

3 **GENERALIZED RAINBOW CONNECTION OF GRAPHS**
4 **AND THEIR COMPLEMENTS**

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22 **Abstract**

23 Let G be an edge-colored connected graph. A path P in G is called
24 ℓ -rainbow if each subpath of length at most $\ell + 1$ is rainbow. The graph
25 G is called (k, ℓ) -rainbow connected if there is an edge-coloring such that
26 every pair of distinct vertices of G are connected by k pairwise internally
27 vertex-disjoint ℓ -rainbow paths in G . The minimum number of colors needed
28 to make G (k, ℓ) -rainbow connected is called the (k, ℓ) -rainbow connection
29 number of G and denoted by $rc_{k, \ell}(G)$. In this paper, we first focus on the
30 $(1, 2)$ -rainbow connection number of G depending on some constraints of \overline{G} .
31 Then, we characterize the graphs of order n with $(1, 2)$ -rainbow connection

32 number $n - 1$ or $n - 2$. Using this result, we investigate the Nordhaus-
 33 Gaddum-Type problem of $(1, 2)$ -rainbow connection number and prove that
 34 $rc_{1,2}(G) + rc_{1,2}(\overline{G}) \leq n + 2$ for connected graphs G and \overline{G} . The equality
 35 holds if and only if G or \overline{G} is isomorphic to a double star.

36 **Keywords:** ℓ -rainbow path; (k, ℓ) -rainbow connected; (k, ℓ) -rainbow con-
 37 nection number.

38 **2010 Mathematics Subject Classification:** 04C15, 05C40.

39 1. INTRODUCTION

40 All graphs in this paper are finite, undirected, simple and connected. We follow
 41 the notation and terminology in the book [3].

42 When considering the transmission of information between agencies of the
 43 government, an immediate question is put forward as follows: What is the min-
 44 imum number of passwords or firewalls needed that allows one or more secure
 45 paths between every two agencies so that the passwords along each path are dis-
 46 tinct? This question can be represented by a graph and studied by means of
 47 what is called rainbow colorings introduced by Chartrand et al. in [5]. An *edge-*
 48 *coloring* of a graph is a mapping from its edge set to the set of natural numbers
 49 (colors). A path in an edge-colored graph with no two edges sharing the same
 50 color is called a *rainbow path*. A graph G with an edge-coloring c is said to be
 51 *rainbow connected* if every pair of distinct vertices of G is connected by at least
 52 one rainbow path in G . The coloring c is called a *rainbow coloring* of the graph
 53 G . For a connected graph G , the minimum number of colors needed to make G
 54 rainbow connected is defined as the *rainbow connection number* of G and denoted
 55 by $rc(G)$. Many researchers have studied problems on rainbow connection. See
 56 [9, 12, 14] for example. For more details we refer to the survey paper [13] and
 57 the book [14].

58 The following question provides a relaxation of this concept: What is the
 59 minimum number of passwords or firewalls that allows one or more secure paths
 60 between every two agencies such that as we progress from one agency to another
 61 along such a path, we are required to change passwords at each step? Inspired
 62 by this, Borozan et al. in [2] and Andrews et al. in [1] introduced the concept of
 63 proper-path coloring of graphs. Let G be an edge-colored graph. A path P in G is
 64 called a *proper path* if no two adjacent edges of P are colored with the same color.
 65 An edge-colored graph G is *k -proper connected* if every pair of distinct vertices
 66 u, v of G are connected by k pairwise internally vertex-disjoint proper (u, v) -paths
 67 in G . For a connected graph G , the minimum number of colors needed to make G
 68 k -proper connected is called the *k -proper connection number* of G and denoted by
 69 $pc_k(G)$. Particularly for $k = 1$, we write $pc_1(G)$, the proper connection number

70 of G , as $pc(G)$ for simplicity. Recently, many results have been obtained on the
 71 proper connection number. For details, we refer to the dynamic survey [10].

72 Relaxing the notion of a rainbow path, the (k, ℓ) -proper-path coloring was
 73 defined in [11] as a generalization of rainbow coloring and proper-path coloring.
 74 The notion of ℓ -rainbow colorings was also independently defined and studied in
 75 [4, 6, 7]. A path P in G is called an ℓ -rainbow path if each subpath of length
 76 at most $\ell + 1$ is rainbow colored. The graph G is called (k, ℓ) -rainbow connected
 77 if there is an edge-coloring c such that every pair of distinct vertices of G are
 78 connected by k pairwise internally vertex-disjoint ℓ -rainbow paths in G . This
 79 coloring is called a (k, ℓ) -rainbow-path coloring of G . In addition, if t colors are
 80 used, then c is referred to as a (k, ℓ) -rainbow-path t -coloring of G . For a con-
 81 nected graph G , the minimum number of colors needed to make G (k, ℓ) -rainbow
 82 connected is called the (k, ℓ) -rainbow connection number of G and denoted by
 83 $rc_{k,\ell}(G)$. Particularly, for $k = 1$ and $\ell = 2$, there is an edge-coloring using $rc_{1,2}$
 84 colors such that there exists a 2-rainbow path between each pair of vertices of the
 85 graph G . Furthermore, if we ensure that every path in G is a 2-rainbow path,
 86 then such an edge-coloring is called a *strong edge-coloring*. In addition, the strong
 87 chromatic index $\chi'_s(G)$, which was introduced by Fouquet and Jolivet [8], is the
 88 minimum number of colors needed in a strong edge-coloring of G . Immediately
 89 we get that $rc_{1,2}(G) \leq \chi'_s(G)$. And this inspires us to pay our attention to the
 90 $(1, 2)$ -rainbow connection number of the connected graph G , i.e., $rc_{1,2}(G)$.

91 As an example of this concept, we consider the $(2, 3)$ -rainbow connection
 92 number of the cycle C_{12} . Since $\ell = 3$, then each pair of edges with the same color
 93 must have at least 3 edges in between. Additionally, there are pairs of vertices at
 94 distance greater than 4, we see that $rc_{2,3}(C_{12}) \geq 4$. On the other hand, if we color
 95 the edges of C_{12} by alternating through the colors like 1, 2, 3, 4, 1, \dots , 4 in order
 96 around the cycle, then it is easy to see that this is a $(2, 3)$ -rainbow connected
 97 coloring using 4 colors, so $rc_{2,3}(C_{12}) = 4$.

98 In this paper, we consider the (k, ℓ) -rainbow connection number of graphs
 99 and their complements. This paper is organized as follows. In Section 2, we list
 100 some useful results about the (k, ℓ) -rainbow connection number of a graph. In
 101 Section 3, we focus on $rc_{1,2}(G)$ depending on some constraints of \overline{G} . In Section 4,
 102 we first characterize the graphs of order n with $(1, 2)$ -rainbow connection number
 103 $n-1$ or $n-2$. Using this result, we give the Nordhaus-Gaddum-Type result for the
 104 $(1, 2)$ -rainbow connection number, i.e., $rc_{1,2}(G) + rc_{1,2}(\overline{G}) \leq n + 2$ for connected
 105 graphs G and \overline{G} , and the equality holds if and only if G or \overline{G} is isomorphic to a
 106 double star.

107

2. PRELIMINARIES

108 In this section, we introduce some definitions and present several results which
 109 will be used later. Let G be a connected graph. We denote by n the number of
 110 its vertices and m the number of its edges. The *distance between two vertices* u
 111 and v in G , denoted by $d(u, v)$, is the length of a shortest path between them in
 112 G . The *eccentricity* of a vertex v is $\text{ecc}(v) := \max_{x \in V(G)} d(v, x)$. The *radius* of G
 113 is $\text{rad}(G) := \min_{x \in V(G)} \text{ecc}(x)$. We also write $\sigma'_2(G)$ as the largest sum of degrees
 114 of vertices x and y , where x and y are taken over all couples of adjacent vertices
 115 in G . Additionally, we set $[n] = \{1, 2, \dots, n\}$ for any integer $n \geq 1$.

116 The following are some results that we will use in our proofs. The first
 117 is a simple observation that the addition of edges cannot increase the rainbow
 118 connection number.

119 **Proposition 2.1** [11]. If G is a nontrivial connected graph and H is a con-
 120 nected spanning subgraph of G , $\ell \geq 1$ is an integer. Then $rc_{1,\ell}(G) \leq rc_{1,\ell}(H)$.
 121 Particularly, $rc_{1,\ell}(G) \leq rc_{1,\ell}(T)$ for every spanning tree T of G .

122 When we focus on trees, the following holds.

123 **Theorem 2.2** [11]. If T is a nontrivial tree, then $rc_{1,2}(T) = \sigma'_2(T) - 1$.

124 For complete bipartite graphs, the situation is trickier.

125 **Theorem 2.3** [11]. Let $\ell \geq 2$ be an integer and $m \leq n$. Then,

$$rc_{1,\ell}(K_{m,n}) = \begin{cases} n & \text{if } m = 1, \\ 2 & \text{if } m \geq 2 \text{ and } m \leq n \leq 2^m, \\ 3 & \text{if } \ell = 2, m \geq 2 \text{ and } n > 2^m \\ & \text{or } \ell \geq 3, m \geq 2 \text{ and } 2^m < n \leq 3^m, \\ 4 & \text{if } \ell \geq 3, m \geq 2 \text{ and } n > 3^m. \end{cases}$$

126 For a general 2-connected graph, we gave in [11] an upper bound for the
 127 (1, 2)-rainbow connection number.

128 **Theorem 2.4** [11]. If a graph G is 2-connected, then $rc_{1,2}(G) \leq 5$.

129 3. (1, 2)-RAINBOW CONNECTION NUMBER FOR THE COMPLEMENT OF A 130 GRAPH

131 In this section, we investigate the (1, 2)-rainbow connection number of G depend-
 132 ing on some properties of its complement \overline{G} .

133 **Theorem 3.1.** If G is a graph with $\text{diam}(\overline{G}) \geq 4$, then $rc_{1,2}(G) \leq 3$.

134 *Proof.* We first claim that G must be connected. If not, \overline{G} must contain a span-
 135 ning complete bipartite graph which implies that $\text{diam}(\overline{G}) \leq 2$, a contradiction.
 136 Choose a vertex x with $\text{ecc}_{\overline{G}}(x) = \text{diam}(\overline{G})$. Let $N_i(x) = \{v : \text{dist}_{\overline{G}}(x, v) = i\}$
 137 for $0 \leq i \leq 3$ and $N_4(x) = \{v : \text{dist}_{\overline{G}}(x, v) \geq 4\}$. Obviously $N_0(x) = \{x\}$. We
 138 write N_i (for $0 \leq i \leq 4$) instead of $N_i(x)$ and n_i instead of $|N_i|$ for convenience.
 139 It can be deduced that all edges are present in G of the form uv where $u \in N_1$
 140 and $v \in N_3 \cup N_4$ or $u \in N_2$ and $v \in N_4$ (see Figure 1).

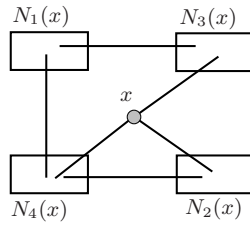


Figure 1. The graph G for the proof of Theorem 3.1.

141 We denote by $N_{i,j}$ ($0 \leq i \neq j \leq 4$) the edge set between N_i and N_j in G . We
 142 distinguish four cases and give each of the cases a $(1, 2)$ -rainbow-path 3-coloring,
 143 respectively. Again we use $f(e)$ ($e \in E(\overline{G})$) to represent the color assigned to e .

144 Case 1. If $n_4 > 1$. We give all edges of $N_{1,3}$ the color 3, edges of $N_{0,3}$ the
 145 color 3, edges of $N_{0,4}$ the color 2, edges of $N_{0,2}$ the color 3, edges of $N_{2,4}$ the
 146 color 1. Additionally, color the edges of $N_{1,4}$ such that for $v \in N_1$, $\{f(vs) : s \in$
 147 $N_4\} = \{1, 2\}$. Then for any $u, v \in N_1$ (if $n_1 > 1$), there must exist $s_1, s_2 \in N_4$
 148 (possibly with $s_1 = s_2$) such that $f(us_1) = 1$ and $f(vs_2) = 2$. Then one of us_1v
 149 or us_1xss_2v , where $s \in N_2$, is a 2-rainbow (u, v) -path. Other situations can be
 150 checked similarly.

151 Case 2. If $n_4 = 1$, $n_3 > 1$ and $n_1 = 1$. Then we give all edges of $N_{1,3}$ the
 152 color 1, the edge of $N_{1,4}$ the color 3, edges of $N_{0,3}$ the color 1, edges of $N_{0,4}$ the
 153 color 2, edges of $N_{0,2}$ the color 1 and edges of $N_{2,4}$ the color 3. It is easy to verify
 154 this is indeed a $(1, 2)$ -rainbow-path 3-coloring of G .

155 Case 3. If $n_4 = 1$, $n_3 > 1$ and $n_1 > 1$. Let G' be the complete bipartite graph
 156 $G' = G[N_1 \cup N_3]$. By Theorem 2.3, we can use at most three colors to make G'
 157 $(1, 2)$ -rainbow connected. Then we give all edges of $N_{1,4}$ the color 1, edges of
 158 $N_{0,3}$ the color 2, the edge of $N_{0,4}$ the color 3, edges of $N_{0,2}$ the color 1 and edges
 159 of $N_{2,4}$ the color 2. One can easily check this is a $(1, 2)$ -rainbow-path 3-coloring
 160 of G and we omit the details here.

161 Case 4. If $n_4 = 1$ and $n_3 = 1$. Then we give all edges of $N_{1,3}$ the color 1,
 162 edges of $N_{1,4}$ the color 1, the edge of $N_{0,3}$ the color 2, the edge of $N_{0,4}$ the color
 163 3, edges of $N_{0,2}$ the color 2 and edges of $N_{2,4}$ the color 1. We can again verify

164 the correctness easily.

165 Thus, the proof is completed. \square

166 **Theorem 3.2.** For a graph G , if \overline{G} is triangle-free and $diam(\overline{G}) = 3$, then
 167 $rc_{1,2}(G) \leq 3$.

168 *Proof.* As in the proof of Theorem 3.1, it is easy to show that G is connected.
 169 Choose a vertex x such that $ecc_{\overline{G}}(x) = diam(\overline{G}) = 3$. In addition, N_i , n_i and
 170 $N_{i,j}$ for $0 \leq i \neq j \leq 3$ are defined as in the previous theorem. Again it can be
 171 deduced that there exist all edges of the form uv where $u \in N_0$ and $v \in N_2 \cup N_3$
 172 or where $u \in N_1$ and $v \in N_3$. Since \overline{G} is triangle-free and x has all edges to N_1
 173 in \overline{G} , we know that N_1 is a clique in G . We give a (1, 2)-rainbow-path 3-coloring
 174 for G as follows.

175 We assign to the edges of $N_{0,2}$ the color 3, edges of $N_{0,3}$ the color 1, edges of
 176 $N_{1,3}$ the color 2, any edges of $N_{1,2}$ the color 3, any edges of $N_{2,3}$ the color 2 and
 177 the edges of the induced subgraph $G[N_1]$ the color 3.

178 It is obvious that for any $u \in N_i$ and $v \in N_j (i \neq j)$, there exists a 2-rainbow
 179 path between them. Then it suffices to show that for any $u, v \in N_2$ or N_3 , there
 180 is a 2-rainbow path connecting them in G . First suppose $u, v \in N_2$ and there
 181 is no edge between them in G . Since \overline{G} is triangle-free, there exists a vertex
 182 $w \in N_1$ such that $wv \in G$, then $uxtww$ is a 2-rainbow path between u and v ,
 183 where $t \in N_3$. The situation for any vertices $u, v \in N_3$ can be dealt with similarly.
 184 Thus $rc_{1,2}(G) \leq 3$. \square

185 **Theorem 3.3.** Let G be a connected graph. If \overline{G} is triangle free and $diam(\overline{G}) =$
 186 2 , then $rc_{1,2}(G) \leq 3$.

187 *Proof.* First we choose a vertex x with $ecc_{\overline{G}}(x) = diam(\overline{G}) = 2$. In addition,
 188 N_i , n_i and $N_{i,j}$ are defined as above. Clearly, all edges of the form xv for $v \in N_2$
 189 are present in G . Again N_1 is a clique in G since all edges of the form xu are in
 190 \overline{G} for $u \in N_1$ and \overline{G} is triangle free.

191 Suppose there exists a vertex $v_0 \in N_2$ such that no edge $v_0w (w \in N_1)$ exists
 192 in G . Then v_0 is adjacent to every vertex of N_1 in \overline{G} . Thus, since every vertex of
 193 N_2 has at least one edge to N_1 in \overline{G} , the vertex v_0 must be adjacent to every other
 194 vertex of N_2 in G since otherwise a triangle will appear in \overline{G} . Next we give an edge
 195 coloring f for G . We set $f(xv_0) = 3$, $f(xw) = 2$ and $f(v_0w) = 1 (w \in N_2, w \neq v_0)$.
 196 And we give any edges of $N_{1,2}$ the color 2, the edges of the induced subgraph
 197 $G[N_1]$ the color 3. We only need to consider the 2-rainbow path for $w_1, w_2 \in N_2$
 198 and $w_1v_0xw_2$ clearly suffices.

199 Next suppose there exists no such vertex v_0 . Since G and \overline{G} connected, we
 200 know that $n_1 \geq 2$. We denote by $E_G(v)$ (for $v \in N_2$) the set of edges between
 201 v and vertices of N_1 in G and set $e_G(v) = |E_G(v)|$. Also $e_{\overline{G}}(v)$ (for $v \in N_2$) is
 202 defined similarly. Again we distinguish two cases to analyze.

203 If $|N_1| \geq 3$, for each $u \in N_2$ with $e_G(u) = 1$, we give this edge the color 1.
 204 And for $u \in N_2$ with $e_G(u) \geq 2$, we arbitrarily color these edges but confirm that
 205 $\{f(e) : e \in E_G(u)\} = \{1, 2\}$. Then we set $f(xu) = 2$ ($u \in N_2$) and give the edges
 206 of the induced subgraph $G[N_1]$ the color 3. The rest edges are colored arbitrarily
 207 with colors from $[3]$. Again we only need to consider the 2-rainbow path between
 208 the two non-adjacent vertices $v, w \in N_2$. Since $|N_1| \geq 3$ and v and w are non-
 209 adjacent in G , so $e_{\overline{G}}(v) + e_{\overline{G}}(w) \leq |N_1|$. Thus $e_G(v) + e_G(w) \geq |N_1| \geq 3$ which
 210 implies that one of the vertices v, w , say v , must have $e_G(v) \geq 2$. So there exists
 211 one vertex $s \in N_1$ or two vertices $s, t \in N_1$ such that vs or vs is a 2-rainbow
 212 (v, w) -path in G .

213 If $|N_1| = 2$ and $N_1 = \{s, t\}$. Then each vertex $u \in N_2$ is adjacent to only one
 214 vertex of N_1 in G , either s or t since otherwise $diam(\overline{G}) \geq 3$. We denote by V_1
 215 the set of vertices of N_2 adjacent to s in G , that is, the set adjacent to t in \overline{G} .
 216 And we write V_2 for the rest of the vertices of N_2 . It is easy to see that V_1 and V_2
 217 both induce cliques in G . We then set $f(xu)$ ($u \in V_1$) = 1, $f(us)$ ($u \in V_1$) = 2,
 218 $f(xu)$ ($u \in V_2$) = 2, $f(ut)$ ($u \in V_2$) = 1, $f(st) = 3$ and color any remaining edges
 219 with color 1. It is easy to check that this is a $(1, 2)$ -rainbow-path 3-coloring of
 220 G . Thus the proof is completed. \square

221 4. NORDHAUS-GADDUM-TYPE THEOREM FOR $(1, 2)$ -RAINBOW CONNECTION
 222 NUMBER

223 In this section, we first characterize the graphs on n vertices with $(1, 2)$ -rainbow
 224 connection number $n - 1$ or $n - 2$, which is crucial to investigate the Nordhaus-
 225 Gaddum-Type result for the $(1, 2)$ -rainbow connection number of the graph G .
 226 We use C_n, S_n to denote the cycle and the star graph on n vertices, respectively.
 227 Denote by $T(n_1, n_2)$ the double star in which the degrees of its (adjacent) center
 228 vertices are $n_1 + 1$ and $n_2 + 1$ respectively. Additionally, we write $T^1(n_1, n_2)$ as
 229 the graph obtained by replacing one pendent edge with P_3 in the double star
 230 $T(n_1, n_2)$ and denote the new pendent vertex by u_0 (see Figure 2). Also define
 231 graphs G_1, \dots, G_8 as in Figure 2.

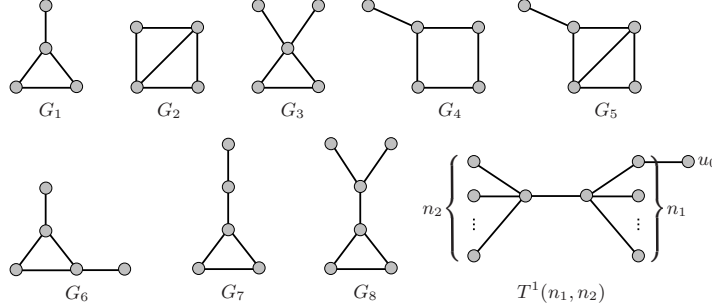


Figure 2. Graphs G_i ($1 \leq i \leq 8$) and $T^1(n_1, n_2)$ in \mathcal{G}_2 .

232 **Theorem 4.1.** Let G be a nontrivial connected graph on $n \geq 2$ vertices. Then
 233 (i) $rc_{1,2}(G) = n - 1$ if and only if $G \in \mathcal{G}_1 = \{S_n (n \geq 2), T(n_1, n_2) (n_1, n_2 \geq$
 234 $1)\}$;
 235 (ii) $rc_{1,2}(G) = n - 2$ if and only if $G \in \mathcal{G}_2 = \{C_3, C_4, C_5, G_1, G_2, G_3, G_4,$
 236 $G_5, G_6, G_7, G_8, T^1(n_1, n_2)\}$.

237 **Proof.** Let G be a connected graph of order $n \geq 2$ and T be a spanning tree of
 238 G . Proposition 2.1 shows that $rc_{1,2}(G) \leq rc_{1,2}(T)$. Now we give proofs for (i)
 239 and (ii) separately.

240 **Proof of (i):** For any graph $G \in \mathcal{G}_1$, we can easily check that $rc_{1,2}(G) =$
 241 $n - 1$. So it remains to verify the converse. Since $rc_{1,2}(G) = n - 1$, we see that
 242 $n - 1 = rc_{1,2}(G) \leq rc_{1,2}(T) \leq n - 1$, i.e., $rc_{1,2}(T) = n - 1$. Thus, by Theorem 2.2,
 243 we know that any spanning tree T of G must be a star or a double star, i.e.,
 244 $T \in \mathcal{G}_1$. Without loss of generality, we can assume that $n_2 \geq n_1$.

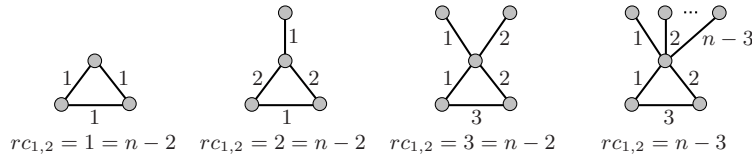


Figure 3. Graphs obtained by adding an edge to S_n ($n \geq 2$).

245 If G is a tree, then $G \in \mathcal{G}_1$. Now we suppose that G is not a tree. Then
 246 since $T \in \mathcal{G}_1$, G can be constructed from S_n ($n \geq 2$) or $T(n_1, n_2)$ ($n_1, n_2 \geq 1$) by
 247 adding edges. Adding an edge to S_n ($n \geq 2$), we will obtain one of the graphs
 248 depicted in Figure 3. However, all the graphs in Figure 3 have (1,2)-rainbow
 249 connection number no more than $n - 2$, which implies that any spanning tree
 250 T of G cannot be a star. Next, we will consider the graphs obtained by adding
 251 edges to $T(n_1, n_2)$ ($n_1, n_2 \geq 1$).

252 If $n_1 = n_2 = 1$, then $T(1, 1) = P_4$. If an edge is added, then we will obtain
 253 either the cycle C_4 or the graph G_1 depicted in Figure 2. Obviously, both C_4
 254 and G_1 have $(1, 2)$ -rainbow connection number $2 = n - 2 < n - 1$. For the
 255 cases $n_1 = 1, n_2 = 2$ and $n_1 = n_2 = 2$, one of the graphs in Figure 4 or 5
 256 will be obtained by adding an edge to $T(1, 2)$ or $T(2, 2)$ respectively. The $(1, 2)$ -
 257 rainbow-path colorings given in Figures 4 and 5 show that all these graphs have
 258 $(1, 2)$ -rainbow connection number no more than $n - 2$.

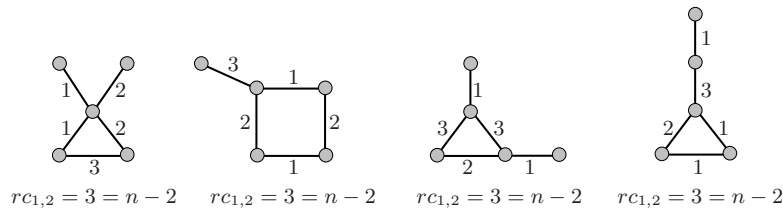


Figure 4. Graphs obtained by adding an edge to $T(1, 2)$.

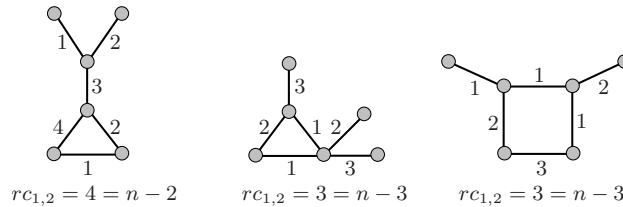


Figure 5. Graphs obtained by adding an edge to $T(2, 2)$.

259 For all the other situations, i.e., $n_1 = 1, n_2 \geq 3$ or $n_1 = 2, n_2 \geq 3$ or
 260 $n_1 \geq 3, n_2 \geq 3$, Figure 6, Figure 7 and Figure 8 give all the graphs obtained by
 261 adding an edge to $T(1, n_2 \geq 3)$, $T(2, n_2 \geq 3)$ and $T(n_1 \geq 3, n_2 \geq 3)$, respectively.
 262 We give $(1, 2)$ -rainbow-path colorings for these graphs showed in Figure 6, Figure
 263 7 and Figure 8. One can easily check that all these graphs have $(1, 2)$ -rainbow
 264 connection number no more than $n - 2$.

265 From the discussions all above, we come to a conclusion that if $rc_{1,2}(G) =$
 266 $n - 1$, then $G \in \mathcal{G}_1 = \{S_n (n \geq 2), T(n_1, n_2)(n_1, n_2 \geq 1)\}$.

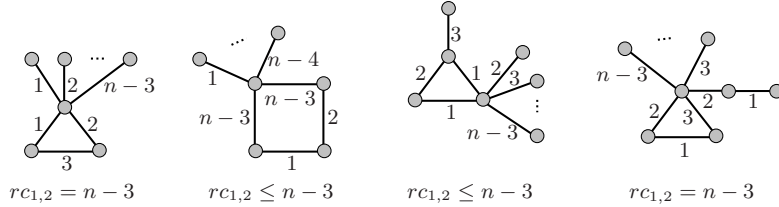


Figure 6. Graphs obtained by adding an edge to $T(1, n_2 \geq 3)$.

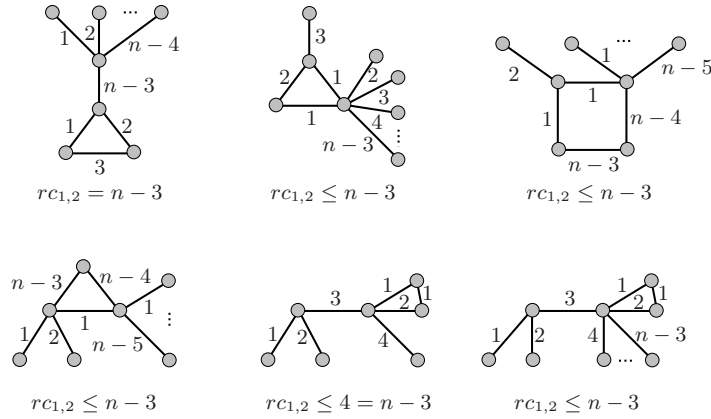


Figure 7. Graphs obtained by adding an edge to $T(2, n_2 \geq 3)$.

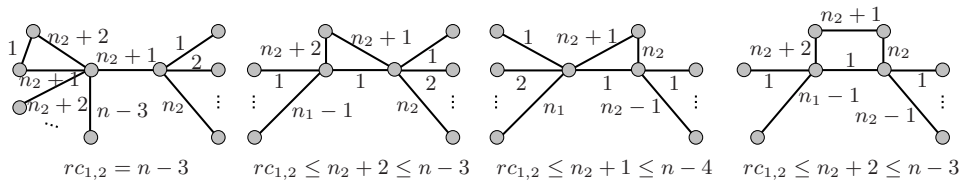


Figure 8. Graphs obtained by adding an edge to $T(n_1 \geq 3, n_2 \geq 3)$.

267 Proof of (ii): One can easily check that $rc_{1,2}(G) = n - 2$ for any graph
 268 $G \in \mathcal{G}_2$. Hence, it remains to show the converse. Since $rc_{1,2}(G) = n - 2$,
 269 then $n - 2 \leq rc_{1,2}(T) \leq n - 1$. Thus, Theorem 2.2 implies that any spanning
 270 tree T of G must be an element of the set $\{S_n (n \geq 2), T(n_1, n_2) (n_1, n_2 \geq$
 271 $1), T^1(n_1, n_2) (n_1, n_2 \geq 1)\}$.

272 If G is a tree, then $G \cong T^1(n_1, n_2) (n_1, n_2 \geq 1) \subseteq \mathcal{G}_2$. Next we sup-
 273 pose that G is not a tree. Then G can be constructed from $S_n (n \geq 2)$,

274 $T(n_1, n_2)$ ($n_1, n_2 \geq 1$) or $T^1(n_1, n_2)$ ($n_1, n_2 \geq 1$) by adding edges. In the proof
 275 of (i), we listed eight graphs with (1,2)-rainbow connection number $n - 2$, which
 276 are $C_3, C_4, G_1, G_3, G_4, G_6, G_7$ and G_8 , respectively. Furthermore, all graphs
 277 obtained by adding an edge to S_n ($n \geq 2$) or $T(n_1, n_2)$ ($n_1, n_2 \geq 1$) except these
 278 eight ones have (1,2)-rainbow connection number no more than $n - 3$. There-
 279 fore, the graph G can be constructed from $C_3, C_4, G_1, G_3, G_4, G_6, G_7, G_8$ or
 280 $T^1(n_1, n_2)$ ($n_1, n_2 \geq 1$) by adding edges.

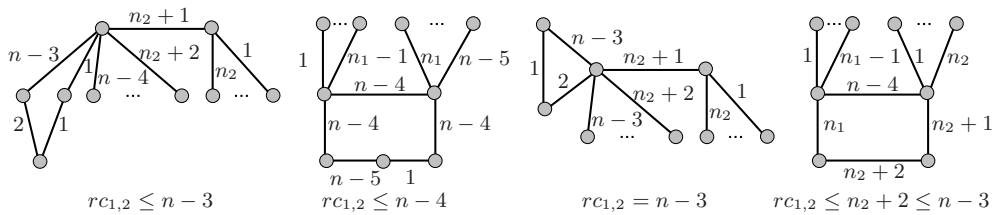


Figure 9. Graphs obtained by adding an edge to $T^1(n_1 \geq 2, n_2 \geq 2)$.

281 Considering graphs constructed from $C_3, C_4, G_1, G_3, G_4, G_6, G_7$ or G_8
 282 by adding edges, we find only another two graphs G_2, G_5 with $rc_{1,2}(G_2) = 2 =$
 283 $|V(G_2)| - 2$ and $rc_{1,2}(G_5) = 3 = |V(G_5)| - 2$. All others have (1,2)-rainbow
 284 connection number no more than $n - 3$. Now we focus on the graphs obtained
 285 by adding an edge to $T^1(n_1, n_2)$ ($n_1, n_2 \geq 1$). For the cases $n_1 = n_2 = 1,$
 286 $n_1 = 1, n_2 \geq 2$ and $n_1 \geq 2, n_2 = 1$, we find another graph C_5 such that
 287 $rc_{1,2}(C_5) = n - 2$ with similar analysis as in the proof of (i). Denote by e the new
 288 edge added to $T(n_1, n_2)$ ($n_1, n_2 \geq 1$) or $T^1(n_1, n_2)$ ($n_1, n_2 \geq 1$) and $T(n_1, n_2) + e,$
 289 $T^1(n_1, n_2) + e$ the newly obtained graphs. For the case $n_1 \geq 2, n_2 \geq 2,$ we
 290 consider cases depending on whether the pendent vertex u_0 in $T^1(n_1, n_2)$ is an
 291 end vertex of e or not. It is obvious that if $u_0 \notin e$, then $T^1(n_1, n_2) + e \setminus u_0 \cong$
 292 $T(n_1, n_2) + e$. The proof of (i) suggests that we only need to consider the case when
 293 $T^1(n_1, n_2) + e \setminus u_0 \cong G_8$. It is easy to check that $rc_{1,2}(T^1(n_1, n_2) + e) = n - 3 < n - 2$
 294 for this case. If $u_0 \in e$, then one of the graphs in Figure 9 will be obtained by
 295 adding an edge to $T^1(n_1, n_2)$. However, all these graphs have (1,2)-rainbow
 296 connection number no more than $n - 3$ (as colored in the figure). Thus, we
 297 complete the proof of (ii). ■

298 **Theorem 4.2.** Let G and \overline{G} be connected graphs on n vertices. Then $rc_{1,2}(G) +$
 299 $rc_{1,2}(\overline{G}) \leq n + 2$ and the equality holds if and only if G or \overline{G} is isomorphic to a
 300 double star, i.e., $G \cong T(n_1, n_2)$ ($n_1, n_2 \geq 1$) or $\overline{G} \cong T(n_1, n_2)$ ($n_1, n_2 \geq 1$).

301 **Proof.** Since both G and \overline{G} are connected, we have $n \geq 4$ and $\Delta(G), \Delta(\overline{G}) \leq$
 302 $n - 2$. Let G be the double star with center vertices u, v and $N_G(u) \setminus v =$
 303 $A, N_G(v) \setminus u = B$. So, $\overline{G}[A \cup B]$ is a clique and $N_{\overline{G}}(u) = B, N_{\overline{G}}(v) = A$.

304 Certainly all edges of G must have distinct colors so we consider colorings of \overline{G} .
 305 Color all edges incident to v with 1, all edges incident to u with 2 and edges in
 306 $\overline{G}[A \cup B]$ with 3. This coloring shows that $rc_{1,2}(\overline{G}) \leq 3$. Since u and v are at
 307 distance 3 in \overline{G} , we get that $rc_{1,2}(\overline{G}) = 3$ and so $rc_{1,2}(G) + rc_{1,2}(\overline{G}) = n + 2$. Now,
 308 we must show that $rc_{1,2}(G) + rc_{1,2}(\overline{G}) < n + 2$ for all other connected graphs G
 309 and \overline{G} . One can easily check that this is true for $n = 4, 5$. So we consider $n \geq 6$
 310 in the following.

311 If G or \overline{G} has $(1, 2)$ -rainbow connection number $n - 1$ or $n - 2$, i.e., $G \in$
 312 $\mathcal{G}_1 \cup \mathcal{G}_2 \setminus T(n_1, n_2)$ ($n_1, n_2 \geq 1$) or $\overline{G} \in \mathcal{G}_1 \cup \mathcal{G}_2 \setminus T(n_1, n_2)$ ($n_1, n_2 \geq 1$), then
 313 $rc_{1,2}(G) + rc_{1,2}(\overline{G}) < n + 2$ by simple examination. Hence, we can assume that
 314 $2 \leq rc_{1,2}(G) \leq n - 3$ and $2 \leq rc_{1,2}(\overline{G}) \leq n - 3$.

315 Suppose first that both G and \overline{G} are 2-connected. For $n = 6$, it is easy to
 316 check that $rc_{1,2}(G) + rc_{1,2}(\overline{G}) \leq 3 + 3 < 8 = n + 2$. And for $n \geq 9$, Theorem 2.4
 317 implies that $rc_{1,2}(G) + rc_{1,2}(\overline{G}) \leq 5 + 5 = 10 < 11 \leq n + 2$. Then what remains
 318 are the cases $n = 7$ and $n = 8$. For convenience, we denote the circumference of
 319 G by $c(G)$. We first suppose $n = 7$. Obviously $4 \leq c(G) \leq 7$. If $c(G) = 7$, then
 320 C_7 is a spanning subgraph of G and $rc_{1,2}(G) \leq rc_{1,2}(C_7) = 3$. If $c(G) = 6$, then
 321 G has a traceable spanning subgraph which is composed of C_6 by adding an open
 322 ear of length two. Thus, $rc_{1,2}(G) \leq 3$. If $c(G) = 5$, then G contains H_1^7 or H_2^7 (see
 323 Figure 10) as a spanning subgraph. Since H_1^7 is traceable and $rc_{1,2}(H_2^7) \leq 3$, then
 324 $rc_{1,2}(G) \leq 3$. For the case $c(G) = 4$, G contains $K_{2,5}$ as its spanning subgraph,
 325 which contradicts the assumption that \overline{G} is connected. Therefore, all 2-connected
 326 graphs of order $n = 7$ with connected complementary graphs has $(1, 2)$ -rainbow
 327 connection number no more than 3. Hence, $rc_{1,2}(G) + rc_{1,2}(\overline{G}) \leq 3 + 3 < 9 = n + 2$.
 328 With similar analysis as for the situation $n = 7$, we can also draw the conclusion
 329 that $rc_{1,2}(G) + rc_{1,2}(\overline{G}) \leq 3 + 3 < 10 = n + 2$ for $n = 8$.

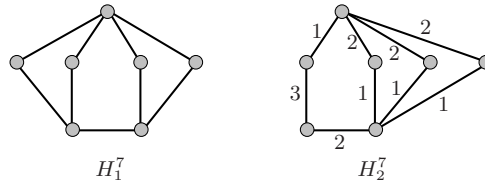


Figure 10. Graphs for the proof of Theorem 4.2.

330 Now we consider the case where at least one of G and \overline{G} has at least one cut
 331 vertex. Without loss of generality, suppose that G has at least one cut vertex.
 332 We distinguish the following two cases.

333 **Case 1:** G has a cut vertex u such that $G - u$ has at least three components.

334 Let G_1, G_2, \dots, G_k ($k \geq 3$) be the components of $G - u$, and let n_i be
 335 the number of vertices of G_i for $i = 1, 2, \dots, k$ with $n_1 \leq n_2 \leq \dots \leq n_k$. Since

336 $\Delta(G) \leq n - 2$, then $n_k \geq 2$. The complementary graph $\overline{G} \setminus u$ contains $K_{n_k, n - n_k - 1}$
 337 as a spanning subgraph and both $n_k \geq 2$ and $n - n_k - 1 \geq 2$. By Theorem 2.3,
 338 there exists a $(1, 2)$ -rainbow-path 3-coloring of $K_{n_k, n - n_k - 1}$ using elements in [3].
 339 Then, if we color the edges incident to u in \overline{G} with color 4, then we obtain a
 340 $(1, 2)$ -rainbow-path 4-coloring of \overline{G} . Therefore, $rc_{1,2}(G) + rc_{1,2}(\overline{G}) \leq (n - 3) + 4 =$
 341 $n + 1 < n + 2$.

342 **Case 2:** Each cut vertex u of G satisfies that $G - u$ has only two components.
 343 Let G_1, G_2 be the two components of $G - u$, and let n_i be the number of
 344 vertices of G_i for $i = 1, 2$ with $n_1 \leq n_2$. Since $n \geq 6$, then $n_2 \geq 2$.

345 **Subcase 2.1:** $n_1 \geq 2$. The complementary graph $\overline{G} \setminus u$ contains K_{n_1, n_2} as
 346 a spanning subgraph. By Theorem 2.3, there is a coloring of K_{n_1, n_2} with colors
 347 in [3], and we color the edges incident to u in \overline{G} with color 4. This gives a $(1, 2)$ -
 348 rainbow-path 4-coloring of \overline{G} . As a result, $rc_{1,2}(G) + rc_{1,2}(\overline{G}) \leq n - 3 + 4 =$
 349 $n + 1 < n + 2$ as desired.

350 **Subcase 2.2:** $n_1 = 1$, i.e., each cut vertex of G is incident with a pendent
 351 edge.

352 Since $n \geq 6$, then $n_2 \geq 4$. Let $\{u_1, u_2, \dots, u_\ell\}$ be the set of all cut vertices of
 353 G , and let $u_1v_1, u_2v_2, \dots, u_\ell v_\ell$ be the pendent edges incident to these cut vertices
 354 in G . Set $H = G \setminus \{v_1, v_2, \dots, v_\ell\}$, so H is 2-connected. By Theorem 2.4, we
 355 know that $rc_{1,2}(H) \leq 5$.

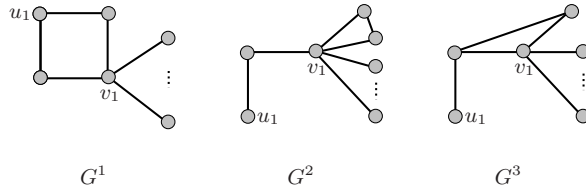


Figure 11. Graphs for the proof of Theorem 4.2.

356 If $\ell \geq 2$, then $\overline{G} \setminus \{u_1, u_2\}$ contains $K_{2, n - 4}$ as a spanning subgraph. By
 357 Theorem 2.3, there is a coloring of $K_{2, n - 4}$ using colors from [3], and we color
 358 the edges incident to u_1 or u_2 in \overline{G} with color 4. One can easily check this is a
 359 $(1, 2)$ -rainbow-path 4-coloring of \overline{G} . Thus, $rc_{1,2}(G) + rc_{1,2}(\overline{G}) \leq (n - 3) + 4 =$
 360 $n + 1 < n + 2$.

361 Thus, we may assume $\ell = 1$, so $rc_{1,2}(G) \leq rc_{1,2}(H) + 1 \leq 6$. Since \overline{G} is
 362 connected, then $|N_{\overline{G}}(u_1)| \geq 1$ and \overline{G} contains G^1, G^2 or G^3 (see Figure 11) as
 363 a spanning subgraph. We first suppose that G^1 is a spanning subgraph of \overline{G} .
 364 Let H_1, \dots, H_5 be as in Figure 12. If $\overline{G} \cong H_1$, then it is easy to verify that
 365 $rc_{1,2}(G) + rc_{1,2}(\overline{G}) = 3 + 3 = 6 < 8 = n + 2$ for $n = 6$ and $rc_{1,2}(G) + rc_{1,2}(\overline{G}) =$
 366 $4 + 3 = 7 < 9 = n + 2$ for $n = 7$. If $\overline{G} \cong H_1$ and $n \geq 8$, the coloring depicted in
 367 Figure 12 shows that $rc_{1,2}(\overline{G}) \leq n - 4$. In addition, if we color u_1v_1 with color 1,

368 other edges incident to u_1 with color 2 and all other edges color 3 in G , then we
 369 get a $(1, 2)$ -rainbow-path 3-coloring of G . Consequently, $rc_{1,2}(G) + rc_{1,2}(\overline{G}) \leq$
 370 $(n - 4) + 3 = n - 1 < n + 2$. Next we consider the situation $H_1 \subsetneq \overline{G}$. Adding an
 371 edge to G^1 , we arrive at some graph in $\{H_2, H_3, H_4, H_5\}$ depicted in Figure 12.
 372 If $\overline{G} \cong H_5$, then $rc_{1,2}(\overline{G}) \leq n - 4$ by the coloring in Figure 12. In order to
 373 color G , we color u_1v_1 with color 1 and other edges incident to u_1 with color 2.
 374 Additionally, we color edges incident to x (y is the same) with colors 1, 3 such
 375 that both 1 and 3 appear and all other edges with color 2 in G . Thus, we get a
 376 $(1, 2)$ -rainbow-path 3-coloring of G and so $rc_{1,2}(G) + rc_{1,2}(\overline{G}) \leq 3 + (n - 4) =$
 377 $n - 1 < n + 2$. If \overline{G} is not isomorphic to H_5 , then \overline{G} has H_2, H_3 or H_4 as its
 378 spanning subgraph. As is depicted in Figure 12, $rc_{1,2}(H_i) \leq n - 5$ ($2 \leq i \leq 4$) for
 379 $n \geq 9$. Therefore, $rc_{1,2}(G) + rc_{1,2}(\overline{G}) \leq 6 + (n - 5) = n + 1 < n + 2$ for $n \geq 9$. For
 380 the situation $6 \leq n \leq 8$, we can verify the result depending on the circumference
 381 of $H = G \setminus u_1$ similarly as above. Hence, if G^1 is a spanning subgraph of G ,
 382 then $rc_{1,2}(G) + rc_{1,2}(\overline{G}) < n + 2$. By the same method, we can draw the same
 383 conclusion for G^2 or G^3 as a spanning subgraph of G . Therefore, we complete
 384 the proof.

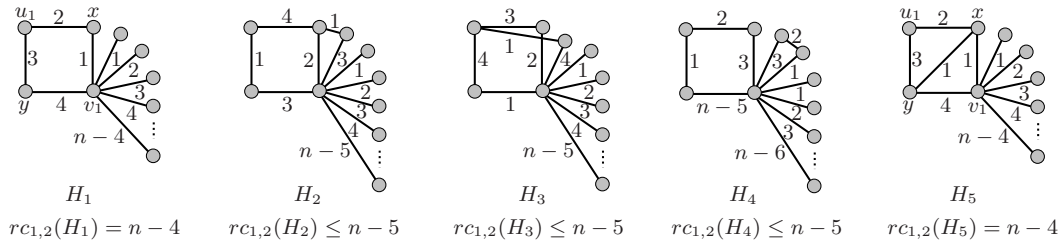


Figure 12. Graphs for the proof of Theorem 4.2.

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