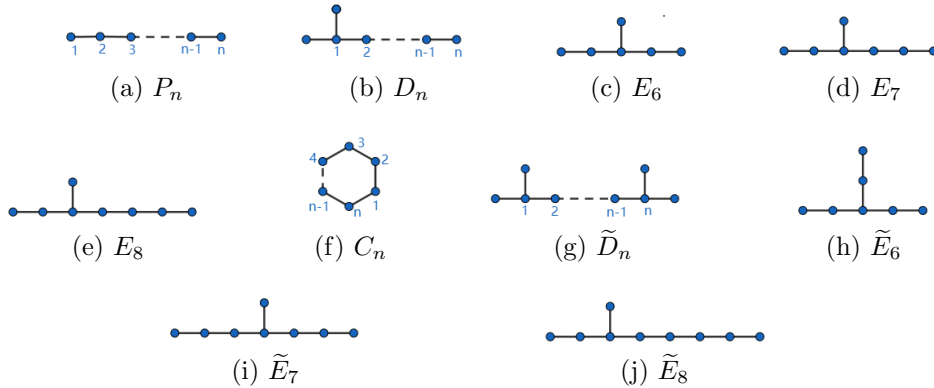


Figure 1: Smith graphs

The following cospectral invariant of graphs in terms of the number of subgraphs was given by Cvetković and Rowlinson in [7].

**Lemma 3.1.** [7] *If graphs  $G$  and  $G^*$  are cospectral, then  $N_G(P_3) + 2N_G(C_4) = N_{G^*}(P_3) + 2N_{G^*}(C_4)$ .*

We give all non-isomorphic cospectral graphs of  $\tilde{D}_n$  (resp.  $\tilde{E}_6$ ) by the above cospectral invariant. Let  $G_1 + G_2$  denote the disjoint union of graphs  $G_1$  and  $G_2$ .

**Lemma 3.2.** *Graph  $G$  is a non-isomorphic cospectral graphs of  $\tilde{D}_n$  (resp.  $\tilde{E}_6$ ) if and only if  $G = C_4 + P_n$  (resp.  $C_6 + K_1$ ).*

*Proof.* It is well-known that  $C_4 + P_n$  is a non-isomorphic cospectral graphs of  $\tilde{D}_n$  [9, Page 77]. Let  $G$  be a non-isomorphic cospectral graph of  $\tilde{D}_n$ . We will show that  $G = C_4 + P_n$ .

**Claim 1:**  $G$  has two connected components, one is a cycle and the other is a tree.

Proof: Since  $G$  is cospectral with  $\tilde{D}_n$ , the spectral radius of  $G$  is equal to 2. All Smith graphs are determined by the spectra, except for the graphs  $\tilde{D}_n$  and  $\tilde{E}_6$  [23]. Then  $G$  is not a Smith graph, i.e.,  $G$  is not connected.

The spectral radii of every connected components of  $G$  are at most 2. Since connected graphs with spectral radii at most 2 are cycles or trees, every connected components of  $G$  are cycles or trees. From  $|V(G)| = |V(\tilde{D}_n)| = n + 4$  and  $|E(G)| = |E(\tilde{D}_n)| = n + 3$ , we get  $|E(G)| = |V(G)| - 1$ . Then we know that only one connected component of  $G$  is a tree and the other connected components are cycles.

The algebraic multiplicity of the eigenvalue  $\lambda = 2$  of  $G$  (or  $\tilde{D}_n$ ) is 1 [9, Page 77], it yields that only one connected component of  $G$  is cycle and the spectral radii

of the other connected components are less than 2. Then the graph  $G$  contains exactly two connected components, one of them is a cycle and the other is a tree with spectral radius less than 2.  $\square$

**Claim 2:**  $G = C_4 + P_n$ .

Proof: From the proof of Claim 1, we have  $G = C_s + T_{n+4-s}$ , where  $C_s$  is a cycle with  $s$  vertices and  $T_{n+4-s}$  is a tree whose spectral radius is less than 2. Since  $G$  is cospectral with  $\tilde{D}_n$ , we have  $N_G(P_3) + 2N_G(C_4) = N_{\tilde{D}_n}(P_3) + 2N_{\tilde{D}_n}(C_4)$  by Lemma 3.1. We know that  $N_{\tilde{D}_n}(P_3) + 2N_{\tilde{D}_n}(C_4) = n + 4$  from Figure 1 (g). Then

$$N_{C_s}(P_3) + N_{T_{n+4-s}}(P_3) + 2N_{C_s}(C_4) + 2N_{T_{n+4-s}}(C_4) = n + 4.$$

We have

$$\begin{aligned} 2N_{C_s}(C_4) &= n + 4 - N_{C_s}(P_3) - N_{T_{n+4-s}}(P_3) \\ &= n + 4 - s - N_{T_{n+4-s}}(P_3). \end{aligned} \quad (3.1)$$

Since the spectral radius of  $T_{n+4-s}$  is less than 2, we know that  $T_{n+4-s}$  is isomorphic to one of  $P_{n+4-s}$ ,  $D_{n+2-n}$ ,  $E_6$ ,  $E_7$  or  $E_8$ .

If  $T_{n+4-s} = P_{n+4-s}$ , we have  $N_{T_{n+4-s}}(P_3) = n + 2 - s$  when  $n + 4 - s \geq 3$  and  $N_{T_{n+4-s}}(P_3) = 0$  when  $1 \leq n - s + 4 < 3$ . When  $n + 4 - s \geq 3$ , we have  $N_{C_s}(C_4) = 1$  by Equation (3.1), i.e.,  $s = 4$ . When  $1 \leq n - s + 4 < 3$ , we have  $1 \leq 2N_{C_s}(C_4) < 3$  and then  $N_{C_s}(C_4) = 1$  by Equation (3.1), i.e.,  $s = 4$ . So we have  $N_G(C_4) = 1$  if  $T_{n+4-s} = P_{n+4-s}$ .

If  $T_{n+4-s}$  is isomorphic to one of  $D_{n+2}$ ,  $E_6$ ,  $E_7$  and  $E_8$ , it yields that  $N_{T_{n+4-s}}(P_3) = n + 3 - s$  from Figure 1 (b), (c), (d) and (e). Then we get  $2N_{C_s}(C_4) = 1$  by Equation (3.1). It contradicts the fact that  $2N_{C_s}(C_4)$  is even.

Then we get that  $G = C_4 + P_n$ .  $\square$

From the above proof, we know that  $G$  is a non-isomorphic cospectral graphs of  $\tilde{D}_n$  if and only if  $G = C_4 + P_n$ . Similarly, we also obtain that  $G$  is a non-isomorphic cospectral graphs of  $\tilde{E}_6$  if and only if  $G = C_6 + K_1$ .  $\square$

Next, we will show that  $\tilde{D}_n^{(k)}$  (resp.  $\tilde{E}_6^{(k)}$ ) is not high-order cospectral with their cospectral graphs  $(C_4 + P_n)^{(k)}$  (resp.  $(C_6 + K_1)^{(k)}$ ). We show that there is an eigenvalue  $\lambda$  of the power hypergraph  $\tilde{D}_n^{(k)}$  (resp.  $\tilde{E}_6^{(k)}$ ) but  $\lambda$  is not an eigenvalue of  $(C_4 + P_n)^{(k)}$  (resp.  $(C_6 + K_1)^{(k)}$ ). Therefore, we obtain the main result in this section.



**Theorem 3.3.** *All Smith graphs are determined by the high-order spectra.*

*Proof.* We will prove that  $\tilde{D}_n$  and  $\tilde{E}_6$  are DHS. By Lemma 3.2, we know that graph  $G$  is a non-isomorphic cospectral graphs of  $\tilde{D}_n$  (resp.  $\tilde{E}_6$ ) if and only if  $G = C_4 + P_n$  (resp.  $C_6 + K_1$ ). Then we only need to prove that  $\tilde{D}_n$  (resp.  $\tilde{E}_6$ ) is not high-order cospectral with  $(C_4 + P_n)$  (resp.  $C_6 + K_1$ ).

Next, we prove that there is an eigenvalue  $\lambda$  of the hypergraph  $\tilde{D}_n^{(k)}$  such that  $\lambda$  is not an eigenvalue of the hypergraph  $(C_4 + P_n)^{(k)}$ . Since  $D_n$  is an induced subgraph of  $\tilde{D}_n$  and  $2 \cos \frac{\pi}{2n+2}$  is an eigenvalue of  $D_n$  [9, Page 77], we know that  $\sqrt[k]{(2 \cos \frac{\pi}{2n+2})^2}$  is an eigenvalue of  $\tilde{D}_n^{(k)}$  from Lemma 2.1. By Lemmas 2.2 and 2.3, the largest real eigenvalue less than  $\sqrt[k]{4}$  of  $(C_4 + P_{n-3})^{(k)}$  is  $\sqrt[k]{(2 \cos \frac{\pi}{n})^2}$ . From the monotonicity of the cosine function on interval  $[0, \pi]$ , we have  $\sqrt[k]{(2 \cos \frac{\pi}{2n+2})^2} > \sqrt[k]{(2 \cos \frac{\pi}{n})^2}$ . It follows that  $\sqrt[k]{(2 \cos \frac{\pi}{2n+2})^2}$  is not an eigenvalue of  $(C_4 + P_{n-3})^{(k)}$ . Then  $\tilde{D}_n$  is DHS.

Similarly, since  $D_3$  is an induced subgraph of  $\tilde{E}_6$  and  $2 \cos \frac{\pi}{8}$  is an eigenvalue of  $D_3$ , it yields that  $\sqrt[k]{(2 \cos \frac{\pi}{8})^2}$  is an eigenvalue of  $\tilde{E}_6^{(k)}$  by Lemma 2.1. The largest real eigenvalue less than  $\sqrt[k]{4}$  of  $(C_6 + K_1)^{(k)}$  is  $\sqrt[k]{(2 \cos \frac{\pi}{6})^2}$ . We know that  $\sqrt[k]{(2 \cos \frac{\pi}{8})^2}$  is not an eigenvalue of  $(C_6 + K_1)^{(k)}$  by Lemma 2.3. Then  $\tilde{E}_6$  is DHS.  $\square$

#### 4. High-order cospectral invariants of trees

In 1973, Schwenk gave a useful method to construct non-isomorphic cospectral trees and proved his famous conclusion: “Almost all trees are not DS” [20]. The cospectral trees constructed by Schwenk’s method cannot be distinguished by the spectra. In this section, we give some high-order cospectral invariants of trees and our results show that there are infinitely many pairs of cospectral trees constructed by Schwenk’s method can be distinguished by the high-order spectra.

Let  $T$  and  $T^*$  be two high-order cospectral trees. Then  $S_d(T^{(k)}) = S_d(T^{*(k)})$  for all positive integers  $k$  and  $d$ . Let  $\mathfrak{T}(m)$  denote the set of trees with  $m$  edges. From the spectral moment formula shown in Lemma 2.5, we obtain

$$\sum_{m=1}^{\frac{d}{k}} \frac{1}{2} (k-1)^{(|E(T)|-m)(k-1)} k^{m(k-2)+1} \sum_{\hat{T} \in \mathfrak{T}(m)} c_{\frac{2d}{k}}(\hat{T}) \left( N_T(\hat{T}) - N_{T^*}(\hat{T}) \right) = 0 \quad (4.1)$$

for all positive integers  $k \geq 2$ . From Equation (4.1), we obtain some high-order cospectral invariants of trees.

**Theorem 4.1.** *If a tree  $T$  is high-order cospectral with tree  $T^*$ , then*

$$\sum_{\widehat{T} \in \mathfrak{T}(m)} c_d(\widehat{T}) N_T(\widehat{T}) = \sum_{\widehat{T} \in \mathfrak{T}(m)} c_d(\widehat{T}) N_{T^*}(\widehat{T}),$$

where for all positive integers  $d$  and  $m$  such that  $1 \leq m \leq \frac{d}{2}$ .

*Proof.* Since  $T$  and  $T^*$  are high-order cospectral, we have  $S_d(T^{(k)}) = S_d(T^{*(k)})$  for all positive integers  $k \geq 2$ . Since  $S_d(T^{(k)}) = 0$  if  $k \nmid d$ , we assume  $k \mid d$  in the following proof.

Let  $d = kz$ . From Equation (4.1), we have

$$\sum_{m=1}^z \frac{1}{2} (k-1)^{(|E(T)|-m)(k-1)} k^{m(k-2)+1} \sum_{\widehat{T} \in \mathfrak{T}(m)} c_{2z}(\widehat{T}) \left( N_T(\widehat{T}) - N_{T^*}(\widehat{T}) \right) = 0 \quad (4.2)$$

for all positive integers  $k$ . Let  $f_m(k) = \frac{1}{2} (k-1)^{(|E(T)|-m)(k-1)} k^{m(k-2)+1}$ . Let  $y_m = \sum_{\widehat{T} \in \mathfrak{T}(m)} c_{2z}(\widehat{T}) \left( N_T(\widehat{T}) - N_{T^*}(\widehat{T}) \right)$  for all  $m \in [z]$ . From Equation (4.2), we have  $\sum_{m=1}^z f_m(k) y_m = 0$ . Since  $\frac{f_i(k)}{f_{i-1}(k)} = \frac{k^{k-2}}{(k-1)^{k-1}}$  for  $i = 2, 3, \dots, z$ , we get  $f_m(k) = f_1(k) \left( \frac{k^{k-2}}{(k-1)^{k-1}} \right)^{m-1}$ . Then  $\sum_{m=1}^z f_1(k) \left( \frac{k^{k-2}}{(k-1)^{k-1}} \right)^{m-1} y_m = 0$ . For  $z \geq 1$ , let  $k_i, 1 \leq i \leq z$  be any integers so that  $0 < k_1 < \dots < k_z$ . It follows that

$$\begin{bmatrix} f_1(k_1) & f_1(k_1) \frac{k_1^{k_1-2}}{(k_1-1)^{k_1-1}} & \cdots & f_1(k_1) \left( \frac{k_1^{k_1-2}}{(k_1-1)^{k_1-1}} \right)^{z-1} \\ f_1(k_2) & f_1(k_2) \frac{k_2^{k_2-2}}{(k_2-1)^{k_2-1}} & \cdots & f_1(k_2) \left( \frac{k_2^{k_2-2}}{(k_2-1)^{k_2-1}} \right)^{z-1} \\ \vdots & \vdots & \vdots & \vdots \\ f_1(k_z) & f_1(k_z) \frac{k_z^{k_z-2}}{(k_z-1)^{k_z-1}} & \cdots & f_1(k_z) \left( \frac{k_z^{k_z-2}}{(k_z-1)^{k_z-1}} \right)^{z-1} \end{bmatrix} \begin{bmatrix} y_1 \\ y_2 \\ \vdots \\ y_z \end{bmatrix} = 0. \quad (4.3)$$

Then the coefficient matrix of the Equation (4.3) is a Vandermonde matrix. Since  $k_1, k_2, \dots, k_z$  are distinct, the determinant of the coefficient matrix are not equal to zero. Then  $y_m = 0$  for all  $m \in [z]$ .  $\square$

Let  $m = |E(T)| = |E(T^*)|$  in Theorem 4.1, we directly get the following high-order cospectral invariants.

**Theorem 4.2.** *Let  $T$  and  $T^*$  be two high-order cospectral trees. Then  $c_d(T) = c_d(T^*)$  for  $d \geq 2|E(T)|$ .*

Let  $\mathfrak{T}(m) = \{\widehat{T}_1, \widehat{T}_2, \dots, \widehat{T}_{|\mathfrak{T}(m)|}\}$ . From Theorem 4.1, we get the following equation after taking  $d$  to be  $d_1, d_2, \dots, d_{|\mathfrak{T}(m)|}$ .

$$\begin{bmatrix} c_{d_1}(\widehat{T}_1) & c_{d_1}(\widehat{T}_2) & \cdots & c_{d_1}(\widehat{T}_{|\mathfrak{T}(m)|}) \\ c_{d_2}(\widehat{T}_1) & c_{d_2}(\widehat{T}_2) & \cdots & c_{d_2}(\widehat{T}_{|\mathfrak{T}(m)|}) \\ \vdots & \vdots & \ddots & \vdots \\ c_{d_{|\mathfrak{T}(m)|}}(\widehat{T}_1) & c_{d_{|\mathfrak{T}(m)|}}(\widehat{T}_2) & \cdots & c_{d_{|\mathfrak{T}(m)|}}(\widehat{T}_{|\mathfrak{T}(m)|}) \end{bmatrix} \begin{bmatrix} h_1 \\ h_2 \\ \vdots \\ h_{|\mathfrak{T}(m)|} \end{bmatrix} = 0, \quad (4.4)$$

where  $h_i = N_T(\widehat{T}_i) - N_{T^*}(\widehat{T}_i)$  for all  $i \in [|\mathfrak{T}(m)|]$ . If there exist  $d_1, d_2, \dots, d_{|\mathfrak{T}(m)|}$  such that the coefficient matrix of Equation (4.4) is nonsingular, we get  $N_T(\widehat{T}) = N_{T^*}(\widehat{T})$  for all  $\widehat{T} \in \mathfrak{T}(m)$ . By the formula for the spectral moment coefficients for trees, i.e. Equation (2.1), we can calculate the spectral moment coefficients  $c_d(\widehat{T})$ . We show the  $d$ -th order spectral moment coefficients of trees with 3 edges for  $d = 6, 8$ , the  $t$ -th order spectral moment coefficients of trees with 4 edges for  $t = 8, 10, 12$  and the  $l$ -th order spectral moment coefficients of trees with 5 edges for  $l = 10, 12, 14, 16, 18, 20$  (see Table 1 and Table 2).

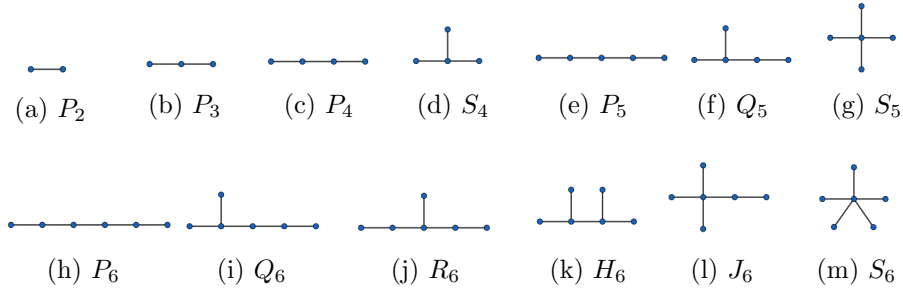


Figure 2: Trees with edges at most 5

	$c_d(P_4)$	$c_d(S_4)$
$d = 6$	6	12
$d = 8$	32	72

(a) The spectral moment coefficients of trees with 3 edges

	$c_d(P_5)$	$c_d(Q_5)$	$c_d(S_5)$
$d = 8$	8	16	48
$d = 10$	60	140	480
$d = 12$	300	804	3120

(b) The spectral moment coefficients of trees with 4 edges

Table 1: The spectral moment coefficients of trees with 3 or 4 edges

	$c_d(P_6)$	$c_d(Q_6)$	$c_d(R_6)$	$c_d(H_6)$	$c_d(J_6)$	$c_d(S_6)$
$d = 10$	10	20	20	40	60	240
$d = 12$	96	216	228	504	792	3600
$d = 14$	588	1484	1652	3976	6552	33600
$d = 16$	2944	8304	9728	25216	43680	252000
$d = 18$	13158	41328	50832	140832	257184	1668240
$d = 20$	54730	190800	245880	724320	1398600	10206000

Table 2: The spectral moment coefficients of trees with 5 edges

We obtain the following high-order cospectral invariants of trees about the number of subtrees, we can apply this result to distinguish some non-isomorphic trees.

**Theorem 4.3.** *If a tree  $T$  is high-order cospectral with a tree  $T^*$ , then  $\widehat{T}$  is a subtree of  $T$  if and only if  $\widehat{T}$  is a subtree of  $T^*$ , and  $N_T(\widehat{T}) = N_{T^*}(\widehat{T})$  for any tree  $\widehat{T}$  with at most 5 edges.*

*Proof.* Since  $T$  and  $T^*$  are high-order cospectral, we know that  $T$  and  $T^*$  are cospectral. Then  $N_T(P_2) = N_{T^*}(P_2)$  and  $N_T(P_3) = N_{T^*}(P_3)$  by Lemma 3.1. From Table 1 and Table 2, we get the coefficient matrix of Equation (4.4) when  $m = 3, 4, 5$ . It is easy to check that these coefficient matrices are nonsingular. Then  $N_T(\widehat{T}) = N_{T^*}(\widehat{T})$  for all  $\widehat{T} \in \mathfrak{T}(m)$ ,  $m = 1, 2, 3, 4, 5$ . Without loss of generality, let  $N_T(\widehat{T}) \neq 0$ , i.e.,  $\widehat{T}$  is a subtree of  $T$ . Then we know that  $\widehat{T}$  is a subtree of  $T$  if and only if  $\widehat{T}$  is a subtree of  $T^*$  and  $N_T(\widehat{T}) = N_{T^*}(\widehat{T})$ .  $\square$

By the above high-order cospectral invariants, we get infinitely many pairs of cospectral trees with different high-order spectra. As shown in Figure 3 and 4, let  $T_u$  and  $T_v$  be the tree  $T$  rooted at vertices  $u$  and  $v$ , respectively. For any rooted tree  $F$ , the coalescences  $F \cdot T_u$  and  $F \cdot T_v$ , as shown in Figure 4, are cospectral trees [22].



Figure 3: Tree  $T$

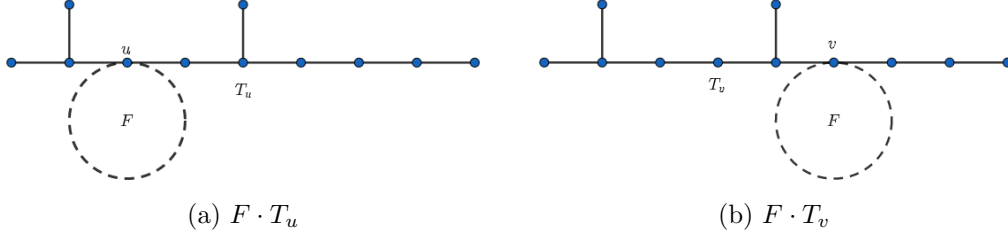


Figure 4: The coalescences  $F \cdot T_u$  and  $F \cdot T_v$

**Theorem 4.4.** *Cospectral trees  $F \cdot T_u$  and  $F \cdot T_v$  have different high-order spectra.*

*Proof.* Recall that  $R_6$  denotes the tree in Figure 2 (j). We consider the difference between the number of subgraphs of  $F \cdot T_v$  and  $F \cdot T_u$  that are isomorphic to  $R_6$ . Let  $V_0$  (or  $U_0$ ) denote the number of subgraphs in  $F \cdot T_v$  (or  $F \cdot T_u$ ) that are isomorphic to  $R_6$  and do not contain the vertex  $v$  (or  $u$ ).

Let  $V_i$  (or  $U_i$ ) denote the number of subgraphs in  $F \cdot T_v$  (or  $F \cdot T_u$ ) that are isomorphic to  $R_6$  and the vertex  $v$  (or  $u$ ) has a degree  $i$  for  $i = 1, 2, 3$ . It implies that  $N_{F \cdot T_v}(R_6) = \sum_{i=0}^3 V_i$  and  $N_{F \cdot T_u}(R_6) = \sum_{i=0}^3 U_i$ . When  $i = 0$  or  $i = 3$ , it is easy to check that  $V_i = U_i$  from Figure 4. When  $i = 1$ , we have  $V_1 = U_1 - 1$  from Figure 4. Let  $d$  be the degree of the root of  $F$ . When  $i = 2$ , we have  $V_2 = U_2 + d + 1$ . Then we have  $N_{F \cdot T_v}(R_6) - N_{F \cdot T_u}(R_6) = \sum_{i=0}^3 V_i - \sum_{i=0}^3 U_i = d$ .

Note that  $R_6$  is a tree with five edges. By Theorem 4.3, we know that  $F \cdot T_u$  is not high-order cospectral with  $F \cdot T_v$ . □

In this paper, we show that all Smith graphs are determined by the high-order spectra. And the infinitely many pairs of non-isomorphic cospectral trees constructed by Schwenk's method have different the high-order spectra. At the end of this paper, we propose the following conjecture.

**Conjecture 4.5.** *Two trees are isomorphic if and only if they have the same high-order spectra.*

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