Bull. Korean Math. Soc.  ${\bf 0}$  (0), No. 0, pp. 1–0 http://dx.doi.org/10.4134/BKMS.b160296 pISSN: 1015-8634 / eISSN: 2234-3016

# ON THE MINIMUM WEIGHT OF A 3-CONNECTED 1-PLANAR GRAPH

### ZAI PING LU AND NING SONG

ABSTRACT. A graph is called 1-*planar* if it can be drawn in the Euclidean plane  $\mathbb{R}^2$  such that each edge is crossed by at most one other edge. The *weight* of an edge is the sum of degrees of two ends. It is known that every planar graph of minimum degree  $\delta \geq 3$  has an edge with weight at most 13. In the present paper, we show the existence of edges with weight at most 25 in 3-connected 1-planar graphs.

### 1. Introduction

All graphs considered in this paper are finite, simple, undirected and connected. The notations and terminology used but undefined here can be found in the book of Bondy and Murty [1].

Let G be a graph, and denote the vertex set and edge set of G by V(G) and E(G), respectively. We denote the degree of a vertex  $v \in V(G)$  by  $\deg(v)$ . For a positive integer k, we say that a vertex  $v \in V(G)$  is a k-vertex,  $k^+$ -vertex and  $k^-$ -vertex if  $\deg(v) = k$ ,  $\deg(v) \ge k$  and  $\deg(v) \le k$ , respectively. For positive integers a and b, if  $xy \in E(G)$  with  $\deg(x) = a$  and  $\deg(y) = b$ , then we say that xy is of type (a, b) or xy is an (a, b)-edge, and say x is an a-neighbour of y. For a tuple denoted type of an edge, we sometimes use  $a^+$  and  $a^-$  for some entry in the tuple if the corresponding vertex is of degree  $\ge a$  and  $\le a$ , respectively.

For an edge  $xy \in E(G)$ , its weight is the sum of degrees of two ends, denoted by w(xy). If  $\min_{e \in E(G)} w(e) = w$ , then we say that G has the minimum weight w, and say the edges with weight w are light edges of G. (In some earlier papers, "light edge" was defined as an edge with weight at most 13. But in [8], the meaning of "light edge" was changed, and in the present paper, we use the definition in [8].)

The interesting for light edges stemmed from the famous Kotzig's Theorem [10]. It states that the minimum weight of every 3-dimension polyhedral graph (i.e., 3-connected planar graph) is at most 13, and if the graph has no 3-vertices

1

Key words and phrases. 1-planar graph, weight, light edge.

©0 Korean Mathematical Society

Received April 7, 2016; Revised July 29, 2016.

 $<sup>2010\</sup> Mathematics\ Subject\ Classification.\ 05C10,\ 68R10.$ 

then the minimum weight of it is at most 11. Furthermore these bounds are sharp. Afterward, this theorem is developed by many graph-theorists. According to Grünbaum (see [7]), Erdős conjectured that Kotzig's conclusion holds for every planar graph of minimum degree at least 3, which was proved by Barnette (but never published, see [7]) and by Borodin [3] in 1989 independently. Readers may consult [9] for more results on this topic.

This paper focuses on light edges of 1-planar graphs. A graph G is called 1-planar if it can be drawn in the plane such that each edge is crossed by at most one other edge, while the drawing is called a 1-planar drawing of G and a crossing point is called by a crossing for short. Note that we assume that the interiors of any two edges are not tangent and any three distinct edges do not intersect at a crossing in common throughout this paper.

The conception of 1-planar graphs was introduced by Ringle [2] in the solution of simultaneous vertex-face coloring problem. Since then, 1-planar graphs have been studied extensively and lots of interesting results have appeared on acyclic coloring [4], decomposition [5], light subgraphs [11] and edge coloring [12, 13]. Especially, Fabrici and Madaras [6] investigated the local structure of 1-planar graph and they showed the following result which implies that each light edge in a 3-connected 1-planar graph has weight at most 40.

**Theorem 1.1** ([6]). Every 3-connected 1-planar graph G contains an edge with both ends of degree at most 20 in G. The bound 20 is the best possible.



In [6], the authors gave an example to show the sharpness of the bound 20 as follows: for each triangle face f of the icosahedron, insert three new vertices in the interior of f, add 9 edges joining the new vertices and the vertices of f, see Fig. 1. Then the resulting graph has only edges of type (3, 20) and (20, 20). This example also indicates that 40 might not be the best bound of the minimum weight of 3-connected 1-planar graphs.

In 2012, Hudák and Sugerek [8] proved the following theorem.

**Theorem 1.2** ([8]). Every 1-planar graph G of minimum degree  $\delta \ge 4$  contains an edge of type  $(4, 13^-)$ ,  $(5, 9^-)$ ,  $(6, 8^-)$  or (7, 7). In particular, the minimum weight of G is at most 17, and it is at most 14 when  $\delta > 4$ . Based on the example mentioned above, the authors of [8] proposed the following conjecture.

**Conjecture 1.3** ([8]). Every 1-planar graph of minimum degree  $\delta \ge 3$  contains an edge of type  $(3, 20^{-}), (4, 13^{-}), (5, 9^{-}), (6, 8^{-})$  or (7, 7).

Motivated by this conjecture, we prove the following theorem in the present paper.

**Theorem 1.4.** Every 3-connected 1-planar graph G contains an edge of type  $(3, 22^{-}), (4, 13^{-}), (5, 9^{-}), (6, 8^{-})$  or (7, 7). In particular, the minimum weight of G is at most 25.

# 2. Proof of Theorem 1.4

Suppose Theorem 1.4 does not hold. Let G be a counterexample to Theorem 1.4 with n vertices, such that G has the largest number of edges among all counterexamples with n vertices.

Define a function  $\phi$  on  $\{3, 4, 5, \ldots\}$  such that  $\phi(\cdot)$  satisfies the following table.

| d         | 3  | 4  | 5  | 6 | $\geq 7$ |
|-----------|----|----|----|---|----------|
| $\phi(d)$ | 23 | 14 | 10 | 9 | 8        |

Noting G is a counterexample and the minimum degree of G is at least 3 since G is 3-connected, the following observation holds clearly.

**Observation 2.1.** For every edge  $uv \in E(G)$ , if  $\deg(u) = d \le 7$ , then  $\deg(v) \ge \phi(d)$ , *i.e.*, every edge of G is of type  $(3, 23^+)$ ,  $(4, 14^+)$ ,  $(5, 10^+)$ ,  $(6, 9^+)$  or  $(7^+, 8^+)$ .

Note that a 1-planar graph may have different 1-planar drawings. We use  $\mathcal{D}(G)$  to denote the set of 1-planar drawings of G with the least number of crossings. Take  $D \in \mathcal{D}(G)$ . Then it is easy to see that no edge is self-crossing and adjacent edges (i.e., edges with a common end) do not cross in D. By the above assumptions, G and D has the following properties.

- (I) G is a 3-connected 1-planar n-order graph of the minimum degree  $\delta \geq 3$ ;
- (II) every edge of G is of type  $(3, 23^+)$ ,  $(4, 14^+)$ ,  $(5, 10^+)$ ,  $(6, 9^+)$  or  $(7^+, 8^+)$ ;
- (III) for all graphs satisfying above (I) and (II), the number of edges of G is maximum;
- (IV) D is a 1-planar drawing of G and has as few crossings as possible;
- (V) no edge is self-crossing and adjacent edges do not cross in D.

For  $D \in \mathcal{D}(G)$ , we can get a plane graph, denoted by  $D^{\times}$  and called *associated plane graph* of D, by replacing every crossing by a new 4-vertex. In  $D^{\times}$ , a vertex is called *false* if it corresponds to a crossing of D, and an edge or face is called *false* if it is incident with some false vertex. A vertex, edge or face is called *true* if it is not false.

Denote by  $F(D^{\times})$  the set of faces of  $D^{\times}$ . Since G is 3-connected, it is easy to see  $D^{\times}$  is at least 2-connected. Then for every  $f \in F(D^{\times})$ , the boundary of

f is a cycle, denoted by  $\partial f$ . The length of  $\partial f$  is called the *degree* of f, denoted by deg(f). We say a face f is an r-face,  $r^{-}$ -face and  $r^{+}$ -face if deg(f) = r, deg(f)  $\leq r$  and deg(f)  $\geq r$ , respectively.

Let f be an r-face with vertices  $v_1, v_2, \ldots, v_r$  in a cyclic order. Then we write  $f = [v_1v_2\cdots v_r]$ . Furthermore, if  $\deg(v_i) = d_i$ , then we say that f is of type  $(d_1, d_2, \ldots, d_r)$  or f is a  $(d_1, d_2, \ldots, d_r)$ -face. In a tuple denoting the type of a face, we sometimes use  $a^+$  and  $a^-$  for some entry in the tuple if the corresponding vertex is of degree  $\geq a$  and  $\leq a$ , respectively. For a false face or false edge, in the type tuple of it, we always write an entry as the symbol  $\otimes$  if its corresponding vertex is false.

Next assign charge on the vertices and faces of  $D^{\times}$ . Define the initial charge function  $ch_0(\cdot)$  as follows:

$$ch_0(x) = \deg(x) - 4$$
 for  $x \in V(D^{\times}) \cup F(D^{\times})$ .

By Euler's Formula, we have

$$\sum_{v \in V(D^{\times})} (\deg(v) - 4) + \sum_{f \in F(D^{\times})} (\deg(f) - 4) = -8.$$

Thus

$$\sum_{V(D^{\times})\cup F(D^{\times})} ch_0(x) = -8.$$

Next we use a two-step discharging process to finish our proof. Denote by  $ch_i(x)$  the charge of x after *i*th discharging where i = 1, 2. We shall show that  $ch_2(x) \ge 0$  for every  $x \in V(D^{\times}) \cup F(D^{\times})$ .

### 2.1. First-step

Some work in the first-step is similar with [8]. But some difference exists between [8] and the present paper. For completeness, we shall write this part as follows.

# The discharging rules of the first-step:

 $x \in$ 

**Rule F0:** The charge of every 4<sup>+</sup>-face and every 4-vertex is not changed.

**Rule F1:** Let  $d \in [5, 8]$  be an integer. Assume that v is a d-vertex and f is an incident 3-face of v.

- Subrule F1.1: If f is false, then move  $\frac{d-4}{2 \cdot \lfloor d/2 \rfloor}$  units charge from v to f.
- Subrule F1.2: If f is true and  $d \in [5,7]$ , then move no charge from v to f.
- Subrule F1.3: If f is true and d = 8, then move  $\frac{1}{2}$  unit charge from v to f.

**Rule F2:** Assume that v is a 9-vertex and f is an incident 3-face of v.

• Subrule F2.1: If f is of type  $(9, 6, \otimes)$ , then move  $\frac{2}{3}$  units charge from v to f.

ON THE MINIMUM WEIGHT OF A 3-CONNECTED 1-PLANAR GRAPH

- Subrule F2.2: If f is of type  $(9, 7^+, \otimes)$ , then move  $\frac{1}{2}$  unit charge from v to f.
- Subrule F2.3: If f is of type  $(9, 9^+, 9^+)$ , then move  $\frac{1}{3}$  unit charge from v to f.
- Subrule F2.4: If f is true but not of type  $(9, 9^+, 9^+)$ , then move  $\frac{1}{2}$  unit charge from v to f.

**Rule F3:** Let  $d \ge 10$  be an integer. Assume that v is a d-vertex and f is an incident 3-face of v.

- Subrule F3.1: If f is of type  $(d, 3, \otimes)$ , then move 1 unit charge from v to f.
- Subrule F3.2: If f is of type  $(d, 4, \otimes)$ , then move 1 unit charge from v to f.
- Subrule F3.3: If f is of type  $(d, 5, \otimes)$ , then move  $\frac{3}{4}$  units charge from v to f.
- Subrule F3.4: If f is of type  $(d, 6, \otimes)$ , then move  $\frac{2}{3}$  units charge from v to f.
- Subrule F3.5: If f is of type  $(d, 7^+, \otimes)$ , then move  $\frac{1}{2}$  unit charge from v to f.
- Subrule F3.6: If f is true, then move  $\frac{1}{2}$  unit charge from v to f.

**Lemma 2.2.** Let f be a true 3-face of  $D^{\times}$ . Then  $ch_1(f) \ge 0$ .

*Proof.* Assume that  $f = [u_1u_2u_3]$  and  $\deg(u_1) \leq \deg(u_2) \leq \deg(u_3)$ . Denote  $d_i = \deg(u_i)$  for i = 1, 2, 3.

**Case 1.** Assume  $3 \le d_1 \le 7$ . Then  $d_2, d_3 \ge \phi(d_1)$  by Observation 2.1. If  $3 \le d_1 \le 5$ , then  $d_2, d_3 \ge 10$ , thus by Subrule F3.6,  $\frac{1}{2}$  is moved from  $u_2$  and  $u_3$  to f, respectively, and it follows that  $ch_1(f) = (3-4) + \frac{1}{2} + \frac{1}{2} = 0$ . If  $d_1 = 6$ , then  $d_2, d_3 \ge 9$ , thus by Subrules F2.4 and F3.6,  $\frac{1}{2}$  is moved from  $u_2$  and  $u_3$  to f, respectively, and it follows that  $ch_1(f) = (3-4) + \frac{1}{2} + \frac{1}{2} = 0$ . If  $d_1 = 7$ , then  $d_2, d_3 \ge 8$ , thus by Subrules F1.3, F2.4 and F3.6,  $\frac{1}{2}$  is moved from  $u_2$  and  $u_3$  to f, respectively, and it follows that  $ch_1(f) = (3-4) + \frac{1}{2} + \frac{1}{2} = 0$ .

**Case 2.** Assume  $d_1 \geq 8$ . If  $d_1 = 8$ , then  $d_2, d_3 \geq 8$ , thus by Subrules F1.3, F2.4 and F3.6,  $\frac{1}{2}$  is moved from  $u_2$  and  $u_3$  to f, respectively, and it follows that  $ch_1(f) \geq (3-4) + 1 = 0$ . If  $d_1 = 9$ , then  $d_2, d_3 \geq 9$ , thus by Subrules F2.3 and F3.6, at least  $\frac{1}{3}$  is moved from each  $u_i$  to f for i = 1, 2, 3, and it follows that  $ch_1(f) \geq (3-4) + 3 \cdot \frac{1}{3} = 0$ . If  $d_1 \geq 10$ , then  $d_2, d_3 \geq 10$ , thus by Subrule F3.6,  $\frac{1}{2}$  is moved from each  $u_i$  to f for i = 1, 2, 3, and it follows that  $ch_1(f) \geq (3-4) + 3 \cdot \frac{1}{3} = 0$ . If  $d_1 \geq 10$ , then  $d_2, d_3 \geq 10$ , thus by Subrule F3.6,  $\frac{1}{2}$  is moved from each  $u_i$  to f for i = 1, 2, 3, and it follows that  $ch_1(f) \geq (3-4) + 3 \cdot \frac{1}{2} > 0$ .

**Lemma 2.3.** Let f be a false 3-face of  $D^{\times}$ . Then  $ch_1(f) \ge 0$ .

*Proof.* Assume that  $f = [u_1u_2u_3]$ . Since f is false and D is a 1-planar drawing, assume that  $u_1$  is false and  $u_2$  and  $u_3$  are true. Denote  $d_i = \deg(u_i)$  for i = 2, 3 and assume  $d_2 \leq d_3$ .

**Case 1.** Assume  $3 \leq d_2 \leq 7$ . Then  $d_3 \geq \phi(d_2)$  by Observation 2.1. If  $d_2 = 3$ , then  $d_3 \geq 23$  and by Subrule F3.1,  $u_3$  sends 1 to f, thus  $ch_1(f) = (3-4)+1=0$ . If  $d_2 = 4$ , then  $d_3 \geq 14$  and by Subrule F3.2,  $u_3$  sends 1 to f, thus  $ch_1(f) = (3-4)+1=0$ . If  $d_2 = 5$ , then  $d_3 \geq 10$ , thus  $u_3$  sends  $\frac{3}{4}$  to f by Subrule F3.3 and  $u_2$  sends  $\frac{1}{4}$  to f by Subrule F1.1, and it follows that  $ch_1(f) \geq (3-4) + \frac{3}{4} + \frac{1}{4} = 0$ . If  $d_2 = 6$ , then  $d_3 \geq 9$ , thus  $u_2$  sends  $\frac{1}{3}$  to f by Subrule F1.1 and  $u_3$  sends  $\frac{2}{3}$  to f by Subrule F2.1 and F3.4, and it follows that  $ch_1(f) \geq (3-4) + \frac{1}{3} + \frac{2}{3} = 0$ . If  $d_2 = 7$ , then  $d_3 \geq 8$ , thus  $u_2$  sends  $\frac{1}{2}$  to f by Subrule F1.1 and  $u_3$  sends  $\frac{1}{2}$  to f by Subrule F1.1, F2.2 and F3.5, and it follows that  $ch_1(f) \geq (3-4) + \frac{1}{2} + \frac{1}{2} = 0$ .

**Case 2.** Assume  $d_2 \ge 8$ . If  $d_2 = 8$ , then  $d_3 \ge 8$ , thus  $u_2$  sends  $\frac{1}{2}$  to f by Subrule F1.1 and  $u_3$  sends  $\frac{1}{2}$  to f by Subrules F1.1, F2.2 and F3.5, and it follows that  $ch_1(f) \ge (3-4) + \frac{1}{2} + \frac{1}{2} = 0$ . If  $d_2 = 9$ , then  $d_3 \ge 9$ , thus  $u_2$  sends  $\frac{1}{2}$  to f by Subrule F2.2 and  $u_3$  sends  $\frac{1}{2}$  to f by Subrules F2.2 and F3.5, and it follows that  $ch_1(f) \ge (3-4) + \frac{1}{2} + \frac{1}{2} = 0$ . If  $d_2 \ge 10$ , then  $d_3 \ge 10$ , thus by Subrule F3.5,  $u_2$  and  $u_3$  send  $\frac{1}{2}$  to f, respectively, and it follows that  $ch_1(f) \ge (3-4) + \frac{1}{2} + \frac{1}{2} = 0$ .

**Lemma 2.4.** Let v be a d-vertex of  $D^{\times}$  where  $4 \leq d \leq 8$ . Then  $ch_1(v) \geq 0$ .

*Proof.* If d = 4, then the charge of v is not changed by Rule F0, thus  $ch_1(v) = ch_0(v) = 4-4 = 0$ . Assume  $5 \le d \le 8$ . By Rule F0, v does not send any charge to any incident 4<sup>+</sup>-face. Thus it is sufficient to consider the incident 3-faces of v. If d = 8, then v sends  $\frac{1}{2}$  to every incident 3-face by Subrules F1.1 and F1.3, thus  $ch_1(v) = (8-4) - 8 \cdot \frac{1}{2} = 0$ . Assume  $5 \le d \le 7$ . By Subrules F1.1 and F1.2, v sends  $\frac{d-4}{2 \cdot \lfloor d/2 \rfloor}$  to every incident false 3-face and does not send any charge to any true incident 3-face. Since D is a 1-planar drawing, v has at most  $2 \cdot \lfloor \frac{d}{2} \rfloor$  incident false 3-faces. It follows that  $ch_1(v) \ge (d-4) - (2 \cdot \lfloor \frac{d}{2} \rfloor) \cdot \frac{d-4}{2 \cdot \lfloor d/2 \rfloor} = 0$ . □

**Lemma 2.5.** Let  $u \in V(D^{\times})$  and  $uv_1, uv_2 \in E(D^{\times})$  such that no edge of  $D^{\times}$  incident with u lies between  $uv_1$  and  $uv_2$  (in a cyclic order). Denote  $d_i = \deg(v_i)$  for i = 1, 2 and assume  $d_1 \geq d_2$ . If  $d_1+1 \geq \phi(d_2+1)$ , then  $v_1v_2 \in E(G)$  without crossing and  $uv_1v_2u$  bounds a face of  $D^{\times}$ .

Proof. Suppose that  $v_1$  is not adjacent to  $v_2$  in G. Add a new edge to G joining  $v_1$  and  $v_2$ , and draw this edge along a route closed enough to the simple curve formed by  $v_1u$  and  $uv_2$ , see the thin curve in Fig. 2. Denote the resulting graph and drawing by  $G_1$  and  $D_1$ , respectively. Note that  $uv_1$  and  $uv_2$  are not crossed and no edge incident with u lies between  $uv_1$  and  $uv_2$  in  $D^{\times}$ . Then the new edge  $v_1v_2$  has no crossing in  $D_1$ . Thus  $D_1$  is a 1-planar drawing and  $G_1$  is a 1-planar graph. Since  $d_1 + 1 \ge \phi(d_2 + 1)$ , the new edge  $v_2v_1$  is of type  $(d_2 + 1, \phi(d_2 + 1)^+)$  in  $G_1$ , thus  $G_1$  still is a counterexample to Theorem 1.4. But  $G_1$  has more one edge than G, which contradicts the maximality of G. Thus  $v_1v_2 \in E(G)$ .



Fig. 2

Consider the closed simple curve formed by  $v_1u$ ,  $uv_2$  and  $v_2v_1$ , denoted by C. Suppose some edge e of G crossing  $v_1v_2$  in D. Since no edge incident with u lies between  $uv_1$  and  $uv_2$ , e is not adjacent to u. Thus e has an end w located in the interior of C. Redrawing  $v_1v_2$  along a route closed enough to the simple curve formed by  $v_1u$  and  $uv_2$ . Then we get a 1-planar drawing which has less crossings than D, a contradiction. Thus  $v_1v_2$  has no crossing.

Considering stereographic projection, assume that there is some true vertex outside C. Suppose some true vertex lies inside C. Note that  $uv_1$ ,  $uv_2$  and  $v_1v_2$  are not crossed and no edge incident with u lies between  $uv_1$  and  $uv_2$ . If remove  $v_1$  and  $v_2$  then the resulting graph is not connected, which contradicts the 3-connectivity of G. Thus no true vertex lies inside C. It follows that no false vertex lies inside C since D is 1-planar. Since  $uv_1$ ,  $uv_2$  and  $v_1v_2$  are not crossed, then no edge of G crosses C. Thus C bounds a face of  $D^{\times}$ .

Considering stereographic projection, in this paper, we always assume that the face bounded by  $uv_1v_2u$  is an inner-face.

Take an integer  $d_0 \in [3,7]$ . Let  $u \in V(G)$  with  $\deg(u) \ge \phi(d_0)$ . Denote by F(u) the set of incident faces of u. Define

 $F_1(u, d_0) = \{ f \in F(u) \mid f \text{ is of type } (\deg(u), d, \otimes) \text{ for every } d \in [d_0, 7] \}$  and

 $F_2(u, d_0) = \{ f \in F(u) \mid f \text{ is of type } (\deg(u), \phi(d)^+, \otimes) \text{ for every } d \in [d_0, 7] \}.$ 

**Corollary 2.6.** Let  $d_0 \in [3,7]$  and  $u \in V(G)$  with  $\deg(u) \ge \phi(d_0)$ . For every  $f \in F_1(u, d_0)$ , there is exactly one  $f' \in F_2(u, d_0)$  neighbouring f; and for every  $f' \in F_2(u, d_0)$ , there is at most one face  $f \in F_1(u, d_0)$  neighbouring f'.

Proof. Take  $d \in [d_0, 7]$ . Assume  $f = [uvx] \in F_1(u, d_0)$  where v and x are dand false neighbour of u in  $D^{\times}$ , respectively. Assume that vx is contained in an edge vw of G in D. Since  $d, d_0 \leq 7$ ,  $\deg(w) \geq \phi(d) \geq 8$  and  $\deg(u) \geq \phi(d_0) \geq 8$ by Observation 2.1. Thus, by Lemma 2.5,  $uw \in E(D^{\times})$  and cycle uxwu bounds a face, denoted by f'. Clearly,  $f' \in F_2(u, d_0)$ . Since G is simple, the neighbour of f sharing vx cannot incident with u, thus it is not a member of  $F_2(u, d_0)$ . Noting  $d \leq 7 < 8 \leq \phi(d')$  for every  $d' \in [d_0, 7]$ , the neighbour of f sharing uv is not a member of  $F_2(u, d_0)$ . Thus there is exactly one  $f' \in F_2(u, d_0)$ neighbouring f. Similarly, for every  $f' \in F_2$ , there is at most one face  $f \in$  $F_1(u, d_0)$  neighbouring f'.

**Lemma 2.7.** Let  $d_0 \in [3,7]$  and  $u \in V(G)$  with  $\deg(u) = r \ge \phi(d_0)$ . If u has exactly s incident  $4^+$ -faces, then  $|F_1(u, d_0)| \le \lfloor \frac{r-s}{2} \rfloor$ . Further, if s = 0 and  $r \equiv 2 \pmod{4}$ , then  $|F_1(u, d_0)| \le \frac{r}{2} - 1$ .

*Proof.* By Corollary 2.6,  $|F_1(u, d_0)| \leq |F_2(u, d_0)|$ . Noting  $F_1(u, d_0) \cap F_2(u, d_0) = \emptyset$ , then  $r = \deg(u) \geq |F_1(u, d_0)| + |F_2(u, d_0)| + s \geq 2|F_1(u, d_0)| + s$ . Thus  $|F_1(u, d_0)| \leq \lfloor \frac{r-s}{2} \rfloor$ .

Assume that s = 0 and r = 4k + 2. Then  $|F_1(u, d_0)| \le 2k + 1$ . Suppose  $|F_1(u, d_0)| = 2k + 1$ . Then  $|F_2(u, d_0)| \ge |F_1(u, d_0)| = 2k + 1$ . But  $\deg(u) = r = 4k + 2$ , thus  $|F_2(u, d_0)| = 2k + 1$ . Then  $F(u) = F_1(u, d_0) \cup F_2(u, d_0)$ . Take a face  $f = [uvx] \in F_1(u, d_0)$  where v and x are d-neighbour  $(d \in [d_0, 7])$  and false neighbour of u in  $D^{\times}$ , respectively. Denote by f'' the neighbour of f sharing uv. Then  $f'' \notin F_2(u, d_0)$  by Corollary 2.6. But  $F(u) = F_1(u, d_0) \cup F_2(u, d_0)$ , thus  $f'' \in F_1(u, d_0)$ . It follows that for every  $f \in F_1(u, d_0)$ , there is exactly one  $f'' \in F_1(u, d_0)$  neighbouring f and sharing a true edge. Thus  $|F_1(u, d_0)|$  is even, which contradicts  $|F_1(u, d_0)| = 2k + 1$ . Hence  $|F_1(u, d_0)| < 2k + 1$ , i.e.,  $|F_1(u, d_0)| \le \frac{r}{2} - 1$ .

**Lemma 2.8.** Let u be a 9-vertex. Then  $ch_1(u) \ge 0$ .

*Proof.* Let  $a_1, a_2, a_3, a_4$  and  $a_5$  denote the number of incident 4<sup>+</sup>-faces, incident  $(9, 6, \otimes)$ -faces, incident  $(9, 7^+, \otimes)$ -faces, incident  $(9, 9^+, 9^+)$ -faces and the other true incident 3-faces of u, respectively. First we show that

(1) 
$$-3a_1 + a_2 - a_4 \le 3.$$

Take  $d_0 = 6$ . By Lemma 2.7,  $a_2 \leq |F_1(u, 6)| \leq \lfloor \frac{9-a_1}{2} \rfloor$ . Then  $-3a_1 + a_2 - a_4 \leq -3a_1 + \lfloor \frac{9-a_1}{2} \rfloor - a_4$ . If  $a_1 \geq 1$ , then  $-3a_1 + a_2 - a_4 \leq -3 + \lfloor \frac{8}{2} \rfloor - a_4 \leq 1 < 3$ . Assume  $a_1 = 0$ . Then  $a_2 \leq \lfloor \frac{9}{2} \rfloor = 4$ . If  $a_2 \leq 3$ , then  $-3a_1 + a_2 - a_4 \leq 0 + 3 - a_4 \leq 3$ . Next assume  $a_1 = 0$  and  $a_2 = 4$ . Denote by  $e_1, e_2, \ldots, e_9$  the nine edges of  $D^{\times}$  incident with u (do not consider the order). Note that one  $(9, 6, \otimes)$ -face cannot be a neighbour of another  $(9, 6, \otimes)$ -face by sharing a  $(9, \otimes)$ -edge (otherwise there is an (6, 6)-edge of G, which contradicts the choice of G). Then there are four  $(9, \otimes)$ -edges incident with u since  $a_2 = 4$ , and assume that  $e_1, e_2, e_3$  and  $e_4$  are of type  $(9, \otimes)$ . If one of  $e_5, e_6, \ldots, e_9$  is false, then u has an incident face f with two false vertices, but  $\deg(f) = 3$  since  $a_1 = 0$ , which is impossible by the 1-planarity of D. Thus  $e_5, e_6, \ldots, e_9$  are true. It follows that u has a true incident 3-face g (note  $a_1 = 0$ ). By Corollary 2.6, there are four incident  $(9, 9^+, \otimes)$ -faces of u, which are neighbours of the four incident  $(9, 6, \otimes)$ -faces of u, respectively. Thus g is a  $(9, 9^+, 9^+)$ -face. So  $a_4 = 1$  and  $-3a_1 + a_2 - a_4 = 0 + 4 - 1 = 3$ . Then (1) holds.

Note that

(2)

$$a_1 + a_2 + a_3 + a_4 + a_5 = 9$$

By  $(1) + 3 \cdot (2)$ , we have

 $4a_2 + 3a_3 + 2a_4 + 3a_5 \le 30.$ 

Then by Rule F2,  $ch_1(u) = 9 - 4 - \frac{2}{3}a_2 - \frac{1}{2}a_3 - \frac{1}{3}a_4 - \frac{1}{2}a_5 = 5 - \frac{1}{6}(4a_2 + 3a_3 + 2a_4 + 3a_5) \ge 5 - \frac{30}{6} = 0.$ 

**Lemma 2.9.** Let u be an r-vertex where  $10 \le r \le 13$ . Then  $ch_1(u) \ge 0$ .

*Proof.* Let  $a_1, a_2, a_3, a_4$  and  $a_5$  denote the number of incident 4<sup>+</sup>-faces, incident  $(r, 5, \otimes)$ -faces, incident  $(r, 6, \otimes)$ -faces, incident  $(r, 7^+, \otimes)$ -faces and incident true 3-faces of u, respectively. First we show that

(3) 
$$-2a_1 + a_2 + a_3 \le 2r - 16.$$

Take  $d_0 = 5$ . By Lemma 2.7,  $a_2 + a_3 \leq |F_1(u,5)| \leq \lfloor \frac{r-a_1}{2} \rfloor$ . If  $a_1 \geq 1$ , then  $-2a_1 + a_2 + a_3 \leq -2a_1 + \frac{r-a_1}{2} = \frac{r-5a_1}{2} \leq \frac{r-5}{2} \leq 2r - 16$  since  $r \geq 10$ . Assume that  $a_1 = 0$ . If  $r \geq 11$ , then  $-2a_1 + a_2 + a_3 \leq 0 + \frac{r}{2} \leq 2r - 16$ . Next consider the case of r = 10. Since  $10 \equiv 2 \pmod{4}$ ,  $a_2 + a_3 \leq \frac{r}{2} - 1 = 4$  by Lemma 2.7. Thus  $-2a_1 + a_2 + a_3 \leq 4 = 2r - 16$ . Then (3) holds. Note that

$$(4) a_1 + a_2 + a_3 + a_4 + a_5 = r$$

By  $(3) + 2 \cdot (4)$ , we have

$$3a_2 + 3a_3 + 2a_4 + 2a_5 \le 4r - 16.$$

Then by Rule F3,  $ch_1(u) = r - 4 - \frac{3}{4}a_2 - \frac{2}{3}a_3 - \frac{1}{2}a_4 - \frac{1}{2}a_5 \ge r - 4 - \frac{3}{4}a_2 - \frac{3}{4}a_3 - \frac{1}{2}a_4 - \frac{1}{2}a_5 = r - 4 - \frac{1}{4}(3a_2 + 3a_3 + 2a_4 + 2a_5) \ge r - 4 - \frac{1}{4}(4r - 16) = 0.$ 

**Lemma 2.10.** Let u be an r-vertex with  $14 \le r \le 22$ . Then  $ch_1(u) \ge 0$ .

*Proof.* Let  $a_1, a_2, a_3, a_4, a_5$  and  $a_6$  denote the number of incident  $4^+$ -faces, incident  $(r, 4, \otimes)$ -faces, incident  $(r, 5, \otimes)$ -faces, incident  $(r, 6, \otimes)$ -faces, incident  $(r, 7^+, \otimes)$ -faces and incident true 3-faces of u, respectively. First we show that

(5) 
$$-a_1 + a_2 + a_3 + a_4 \le r - 8$$

Take  $d_0 = 4$ . By Lemma 2.7,  $a_2 + a_3 + a_4 \leq |F_1(u, 4)| \leq \lfloor \frac{r-a_1}{2} \rfloor$ . Thus  $-a_1 + a_2 + a_3 + a_4 \leq \frac{r-3a_1}{2}$ . If  $a_1 \geq 1$ , then  $-a_1 + a_2 + a_3 + a_4 \leq \frac{r-3}{2} \leq r-8$  since  $r \geq 14$ . Next assume that  $a_1 = 0$ . If  $r \geq 16$ , then  $-a_1 + a_2 + a_3 + a_4 \leq 0 + \frac{r}{2} \leq r-8$ . If r = 15, then  $-a_1 + a_2 + a_3 + a_4 \leq 0 + \lfloor \frac{15}{2} \rfloor = 7 = r-8$ . Next consider the case of r = 14. Since  $14 \equiv 2 \pmod{4}$ ,  $a_2 + a_3 + a_4 \leq \frac{r}{2} - 1 = 6$  by Lemma 2.7. Thus  $-a_1 + a_2 + a_3 + a_4 \leq 6 = r-8$ . Then (5) holds. Note that

(6) 
$$a_1 + a_2 + a_3 + a_4 + a_5 + a_6 = r.$$

By (5) + (6), we have

$$2a_2 + 2a_3 + 2a_4 + a_5 + a_6 \le 2r - 8$$

Then by Rule F3,  $ch_1(u) = r - 4 - a_2 - \frac{3}{4}a_3 - \frac{2}{3}a_4 - \frac{1}{2}a_5 - \frac{1}{2}a_6 \ge r - 4 - a_2 - a_3 - a_4 - \frac{1}{2}a_5 - \frac{1}{2}a_6 = r - 4 - \frac{1}{2}(2a_2 + 2a_3 + 2a_4 + a_5 + a_6) \ge r - 4 - \frac{1}{2}(2r - 8) = 0.$ 

Let  $f \in F(D^{\times})$ . If  $\deg(f) = 3$ , then  $ch_1(f) \ge 0$  by Lemmas 2.2 and 2.3. If  $\deg(f) \ge 4$ , then  $ch_1(f) = ch_0(f) = \deg(f) - 4 \ge 0$  by Rule F0. Hence,  $ch_1(f) \ge 0$  for every  $f \in F(D^{\times})$ . Let  $v \in V(D^{\times})$ . If  $4 \le \deg(v) \le 8$ , then  $ch_1(v) \ge 0$  by Lemma 2.4. If  $\deg(v) = 9$ , then  $ch_1(v) \ge 0$  by Lemma 2.8. If  $10 \le \deg(v) \le 13$ , then  $ch_1(v) \ge 0$  by Lemma 2.9. If  $14 \le \deg(v) \le 22$ , then  $ch_1(v) \ge 0$  by Lemma 2.10. Hence,  $ch_1(v) \ge 0$  when  $4 \le \deg(v) \le 22$ . In summary, when we finish the first-step discharging, we have the following table.

| degree of faces | $ch_1(\cdot)$ | degree of vertices | $ch_1(\cdot)$ |
|-----------------|---------------|--------------------|---------------|
| 3               | $\geq 0$      | 3                  | -1            |
| $d \ge 4$       | $d-4 \ge 0$   | $4 \le d \le 22$   | $\geq 0$      |

### 2.2. Bad 3-vertices

**Lemma 2.11.** Let  $f = [v_1v_2 \cdots v_r]$   $(r \ge 4)$  be a face of  $D^{\times}$ . If  $\deg(v_1) \ge 13$ , then r = 4,  $v_3$  is false and  $v_2$  and  $v_4$  are true.

*Proof.* Suppose that  $v_j$  is true for some  $3 \leq j \leq r-1$ . We claim that  $v_1v_j \in$ E(G). Suppose that  $v_1$  and  $v_j$  are not adjacent. Then add a new edge to D joining  $v_1$  and  $v_i$  in the interior of the face f of  $D^{\times}$ . Since deg $(v_1) \geq 13$  and  $\delta \geq 3$ , the resulting graph is still a counterexample with n vertices but has more edges, which contradicts the maximality of G. Thus  $v_1v_j \in E(G)$ . Since f is a face,  $v_1v_j$  is located outside f in  $D^{\times}$ . Further, if  $v_1v_j$  has a crossing, then we can redraw  $v_1v_i$  inside f, and lose a crossing, but D has the minimum crossings, a contradiction. Let C and C' be the cycles  $v_1v_2\cdots v_jv_1$  and  $v_jv_{j+1}\cdots v_rv_1v_j$ of  $D^{\times}$ , respectively. Since  $v_1v_j$  has two drawings, either  $v_2$  lies inside C' or  $v_r$ inside C. Considering stereographic projection, assume that  $v_2$  lies inside C'. Then  $v_r$  locates outside C, and further, since  $v_1v_j$  has no crossing, f is a face of  $D^{\times}$  and adjacent edges do not cross, there is some true vertex located outside C whether  $v_r$  is true or not, denoted by u. For 1 < i < j, if some vertex  $v_i$  is true, then every path of G from  $v_i$  to u must meet  $v_1$  or  $v_j$  since  $v_1v_j$  has no crossing and f is a face of  $D^{\times}$ , thus  $\{v_1, v_j\}$  is a 2-cut of G, which contradicts 3-connectivity of G. It follows that every  $v_i$  (1 < i < j) is false. But no false vertices are adjacent in  $D^{\times}$  since D is a 1-planar drawing. Since  $3 \leq j \leq r-1$ , we have j = 3, and thus  $v_2$  is false. By the property (V) on Page 4, there are two true neighbours of  $v_2$  inside C. Denote by w one of them. Then every path of G from w to u must meet  $v_1$  or  $v_j$  since  $v_1v_j$  has no crossing and f is a face of  $D^{\times}$ , thus  $\{v_1, v_j\}$  is a 2-cut of G, which contradicts 3-connectivity of G, again. Hence every  $v_j$  is false for  $3 \le j \le r-1$ , in particular,  $v_3$  is false.

Since D is a 1-planar drawing, no false vertices are adjacent. Thus  $r \leq 4$ . But by the assumption of this lemma,  $r \geq 4$ , thus r = 4. Since  $v_3$  is false,  $v_2$  and  $v_4$  are true.

Say an r-face f of  $D^{\times}$  is bad if f is incident with at least (r-3) 3-vertices. A face is good if it is not bad. For bad faces, we have some easy properties as follows.

Lemma 2.12. (1) Every 3-face is bad.

- (2) A bad face has degree 3, 4, or 6.
- (3) A bad 6-face is of type  $(3, \otimes, 3, \otimes, 3, \otimes)$ .

*Proof.* By the definition, (1) holds clearly. Let f be a bad r-face with  $r \ge 5$ . By the property (II) on Page 3, any two 3-vertices are not adjacent. Thus f has at most  $\lfloor \frac{r}{2} \rfloor$  incident 3-vertices. It follows that  $\frac{r}{2} \ge r-3$  since f is bad, thus  $r \le 6$ . Since  $r \ge 5$ , r = 5 or 6. If r = 5, then f has at most  $\lfloor \frac{5}{2} \rfloor = 2$  incident 3-vertices; on the other hand, f has at least 5-3=2 incident 3-vertices since f is bad, thus f has exactly two incident 3-vertices. Similarly, if r = 6, then f has exactly three incident 3-vertices are  $23^+$ - or false vertices. By Lemma 2.11, f has no  $23^+$ -vertex. Hence, if r = 5, f is incident with three false vertices, which is impossible by 1-planarity of D, and since  $r \le 6$ , (2) holds; if r = 6, f is incident with three 3-vertices and three false vertices, thus (3) holds. □

**Lemma 2.13.** Let  $f = [v_1x_1v_2x_2v_3x_3]$  be a bad 6-face where  $v_i$ 's and  $x_i$ 's are 3and false vertices, respectively. Then  $N_G(v_1) = N_G(v_2) = N_G(v_3)$ . Moreover, we can label the three neighbours by  $u_1$ ,  $u_2$  and  $u_3$ , such that

- (1)  $v_i u_{i+1}$  crosses  $v_{i+1} u_i$  at  $x_i$ ,
- (2)  $v_i u_i$  and  $u_i u_{i+1}$  are not crossed,

(3) these  $v_i$ 's and  $x_i$ 's are the only six vertices of  $D^{\times}$  inside  $u_1u_2u_3u_1$ , where  $i = 1, 2, 3, u_4 = u_1$  and  $v_4 = v_1$ , see Fig. 3.



Fig. 3

*Proof.* Let  $N_G(v_1) = \{u_1, u_2, u_3\}$  such that  $x_1$  and  $x_3$  are located on  $v_1u_2$  and  $v_1u_3$ , respectively. Let  $N_G(v_2) = \{w_1, w_2, w_3\}$  such that  $x_1$  and  $x_2$  are located on  $v_2w_1$  and  $v_2w_3$ , respectively. Then  $x_1$  is the crossing of  $v_1u_2$  and  $v_2w_1$ .

Since  $\deg(v_2) = 3$ ,  $\deg(w_1) \ge 23$ . Then by Lemma 2.5,  $v_1w_1 \in E(G)$  and has no crossing. Since  $\deg(v_1) = 3$ ,  $w_1$  is coincide to some  $u_i$ . By the property (V) on Page 4,  $w_1 \ne u_2$ . Since  $v_1w_1$  has no crossing but  $v_1u_3$  has a crossing  $x_3$ ,  $v_1w_1 \ne v_1u_3$ . It follows that  $w_1 \ne u_3$  since G is simple. Thus  $w_1 = u_1$  and then  $v_1u_1$  has no crossing. Similarly,  $u_2 = w_2$  and  $v_2u_2$  (i.e.,  $v_2w_2$ ) has no crossing.

Since  $\deg(v_1) = 3$ ,  $\deg(u_i) \geq 23$  where i = 1, 2, 3. By Lemma 2.5,  $u_1u_2$  is an edge of G without crossing and  $u_1x_1u_2u_1$ ,  $u_1v_1x_1u_1$  and  $u_2v_2x_1u_2$  bound three faces of  $D^{\times}$ , respectively. By repeating above argument, this lemma is proved.

**Lemma 2.14.** Let f be a bad 4-face. Then f is of type  $(3, \otimes, 12^-, \otimes)$  or  $(3, 23^+, 12^-, \otimes)$ . If f has two incident 3-vertices, then f is of type  $(3, 23^+, 3, \otimes)$ .

Proof. Since f is a bad 4-face, there is a 3-vertex u incident with f. Denote f = [uxvy]. Suppose that x and y are true. Then by the property (II) on Page 3, x and y are  $23^+$ -vertices. By Lemma 2.5,  $xy \in E(G)$  and has no crossing. Since f is a face of  $D^{\times}$ , xy is located outside f. Since xy has no crossing and f is a face,  $\{x, y\}$  is a 2-cut of G, which contradicts the 3-connectivity of G. It follows that at least one of x and y is false, and say that y is false. Thus v is true since D is a 1-planar drawing. If  $\deg(v) \geq 13$ , then by Lemma 2.5,  $uv \in E(G)$  and has no crossing, but considering f is a face, we have  $\{u, v\}$  is a 2-cut of G, a contradiction again. Thus  $\deg(v) \leq 12$ . Then f is of type  $(3, \otimes, 12^-, \otimes)$  when x is false, or  $(3, 23^+, 12^-, \otimes)$  when x is true (by the property (II) on Page 3,  $\deg(x) \geq 23$  when x is true).

Assume that f has two incident 3-vertices u and v. Then f is of type  $(3, 23^+, 3, \otimes)$  or  $(3, \otimes, 3, \otimes)$ . Suppose that f is a  $(3, \otimes, 3, \otimes)$ -face. Then x and y are false. Let  $uu_1$  and  $vv_1$  cross at x and  $uu_2$  and  $vv_2$  cross at y. By the property (II) on Page 3,  $\deg(u_i) \geq 23$  and  $\deg(v_i) \geq 23$  (i = 1, 2). By Lemma 2.5, for  $i = 1, 2, v_i u$  and  $u_i v$  are edges of G without crossing. Since  $vu_1$  has no crossing but  $vv_2$  has a crossing  $y, vu_1 \neq vv_2$ . It follows that  $u_1 \neq v_2$  since G is simple. Similarly,  $u_2 \neq v_1$ . Further,  $v_1 \neq v_2$  and  $u_1 \neq u_2$  since G is simple;  $u_1 \neq v_1$  and  $u_2 \neq v_2$  by the property (V) on Page 4. Hence  $u_1, u_2, v_1$  and  $v_2$  are edges of G, which contradicts  $\deg(u) = 3$ . Thus f is a  $(3, 23^+, 3, \otimes)$ -face.  $\Box$ 

A 3-vertex is *bad*, if it is incident with three bad faces. Let v be a bad 3-vertex. Assume that  $N_G(v) = \{u_1, u_2, u_3\}$  such that  $vu_1, vu_2$  and  $vu_3$  round v in a cyclic order in D. Then  $\deg(u_i) \ge 23$  (i = 1, 2, 3). By Lemma 2.5, the following lemma holds.

**Lemma 2.15.** Let v be a bad 3-vertex and  $u_i$ 's keep the assumption above. Assume that v has no false neighbour in  $D^{\times}$ . Then G has a cycle  $C_1 = u_1 u_2 u_3 u_1$  without crossing. Considering stereographic projection, assume that v lies inside  $C_1$ . Then v is the unique vertex of  $D^{\times}$  inside  $C_1$ , see Fig. 4.

We denote by  $H_1$  the subgraph of G bounded by  $C_1$  and fix the drawing (up to stereographic projection) of  $H_1$  shown in Fig. 4. In the present paper, we shall define some  $H_i$ 's, and when we say a graph  $H_i$ , we assume that some drawing of  $H_i$  is fixed.

**Lemma 2.16.** Let v be a bad 3-vertex and  $u_i$ 's keep the assumption above. Assume that  $vu_1$  is crossed by xy at w where  $u_1w$ , xw, vw and yw round w



FIG. 4.  $H_1$ .

in a cyclic order and  $vu_2$  has no crossing. Assume  $x \neq u_2$ . Then  $xu_2 \in E(G)$  without crossing and  $xwvu_2x$  bounds a  $(3, 23^+, 12^-, \otimes)$ -face of  $D^{\times}$ .

Proof. By a similar argument with Lemma 2.5, we can get that  $xu_2 \in E(G)$  without crossing. Then  $xwvu_2x$  is a cycle of  $D^{\times}$ . Considering stereographic projection, assume that  $u_3$  is located outside  $xwvu_2x$ . Suppose there is a true vertex z of  $D^{\times}$  lying inside  $xwvu_2x$ . Since  $\deg(v) = 3$  and every  $u_i$  is not located inside  $xwvu_2x$ , every path from z to a true vertex outside  $xwvu_2x$  must meet x or  $u_2$ . Thus  $\{x, u_2\}$  is a 2-cut of G. That contradicts the 3-connectivity of G. Thus no true vertex inside  $xwvu_2x$ . It follows that no false vertex inside  $xwvu_2x$  actually. Since  $xu_2$  and  $vu_2$  have no crossing, no edge of G crosses  $xwvu_2x$ . Thus  $xwvu_2x$  bounds a face of  $D^{\times}$ , denoted by g. Since v is a bad 3-vertex, g is a bad 4-face. By Lemma 2.14, g is of type  $(3, 23^+, 12^-, \otimes)$ .

As Lemma 2.5, in this paper, we always assume that the face bounded by  $xwvu_2x$  is an inner-face.

**Lemma 2.17.** Let v be a bad 3-vertex and  $u_i$ 's keep the assumption above. Assume that  $vu_2$  and  $vu_3$  are not crossed but  $vu_1$  is crossed by xy at w where  $u_1w$ , xw, vw and yw round w in a cyclic order. Then  $x \neq u_2$  or  $y \neq u_3$ . Next assume  $x \neq u_2$ .



(1) If  $y = u_3$  and  $\deg(x) = 3$ , then  $u_1x, xu_2 \in E(G)$  without crossing, G has a cycle  $C_2 = u_1u_2u_3u_1$  without crossing and x is also a bad 3-vertex.

Considering stereographic projection, assume that v lies inside  $C_2$ , then there are exactly three vertices v, w and x of  $D^{\times}$  inside  $C_2$ , see Fig. 5.

- (2) If y = u<sub>3</sub> and deg(x) ≥ 4, then G has a cycle C<sub>3</sub> = u<sub>1</sub>xu<sub>2</sub>u<sub>3</sub>u<sub>1</sub> without crossing and 4 ≤ deg(x) ≤ 12. Considering stereographic projection, assume that v lies inside C<sub>3</sub>, then there are exactly two vertices v and w of D<sup>×</sup> inside C<sub>3</sub>, see Fig. 6.
- (3) If  $y \neq u_3$ , then G has a cycle  $C_4 = u_1 x u_2 u_3 y u_1$  without crossing,  $5 \leq \deg(x) \leq 12$  and  $5 \leq \deg(y) \leq 12$ . Considering stereographic projection, assume that v lies inside  $C_4$ , then there are exactly two vertices v and w of  $D^{\times}$  inside  $C_4$ , see Fig. 7.

*Proof.* Note deg $(u_i) \ge 23$ . Then by Lemmas 2.5,  $yu_1, u_1x$  and  $u_2u_3$  are edges of G without crossing and  $ywu_1y$ ,  $xwu_1x$  and  $vu_3u_2v$  bound faces, respectively. Note  $u_2u_3$  has no crossing but xy has a crossing w. Then  $xy \ne u_2u_3$ . Since G is simple, then  $x \ne u_2$  or  $y \ne u_3$ . Next assume  $x \ne u_2$ . By Lemma 2.16,  $xu_2 \in E(G)$  without crossing,  $xwvu_2x$  bounds a face g and g is of type  $(3, 23^+, 12^-, \otimes)$ . Thus deg $(x) \le 12$ .

Assume  $y = u_3$ . By Lemmas 2.5,  $vwu_3v$  bounds a face of  $D^{\times}$ . If  $\deg(x) = 3$ , then  $u_1u_2$  is an edge of G without crossing and  $xu_1u_2x$  bounds a face by Lemmas 2.5, and since  $[xu_1u_2]$  is bad by Lemma 2.12, (1) holds; if  $\deg(x) \ge 4$ , since  $\deg(x) \le 12$ , then (2) holds.

Assume  $y \neq u_3$ . By Lemma 2.16,  $yu_3$  is an edge of G without crossing and  $ywvu_3y$  bounds a  $(3, 23^+, 12^-, \otimes)$ -face of  $D^{\times}$ , thus  $\deg(y) \leq 12$ . Since  $\deg(x) \leq 12$  and  $\deg(y) \leq 12$  but  $\deg(u_i) \geq 23$ ,  $x, y \notin \{u_1, u_2, u_3\}$ . Thus  $u_1xu_2u_3yu_1$  is a cycle of  $D^{\times}$  (as Fig. 7). If  $\deg(x) \leq 4$  or  $\deg(y) \leq 4$ , then xyis a  $(4^-, 12^-)$ -edge of G, which contradicts the property (II) on Page 3. Thus  $\deg(x) \geq 5$  and  $\deg(y) \geq 5$ . Hence (3) holds.  $\Box$ 

For i = 2, 3, 4, denote by  $H_i$  the subgraph of G bounded by  $C_i$  and fix the drawing (up to stereographic projection) of  $H_i$  shown in Fig. 5, Fig. 6 and Fig. 7, respectively.

**Lemma 2.18.** Let v be a bad 3-vertex and  $u_i$ 's keep the assumption above. Assume that for i = 1, 2,  $vu_i$  is crossed by  $x_iy_i$  at  $w_i$  such that  $u_iw_i$ ,  $x_iw_i$ ,  $vw_i$ and  $y_iw_i$  round  $w_i$  in a cyclic order and  $vu_3$  has no crossing. Denote by f the face incident with  $x_1$ ,  $w_1$ , v,  $w_2$  and  $y_2$ . Then  $\deg(f) = 4$  or 6.

 Assume deg(f) = 6. Then y<sub>1</sub>, x<sub>2</sub> and u<sub>3</sub> are coincide, x<sub>1</sub>u<sub>2</sub>, y<sub>2</sub>u<sub>1</sub>, u<sub>1</sub>x<sub>1</sub>, y<sub>2</sub>u<sub>2</sub> ∈ E(G) and x<sub>1</sub> and y<sub>2</sub> are bad 3-vertices. Further x<sub>1</sub>u<sub>2</sub> and y<sub>2</sub>u<sub>1</sub> intersect at a crossing w<sub>3</sub>, u<sub>1</sub>x<sub>1</sub> and y<sub>2</sub>u<sub>2</sub> are not crossed, and G has a cycle C<sub>5</sub> = u<sub>1</sub>u<sub>2</sub>u<sub>3</sub>u<sub>1</sub> without crossing. Considering stereographic projection, assume that v lies inside C<sub>5</sub>. Then there are exactly six vertices x<sub>1</sub>, w<sub>1</sub>, v, w<sub>2</sub>, y<sub>2</sub> and w<sub>3</sub> of D<sup>×</sup> inside C<sub>5</sub>, see Fig. 8.





(2) Assume deg(f) = 4. Then  $x_1 = y_2$ , and either  $x_2 \neq u_3$  or  $y_1 \neq u_3$  since G is simple. Assume that  $x_2 \neq u_3$ . Then  $y_1u_1$ ,  $u_1x_1$ ,  $x_1u_2$ ,  $u_2x_2$  and  $x_2u_3$  are edges of G without crossing.



- (2a) If  $y_1 = u_3$ , then G has a cycle  $C_6 = u_3u_1x_1u_2x_2u_3$  without crossing,  $5 \leq \deg(x_1) \leq 12$  and  $5 \leq \deg(x_2) \leq 12$ . Considering stereographic projection, assume that v lies inside  $C_6$ , then there are exactly three vertices v,  $w_1$  and  $w_2$  of  $D^{\times}$  inside  $C_6$ , see Fig. 9.
- (2b) If  $y_1 \neq u_3$ , then G has a cycle  $C_7 = u_1 x_1 u_2 x_2 u_3 y_1 u_1$  without crossing,  $5 \leq \deg(x_i) \leq 12$  and  $5 \leq \deg(y_i) \leq 12$  for i = 1, 2. Considering stereographic projection, assume that v lies inside  $C_7$ , then there are exactly three vertices v,  $w_1$  and  $w_2$  of  $D^{\times}$  inside the cycle  $C_7$ , see Fig. 10.

*Proof.* By the assumption of this lemma,  $\deg(f) \ge 4$ . Since v is a bad 3-vertex, we have that f is a bad face, thus by Lemma 2.12, f is a 4- or 6-face. Since every 3-face is bad by Lemma 2.12, if f is a 6-face, then (1) holds by Lemma 2.13.

Next assume  $\deg(f) = 4$ . Then  $x_1 = y_2$ . Since  $\deg(v) = 3$ ,  $\deg(u_i) \ge 23$  by the property (II) on Page 3. By Lemma 2.5,  $y_1u_1$ ,  $u_1x_1$ ,  $x_1u_2$  and  $u_2x_2$  are edges of G without crossing and  $y_1w_1u_1y_1$ ,  $u_1w_1x_1u_1$ ,  $x_1w_2u_2x_1$  and

 $u_2w_2x_2u_2$  bound faces, respectively. Since G is simple, either  $x_2 \neq u_3$  or  $y_1 \neq u_3$ . Assume  $x_2 \neq u_3$ . By Lemma 2.16,  $u_3x_2 \in E(G)$  without crossing and  $vw_2x_2u_3v$  bounds a face  $g_1$ . Since v is a bad 3-vertex, f and  $g_1$  are bad. By Lemma 2.14, f is of type  $(3, \otimes, 12^-, \otimes)$  and  $g_1$  is of type  $(3, 23^+, 12^-, \otimes)$ , Thus  $\deg(x_1) \leq 12$  and  $\deg(x_2) \leq 12$ . It follows that  $\deg(x_2) \geq 5$  and  $\deg(x_1) \geq 5$ ; otherwise  $x_1x_2$  is a  $(4^-, 12^-)$ -edge, which contradicts the property (II) on Page 3. Thus  $5 \leq \deg(x_1) \leq 12$  and  $5 \leq \deg(x_2) \leq 12$ . Since  $\deg(u_i) \geq 23$ ,  $\{x_1, x_2\} \cap \{u_1, u_2, u_3\} = \emptyset$ .

Assume  $y_1 = u_3$ . Then  $y_1u_1x_1u_2x_2y_1$  is a cycle of  $D^{\times}$ . By Lemma 2.5,  $vw_1y_1v$  bounds a face of  $D^{\times}$ , then (2a) holds. Assume  $y_1 \neq u_3$ . By Lemma 2.16,  $y_1u_3 \in E(G)$  without crossing and  $vw_1y_1u_3$  bounds a  $(3, 23^+, 12^-, \otimes)$ -face. Thus  $\deg(y_1) \leq 12$ . Since  $\deg(x_1) \leq 12$  and  $\deg(y_1) \leq 12$ ,  $\deg(y_1) \geq 5$  by the property (II) on Page 3. Since  $\deg(u_i) \geq 23$ ,  $y_1 \notin \{u_1, u_2, u_3\}$ . Since G is simple,  $x_1, x_2$  and  $y_1$  are pairwise distinct. Thus  $u_1x_1u_2x_2u_3y_1u_1$  is a cycle of G, and (2b) holds.

For i = 5, 6, 7, denote by  $H_i$  the subgraph of G bounded by  $C_i$  and fix the drawing (up to stereographic projection) of  $H_i$  shown in Fig. 8, Fig. 9 and Fig. 10, respectively.

**Lemma 2.19.** Let v be a bad 3-vertex and  $u_i$ 's keep the assumption above. Assume that for i = 1, 2, 3,  $vu_i$  is crossed by  $x_iy_i$  at  $w_i$  such that  $u_iw_i$ ,  $x_iw_i$ ,  $vw_i$  and  $y_iw_i$  round  $w_i$  in a cyclic order. For i = 1, 2, 3, denote by  $f_i$  the face incident with  $w_i$ , v and  $w_{i+1}$  ( $w_4 = w_1$ ), then deg( $f_i$ ) = 4,  $x_i = y_{i+1}$  ( $y_4 = y_1$ ) and  $5 \le deg(x_i) \le 12$ .

Moreover, G has a cycle  $C_8 = u_1 x_1 u_2 x_2 u_3 x_3 u_1$  without crossing. Considering stereographic projection, assume that v lies inside  $C_8$ , then there are exactly four vertices  $w_1$ ,  $w_2$ ,  $w_3$  and v of  $D^{\times}$  inside  $C_8$ , see Fig. 11.



FIG. 11.  $H_8$ .

*Proof.* Since v is a bad 3-vertex, every  $f_i$  is bad. Then  $\deg(f_i) = 3, 4, 6$  by Lemma 2.12. By the assumption of this lemma,  $\deg(f_i) \ge 4$ , but by Lemma 2.13,  $\deg(f_i) \ne 6$ . Thus  $\deg(f_i) = 4$  for i = 1, 2, 3. Then  $x_1 = y_2, x_2 = y_3$  and  $x_3 = y_1$ . For i = 1, 2, 3, since every  $f_i$  is a bad 4-face,  $\deg(x_i) \le 12$  by Lemma

2.14, and then  $\deg(x_i) \geq 5$  by the property (II) on Page 3. Since  $\deg(u_i) \geq 23$ , by Lemma 2.5,  $u_i x_i, x_i u_{i+1} \in E(G)$  without crossing and  $u_i w_i x_i u_i$  and  $x_i w_i u_{i+1} x_i$  bound faces of D where  $u_4 = u_1$ , respectively. Thus this lemma holds.

Denote by  $H_8$  the subgraph of G bounded by  $C_8$  and fix the drawing (up to stereographic projection) of  $H_8$  shown in Fig. 11.

For  $i \in [1,8]$ , denote by  $\mathcal{H}_i$  the set of subgraphs X of G (under D) such that X is isomorphic to  $H_i$  and containing a bad 3-vertex. Then every  $X \in \mathcal{H}_i$  keeps the drawing (up to stereographic projection) and the property (Lemmas 2.15, 2.17, 2.18 and 2.19, respectively) of  $H_i$  under D.

By Lemmas 2.15, 2.17, 2.18 and 2.19, we have the following corollary.

**Corollary 2.20.** For every bad 3-vertex v, there is a unique  $X \in \mathcal{H}_i$  for some  $i \in [1, 8]$  containing v.

For every  $23^+$ -vertex u and  $i \in [1, 8]$ , denote  $\mathcal{H}_i(u) = \{X \in \mathcal{H}_i \mid u \in V(X)\}$ . Then for  $X \in \mathcal{H}_i(u)$ , u is isomorphic to some  $u_j$  (j = 1, 2, 3) of  $H_i$ . For more convenience, denote  $\mathcal{H}_{i,j}(u) = \{X \in \mathcal{H}_i \mid u \in V(X) \text{ and } u \text{ is isomorphic to } u_j \text{ of } H_i\}$  where  $i \in \{3, 6\}$  and  $j \in [1, 3]$ .

Considering stereographic projection, next when we say that  $X \in \mathcal{H}_i(u)$ , we always assume that X keeps the drawing of  $H_i$  and every bad 3-vertices of X is located inside the cycle of X isomorphic to  $C_i$ .

### 2.3. Spanning vertices and enumeration

For a face f of  $D^{\times}$  and a vertex v of G, if v is incident with f or v is incident with an edge e of G such that e contains an incident edge of f in  $D^{\times}$ , then call v a spanning vertex of f.

Take a  $23^+$ -vertex  $u_1$  and  $f_1 \in F(u_1)$ . Considering stereographic projection, assume that  $f_1$  is an inner-face. Assume that  $\deg(f_1) = 3$  and no spanning vertex of  $f_1$  is a bad 3-vertex. If  $f_1$  is false and denote  $f_1 = [u_1v_1w]$  where wis a crossing formed by  $u_1v_2$  and  $v_1v_3$ , then  $u_1v_3 \in E(G)$  without crossing and cycle  $u_1wv_3u_1$  bounds a face of  $D^{\times}$  by Lemma 2.5, see Fig. 12. Denote by  $H_9$ the subgraph (keep the drawing), and denote by  $C_9$  the cycle  $u_1v_1v_3u_1$ . If  $f_1$  is true and denote  $f_1 = [u_1v_1v_2]$  where  $v_1$  and  $v_2$  are true, then we get a triangle  $[u_1v_1v_2]$ . For convenience, we denote by  $H_{10}$  the triangle  $[u_1v_1v_2]$ , and denote by  $C_{10}$  the cycle  $u_1v_1v_2u_1$ . Note that in  $H_9$  and  $H_{10}$ , every  $v_j$  is not a bad 3-vertex.

For  $i \in \{9, 10\}$ , denote by  $\mathcal{H}_i$  the set of subgraphs X of G (under D) which is isomorphic to  $H_i$  and keep the drawing (up to stereographic projection) and the property of  $H_i$ , i.e., no vertex is located inside (or outside, considering stereographic projection) the cycle of X isomorphic to  $C_i$  and no vertex of X is a bad 3-vertex. For every 23<sup>+</sup>-vertex u, denote  $\mathcal{H}_i(u) = \{X \in \mathcal{H}_i \mid u \in V(X) \text{ and } u \text{ is isomorphic to } u_1 \text{ in } H_i\}$  where  $i \in \{9, 10\}$ . 18



Considering stereographic projection, next when we say that  $X \in \mathcal{H}_i(u)$  $(i \in \{9, 10\})$ , we always assume that X keeps the drawing of  $H_i$  and no vertex is located inside the cycle of X isomorphic to  $C_i$ .

For a subgraph X of G, if restrict the drawing D in X, then we get a drawing of X, and we denote it by  $D|_X$ .

**Lemma 2.21.** Let u be a  $23^+$ -vertex,  $X \in \mathcal{H}_i(u)$  and  $Y \in \mathcal{H}_{i'}(u)$  where  $1 \leq i, i' \leq 10$  and  $X \neq Y$ . If  $f_X$  and  $f_Y$  are inner-faces incident with u in  $(D|_X)^{\times}$  and  $(D|_Y)^{\times}$ , respectively, then we have  $f_X \neq f_Y$  in  $D^{\times}$ .

Proof. Since u is isomorphic to  $u_1$  of  $H_9$  or  $H_{10}$  by the definition of  $\mathcal{H}_9(u)$  and  $\mathcal{H}_{10}(u)$ , if  $i, i' \in \{9, 10\}$ , then the conclusion holds clearly since  $X \neq Y$  and  $f_X$  and  $f_Y$  are incident with u. Next assume that  $i \in [1, 8]$ . By observing the results of Lemmas 2.15, 2.17, 2.18 and 2.19, we can find that X contains a bad 3-vertex v which is a spanning vertex of  $f_X$ . Suppose  $f_X = f_Y$  in  $D^{\times}$ . Then v is also a spanning bad 3-vertex of  $f_Y$ . But  $H_9$  and  $H_{10}$  do not contain bad 3-vertex, thus  $i' \in [1, 8]$ . Note that v is also a spanning bad 3-vertex of  $f_Y$ . By observing the results of Lemmas 2.15, 2.17, 2.18 and 2.19, we have  $v \in V(Y)$ . Then v is a bad 3-vertex of X and Y in common. But by Corollary 2.20, X = Y, a contradiction.

For a 23<sup>+</sup>-vertex u, denote  $h_i(u) = |\mathcal{H}_i(u)|$  for  $i \in [1, 10]$ , and denote  $h_{i,j}(u) = |\mathcal{H}_{i,j}(u)|$  for  $i \in \{3, 6\}$  and  $j \in [1, 3]$ . By Lemma 2.21,  $X \in \mathcal{H}_i(u)$  and  $Y \in \mathcal{H}_{i'}(u)$  have no common inner-face incident with u. Then when we enumerate the number of inner-faces incident with u, which are contained in members of  $\mathcal{H}_i(u)$  for  $i \in [1, 10]$ , we get an estimation of the degree of u as the following lemma.

Lemma 2.22. Let u be a  $23^+$ -vertex. Then

$$\deg(u) \ge 2h_1(u) + 3h_2(u) + 2h_{3,1}(u) + 2h_{3,2}(u) + 3h_{3,3}(u) + 2h_4(u) + 4h_5(u) + 2h_{6,1}(u) + 2h_{6,2}(u) + 3h_{6,3}(u) + 2h_7(u) + 2h_8(u) + 2h_9(u) + h_{10}(u).$$

19

### 2.4. Second-step

Recall that an r-face is bad if it is incident with at least (r-3) 3-vertices, and a 3-vertex is bad if every its incident face is bad; if a face or a 3-vertex is not bad, then say it is good. Next we start the second-step discharging.

# The discharging rules of the second-step:

**Rule S1:** Assume that v is a 3-vertex and f is a good face incident with v. Then we move 1 from f to v.

**Rule S2:** Assume that v is a 3-vertex and f is a bad 6-face incident with v. Then we move  $\frac{2}{3}$  from f to v.

Next (in Rules S3-S10) we assume that v is a bad 3-vertex. Then there is a unique  $X \in \mathcal{H}_i$  containing v for some  $i \in [1, 8]$  and we identify X and  $H_i$ .

**Rule S3:** If i = 1, then we move  $\frac{1}{3}$  from every  $u_i$  to v where i = 1, 2, 3. **Rule S4:** If i = 2, then move  $\frac{1}{3}$  from  $u_1$  to x and from  $u_3$  to v, respectively, and move  $\frac{2}{3}$  from  $u_2$  to v and to x, respectively, see Fig. 13.

**Rule S5:** If i = 3, then move  $\frac{1}{7}$  from  $u_3$  to v, move  $\frac{6}{7}$  from  $u_2$  to v, and move  $\frac{1}{14}$  from  $u_2$  to  $u_1$ , see Fig. 14.



**Rule S6:** If i = 4, then move  $\frac{1}{2}$  from  $u_2$  to v and from  $u_3$  to v, respectively, and move  $\frac{1}{6}$  from  $u_2$  to  $u_1$  and from  $u_3$  to  $u_1$ , respectively, see Fig. 15.



FIG. 15. Rule S6.

**Rule S7:** If i = 5, then move  $\frac{1}{3}$  from  $u_1$  to  $x_1$ , from  $u_2$  to  $y_2$  and from  $u_3$  to v, respectively.

**Rule S8:** If i = 6, then move  $\frac{1}{4}$  from  $u_1$  to v, and move  $\frac{3}{4}$  from  $u_3$  to v, see Fig. 16.

**Rule S9:** If i = 7, then move 1 from  $u_3$  to v, and move  $\frac{1}{6}$  from  $u_3$  to  $u_1$  and to  $u_2$ , respectively, see Fig. 17.



FIG. 16. Rule S8.

FIG. 17. Rule S9.

**Rule S10:** If i = 8, assume  $\deg(x_1) \leq \deg(x_2) \leq \deg(x_3)$ , then move  $\frac{1}{4}$  from  $u_1$  to v and from  $u_2$  to v, respectively, and move  $\frac{1}{2}$  from  $u_3$  to v, see Fig. 18.



FIG. 18. Rule S10  $(\deg(x_1) \le \deg(x_2) \le \deg(x_3))$ .

Lemma 2.23. If  $f \in F(D^{\times})$ , then  $ch_2(f) \ge 0$ .

*Proof.* Denote  $d = \deg(f)$ . Assume d = 3. Then  $ch_1(f) \ge 0$  by Lemmas 2.2 and 2.3. Since d = 3, f is bad by the definition of bad faces. Thus Rules S1 and S2 do not change the charge of f. Since Rules S3-S10 do not change the charge of any face,  $ch_2(f) = ch_1(f) \ge 0$ .

Assume  $d \ge 4$ . In the first-step discharging, the charge of every  $4^+$ -face is not changed, thus  $ch_1(f) = d - 4$ . Suppose that f is good. Then there are at most (d-4) 3-vertices incident with f. Thus by Rule S1,  $ch_2(f) \ge ch_1(f) - (d-4) \ge 0$ . Next assume that f is bad. If d=6, then there are three 3-vertices incident with f by Lemma 2.12. By Rule S2,  $ch_2(f) = ch_1(f) - 3 \cdot \frac{2}{3} = 6 - 4 - 2 = 0$ . If  $d \ne 6$ , then the charge of f is not changed in the second-step discharging. Thus  $ch_2(f) = ch_1(f) = d - 4 \ge 0$ .

# **Lemma 2.24.** If $v \in V(D^{\times})$ and $3 \leq \deg(v) \leq 22$ , then $ch_2(v) \geq 0$ .

*Proof.* If  $4 \leq \deg(v) \leq 22$ , then by Lemmas 2.4, 2.8, 2.9 and 2.10,  $ch_1(v) \geq 0$ . Since no charge of v is lost in the second-step discharging,  $ch_2(v) = ch_1(v) \geq 0$ . If  $\deg(v) = 3$ , then by the rules of the first-step,  $ch_1(v) = 3 - 4 = -1$ . If v is a good 3-vertex, then there is at least one good face f incident with v. Then by Rule S1, f sends 1 to v, thus  $ch_2(v) \geq ch_1(v) + 1 = -1 + 1 = 0$ .

Next assume that v is a bad 3-vertex. Then there is a unique  $X \in H_i$  containing v for some  $i \in [1, 8]$  and we identify X and  $H_i$ .

If i = 1, then by Rule S3,  $ch_2(v) = ch_1(v) + 3 \cdot \frac{1}{3} = -1 + 1 = 0$ . If i = 2, then by Rule S4,  $ch_2(v) = ch_1(v) + \frac{1}{3} + \frac{2}{3} = -1 + 1 = 0$ . (Note that x is a bad 3-vertex too. Symmetrically, we have  $ch_2(x) \ge 0$  too.) If i = 3, then by Rule S5,  $ch_2(v) = ch_1(v) + \frac{1}{7} + \frac{6}{7} = -1 + 1 = 0$ . If i = 4, then by Rule S6,  $ch_2(v) = ch_1(v) + 2 \cdot \frac{1}{2} = -1 + 1 = 0$ . If i = 5, then by Rule S2, f sends  $\frac{2}{3}$  to v, and by Rule S7,  $u_3$  sends  $\frac{1}{3}$  to v, thus  $ch_2(v) = ch_1(v) + \frac{1}{3} + \frac{2}{3} = -1 + 1 = 0$ . (Note that both  $x_1$  and  $y_2$  are bad 3-vertices too. And symmetrically,  $ch_2(x_1) \ge 0$  and  $ch_2(y_2) \ge 0$  too.) If i = 6, then by Rule S8,  $ch_2(v) = ch_1(v) + \frac{1}{4} + \frac{3}{4} = -1 + 1 = 0$ . If i = 7, then by Rule S9,  $ch_2(v) = ch_1(v) + 1 = -1 + 1 = 0$ . If i = 8, then by Rule S10,  $ch_2(v) = ch_1(v) + 2 \cdot \frac{1}{4} + \frac{1}{2} = -1 + 1 = 0$ .

Consider  $H_i$  where  $1 \le i \le 10$ . Define the *net-losing-charge* of  $u_j$  in  $H_i$ , denoted by  $\Delta_i(u_j)$ , as the value of losing-charge minus getting-charge of  $u_j$  $(1 \le j \le 3$  when  $1 \le i \le 8$ ; j = 1 when i = 9, 10) restricted in one  $H_i$  after the two discharging steps. For example, in  $H_3$ , see Fig. 6, assume that  $\deg(x) = 4$ , then  $u_1$  sends  $\frac{1}{2}$  to the face  $[u_1wu_3]$  by Subrule F3.5, sends 1 to the face  $[u_1xw]$ by Subrule F3.2 and gets  $\frac{1}{14}$  from  $u_2$  by Rule S5, thus  $\Delta_3(u_1) = \frac{1}{2} + 1 - \frac{1}{14} = \frac{10}{7}$ .

**Lemma 2.25.** For subgraphs (keep the drawings under D)  $H_1, H_2, \ldots, H_{10}$ where v is a bad 3-vertex, we have the following results.

 $\begin{array}{l} (1) \ \Delta_1(u_j) = \frac{4}{3} \ for \ j = 1, 2, 3. \\ (2) \ \Delta_2(u_j) = \frac{7}{3} \ for \ j = 1, 2, 3. \\ (3) \ \Delta_3(u_j) \leq \frac{10}{7} \ for \ j = 1, 2 \ and \ \Delta_3(u_3) = \frac{15}{7}. \\ (4) \ \Delta_4(u_j) \leq \frac{7}{6} \ for \ j = 1, 2, 3. \\ (5) \ \Delta_5(u_j) = \frac{10}{3} \ for \ j = 1, 2, 3. \\ (6) \ \Delta_6(u_j) \leq \frac{3}{2} \ for \ j = 1, 2 \ and \ \Delta_6(u_3) = \frac{9}{4}. \\ (7) \ \Delta_7(u_j) \leq \frac{4}{3} \ for \ j = 1, 2, 3. \\ (8) \ \Delta_8(u_j) \leq \frac{3}{2} \ for \ j = 1, 2, 3. \\ (9) \ \Delta_9(u_1) \leq \frac{3}{2}. \\ (10) \ \Delta_{10}(u_1) = \frac{1}{2}. \end{array}$ 

*Proof.* Since deg(v) = 3, every  $u_j$  (j = 1, 2, 3) is a 23<sup>+</sup>-vertex by Observation 2.1.

(1) Consider  $H_1$ , see Fig. 4. By Subrule F3.6,  $u_j$  sends  $\frac{1}{2}$  to faces  $[u_j u_{j+1} v]$  and  $[u_j u_{j-1} v]$  for j = 1, 2, 3 ( $u_4 = u_1$  and  $u_0 = u_3$ ), respectively. By Rule S3,  $u_j$  sends  $\frac{1}{3}$  to v for j = 1, 2, 3. Thus  $\Delta_1(u_j) = 2 \cdot \frac{1}{2} + \frac{1}{3} = \frac{4}{3}$ .

(2) Consider  $H_2$ , see Fig. 5. By Subrule F3.5,  $u_1$  sends  $\frac{1}{2}$  to the face  $[u_1wu_3]$ . By Subrule F3.1,  $u_1$  sends 1 to  $[u_1wx]$ . By Subrule F3.6,  $u_1$  sends  $\frac{1}{2}$  to the face  $[u_1xu_2]$ . By Rule S4,  $u_1$  sends  $\frac{1}{3}$  to x. Thus  $\Delta_2(u_1) = \frac{1}{2} + 1 + \frac{1}{2} + \frac{1}{3} = \frac{7}{3}$ . By Subrule F3.6,  $u_2$  sends  $\frac{1}{2}$  to faces  $[u_2xu_1]$  and  $[u_2vu_3]$ , respectively. Since v is a bad 3-vertex,  $[xwvu_2]$  is a bad 4-face, thus  $u_2$  neither sends any charge to it, nor gets any charge from it. By Rule S4,  $u_2$  sends  $\frac{2}{3}$  to v and to x, respectively. Thus  $\Delta_2(u_2) = 2 \cdot \frac{1}{2} + 2 \cdot \frac{2}{3} = \frac{7}{3}$ . Symmetrically,  $\Delta_2(u_3) = \frac{7}{3}$ .

(3) Consider  $H_3$ , see Fig. 6. By Subrule F3.5,  $u_1$  sends  $\frac{1}{2}$  to the face  $[u_1wu_3]$ . By Subrules F3.2-F3.5,  $u_1$  sends at most 1 to  $[u_1wx]$ . By Rule S5,  $u_1$  gets  $\frac{1}{14}$  from  $u_2$ . Note that  $u_1$  does not send any charge to v or x. Thus  $\Delta_3(u_1) \leq \frac{1}{2} + 1 - \frac{1}{14} = \frac{10}{7}$ . By Subrule F3.6,  $u_2$  sends  $\frac{1}{2}$  to the face  $[u_2vu_3]$ . Since v is bad,  $[u_2vwx]$  is a bad 4-face, thus  $u_2$  neither sends any charge to it, nor gets any charge from it. By Rule S5,  $u_2$  sends  $\frac{6}{7}$  to v, and sends  $\frac{1}{14}$  to  $v_1$ . Thus  $\Delta_3(u_2) = \frac{1}{2} + \frac{6}{7} + \frac{1}{14} = \frac{10}{7}$ . By Subrule F3.5,  $u_3$  sends  $\frac{1}{2}$  to the face  $[u_3wu_1]$ . By Subrule F3.1,  $u_3$  sends 1 to  $[u_3wv]$ . By Subrule F3.6,  $u_3$  sends  $\frac{1}{2}$  to the face  $[u_3vu_2]$ . By Rule S5,  $u_3$  sends  $\frac{1}{7}$  to v. Thus  $\Delta_3(u_3) = \frac{1}{2} + 1 + \frac{1}{2} + \frac{1}{7} = \frac{15}{7}$ .

(4) Consider  $H_4$ , see Fig. 7. By Lemma 2.17,  $5 \leq \deg(x), \deg(y) \leq 12$ . Then by Subrules F3.3-F3.5,  $u_1$  sends at most  $\frac{3}{4}$  to faces  $[u_1wy]$  and  $[u_1wx]$ , respectively. By Rule S6,  $u_1$  gets  $\frac{1}{6}$  from  $u_2$  and  $u_3$ , respectively. Thus  $\Delta_4(u_1) \leq 2 \cdot \frac{3}{4} - 2 \cdot \frac{1}{6} = \frac{7}{6}$ . By Subrule F3.6,  $u_j$  (j = 2, 3) sends  $\frac{1}{2}$  to the face  $[u_2vu_3]$ . By Rule S6,  $u_j$  sends  $\frac{1}{6}$  to  $u_1$ , and sends  $\frac{1}{2}$  to v. Thus  $\Delta_4(u_j) \leq \frac{1}{2} + \frac{1}{6} + \frac{1}{2} = \frac{7}{6}$ .

(5) Consider  $H_5$ , see Fig. 8. By Subrule F3.5,  $u_1$  sends  $\frac{1}{2}$  to faces  $[u_1w_1u_3]$  and  $[u_1w_3u_2]$ , respectively. By Subrule F3.1,  $u_1$  sends 1 to faces  $[u_1x_1w_1]$  and  $[u_1x_1w_3]$ , respectively. By Rule S7,  $u_1$  sends  $\frac{1}{3}$  to  $x_1$ . Thus  $\Delta_5(u_1) = 2 \cdot \frac{1}{2} + 2 \cdot 1 + \frac{1}{3} = \frac{10}{3}$ . Symmetrically,  $\Delta_5(u_2) = \Delta_5(u_3) = \frac{10}{3}$ .

(6) Consider  $H_6$ , see Fig. 9. By (2a) of Lemma 2.18,  $5 \leq \deg(x_1) \leq 12$ . Then by Subrules F3.3-F3.5,  $u_1$  sends at most  $\frac{3}{4}$  to the face  $[u_1w_1x_1]$ . By Subrule F3.5,  $u_1$  sends  $\frac{1}{2}$  to the face  $[u_1w_1u_3]$ . By Rule S8,  $u_1$  sends  $\frac{1}{4}$  to v. Thus  $\Delta_6(u_1) \leq \frac{3}{4} + \frac{1}{2} + \frac{1}{4} = \frac{3}{2}$ . By (2a) of Lemma 2.18,  $5 \leq \deg(x_1), \deg(x_2) \leq 12$ . Then by Subrules F3.3-F3.5,  $u_2$  sends at most  $\frac{3}{4}$  to faces  $[u_2w_2x_1]$  and  $[u_2w_2x_2]$ , respectively. Thus  $\Delta_6(u_2) \leq 2 \cdot \frac{3}{4} = \frac{3}{2}$ . By Subrule F3.5,  $u_3$  sends  $\frac{1}{2}$  to the face  $[u_1w_1u_3]$ . By Subrule F3.1,  $u_3$  sends 1 to the face  $[u_3w_1v]$ . By Rule S8,  $u_1$  sends  $\frac{3}{4}$  to v. Thus  $\Delta_6(u_3) = \frac{1}{2} + 1 + \frac{3}{4} = \frac{9}{4}$ .

(7) Consider  $H_7$ , see Fig. 10. By (2b) of Lemma 2.18,  $5 \leq \deg(x_1), \deg(y_1) \leq 12$ . Then by Subrules F3.3-F3.5,  $u_1$  sends at most  $\frac{3}{4}$  to faces  $[u_1w_1y_1]$  and  $[u_1w_1x_1]$ , respectively. By Rule S9,  $u_1 \operatorname{gets} \frac{1}{6}$  from  $u_3$ . Thus  $\Delta_7(u_1) \leq 2 \cdot \frac{3}{4} - \frac{1}{6} = \frac{4}{3}$ . Similarly,  $\Delta_7(u_2) \leq \frac{4}{3}$ . Since v is a bad 3-vertex,  $[u_3y_1w_1v]$  and  $[u_3x_2w_2v]$  are bad 4-faces. Then  $u_3$  neither sends any charge to them, nor gets any charge

from them. By Rule S9,  $u_3$  sends  $\frac{1}{6}$  to  $u_1$  and  $u_2$ , respectively, and sends 1 to v. Thus  $\Delta_7(u_3) \leq 2 \cdot \frac{1}{6} + 1 = \frac{4}{3}$ .

(8) Consider  $H_8$ , see Fig. 11. Assume that  $\deg(x_1) \leq \deg(x_2) \leq \deg(x_3)$ . If  $\deg(x_1) \geq 8$ , then  $\deg(x_3) \geq \deg(x_2) \geq 8$ ; otherwise,  $\deg(x_1) \leq 7$ , then by Observation 2.1, we also have  $\deg(x_3) \geq \deg(x_2) \geq 8$ . Further, by Lemma 2.19,  $5 \leq \deg(x_1) \leq 12$  and  $8 \leq \deg(x_2), \deg(x_3) \leq 12$ . Since  $\deg(x_1) \geq 5$ , by Subrules F3.3-F3.5, we have that  $u_1$  sends at most  $\frac{3}{4}$  to the face  $[u_1w_1x_1]$  and  $u_2$  sends at most  $\frac{3}{4}$  to the face  $[u_2w_2x_1]$ . Since  $\deg(x_2) \geq 8$ , by Subrule F3.5, we have that  $u_2$  sends at most  $\frac{1}{2}$  to the face  $[u_2w_2x_2]$  and  $u_3$  sends at most  $\frac{1}{2}$  to the face  $[u_3w_3x_2]$ . Similarly, since  $\deg(x_3) \geq 8$ , by Subrule F3.5, we have that  $u_3$  sends at most  $\frac{1}{2}$  to the face  $[u_3w_3x_3]$  and  $u_1$  sends at most  $\frac{1}{2}$  to the face  $[u_1w_1x_3]$ . By Rule S10,  $u_1$  and  $u_2$  send  $\frac{1}{4}$  to v, respectively, and  $u_3$  sends  $\frac{1}{2}$  to v. Thus  $\Delta_8(u_1) \leq \frac{3}{4} + \frac{1}{2} + \frac{1}{4} = \frac{3}{2}$ ,  $\Delta_8(u_2) \leq \frac{3}{4} + \frac{1}{2} + \frac{1}{4} = \frac{3}{2}$  and  $\Delta_8(u_3) \leq \frac{1}{2} + \frac{1}{2} + \frac{1}{2} = \frac{3}{2}$ .

(9) Consider  $H_9$ , see Fig 12. Assume that  $\deg(v_1) \leq \deg(v_3)$ . If  $\deg(v_1) \geq 8$ , then  $\deg(v_3) \geq 8$ ; otherwise  $\deg(v_1) \leq 7$ , then by Observation 2.1, we also have  $\deg(v_3) \geq 8$ . By Subrules F3.1-F3.5,  $u_1$  sends at most 1 to the face  $[u_1wv_1]$ . By Subrule F3.5,  $u_1$  sends at most  $\frac{1}{2}$  to the face  $[u_1wv_3]$  since  $\deg(v_3) \geq 8$ . Since none of  $v_1$ ,  $v_2$  and  $v_3$  is a bad 3-vertex, we have that  $u_1$  does not send any charge to  $v_1$ ,  $v_2$  or  $v_3$ . Thus  $\Delta_i(u_1) \leq \frac{1}{2} + 1 = \frac{3}{2}$ .

(10) Consider  $H_{10}$ . By Subrule F3.6,  $u_1$  sends  $\frac{1}{2}$  to f, thus  $\Delta_{10}(u_1) = \frac{1}{2}$ .  $\Box$ 

Define the total net-losing-charge of a  $23^+$ -vertex u as the value of losingcharge minus getting-charge of u. Recall the definition of spanning vertices. A spanning vertex of a face f of  $D^{\times}$  is a vertex of G, which is incident with f, or is incident with an edge e of G such that e contains an incident edge of f in  $D^{\times}$ . We have the following lemma.

Lemma 2.26. Let u be a  $23^+$ -vertex. Then

$$\Delta(u) \leq \frac{4}{3}h_1(u) + \frac{7}{3}h_2(u) + \frac{10}{7}h_{3,1}(u) + \frac{10}{7}h_{3,2}(u) + \frac{15}{7}h_{3,3}(u) + \frac{7}{6}h_4(u) + \frac{10}{3}h_5(u) + \frac{3}{2}h_{6,1}(u) + \frac{3}{2}h_{6,2}(u) + \frac{9}{4}h_{6,3}(u) + \frac{4}{3}h_7(u) + \frac{3}{2}h_8(u) + \frac{3}{2}h_9(u) + \frac{1}{2}h_{10}(u).$$

Proof. Let f be an incident face of u. Assume that f has a spanning bad 3vertex v'. Then by Lemmas 2.15, 2.17, 2.18 and 2.19, there is a unique  $X \in \mathcal{H}_i$ for  $i \in [1, 8]$ , which contains v' and f under D. Since u is incident with f, X contains u. Thus, since  $\deg(u) \geq 23$ ,  $uv' \in E(G)$  by Lemmas 2.15, 2.17, 2.18 and 2.19, and then  $X \in \mathcal{H}_i(u)$ . Thus the part of total net-losing-charge of u formed by X can be checked by (1)-(8) of Lemma 2.25. Assume that no spanning vertex of f is a bad 3-vertex. If  $\deg(f) \geq 4$ , then u does not lose charge to f in the two steps of discharging, thus we do not consider this case. If  $\deg(f) = 3$ , then there is a unique  $Y \in \mathcal{H}_{i'}(u)$  for  $i' \in \{9, 10\}$  containing f

under D (note for  $Y \in \mathcal{H}_{i'}(u)$ , u is isomorphic to  $u_1$  of  $H_{i'}$ ). Thus the part of total net-losing-charge of u formed by Y can be checked by (9) and (10) of Lemma 2.25. Hence, by Lemma 2.25, this lemma holds.

**Lemma 2.27.** Let u be a  $23^+$ -vertex. Then  $ch_2(u) \ge 0$ .

*Proof.* Denote  $d(u) = \deg(u)$ . By inequality (8) (Lemma 2.26), we have

$$\Delta(u) \leq \frac{5}{6} \Big[ 2h_1(u) + 3h_2(u) + 2h_{3,1}(u) + 2h_{3,2}(u) + 3h_{3,3}(u) + 2h_4(u) + 4h_5(u) + 2h_{6,1}(u) + 2h_{6,2}(u) + 3h_{6,3}(u) + 2h_7(u) + 2h_8(u) + 2h_9(u) + h_{10}(u) \Big].$$

Further, by inequality (7) (Lemma 2.22) we have  $\Delta(u) \leq \frac{5}{6}d(u)$ . Thus  $ch_2(u) = (d(u) - 4) - \Delta(u) \geq (d(u) - 4) - \frac{5}{6}d(u) = \frac{1}{6}d(u) - 4$ . When  $d(u) \geq 24$ , we have  $ch_2(u) \geq 0$ .

Next assume that d(u) = 23. By inequality (8), we have

$$\Delta(u) \leq \left(\frac{5}{6} - \frac{7}{9}\right) \cdot 4h_5(u) + \frac{7}{9} \left[2h_1(u) + 3h_2(u) + 2h_{3,1}(u) + 2h_{3,2}(u) + 3h_{3,3}(u) + 2h_4(u) + 4h_5(u) + 2h_{6,1}(u) + 2h_{6,2}(u) + 3h_{6,3}(u) + 2h_7(u) + 2h_8(u) + 2h_9(u) + h_{10}(u)\right].$$

Further, by inequality (7) (Lemma 2.22), we have  $\Delta(u) \leq \frac{2}{9}h_5(u) + \frac{7}{9}d(u)$ . Since  $4h_5(u) \leq d(u) = 23$ , we have  $h_5(u) \leq 5$ . Thus  $ch_2(u) = (d(u) - 4) - \Delta(u) \geq d(u) - 4 - \frac{2}{9}h_5(u) - \frac{7}{9}d(u) = \frac{2}{9}d(u) - \frac{2}{9}h_5(u) - 4 \geq \frac{2}{9} \cdot (23 - 5) - 4 = 0$ . Thus this lemma holds.

By Lemmas 2.23, 2.24 and 2.27, for every  $x \in V(D^{\times}) \cup F(D^{\times})$ ,  $ch_2(x) \ge 0$ . But

$$\sum_{x \in V(D^{\times}) \cup F(D^{\times})} ch_2(x) = \sum_{x \in V(D^{\times}) \cup F(D^{\times})} ch_0(x) = -8 < 0.$$

a contradiction. Therefore, we prove Theorem 1.4.

### References

- [1] J. A. Bondy and U. S. R. Murty, *Graph Theory*, Springer, Berlin, 2008.
- [2] O. V. Borodin, Solution of the Ringel problems on vertex-face coloring of planar graphs and coloring of 1-planar graphs, Metody Discret. Anal. 41 (1984), 12–26.
- [3] \_\_\_\_\_, On the total coloring of planar graphs, J. Reine Angew. Math. **394** (1989), 180–185.
- [4] O. V. Borodin, A. V. Kostochka, A. Raspaud, and E. Sopena, Acyclic colouring of 1-planar graphs, Discrete Math. 114 (2001), no. 1-3, 29–41.
- J. Czap and D. Hudák, On drawings and decompositions of 1-planar graphs, Electron. J. Combin. 20 (2013), no. 2, 54–60.
- [6] I. Fabrici and T. Madaras, The structure of 1-planar graphs, Discrete Math. 307 (2007), no. 7-8, 854–865.
- B. Grünbaum, New views on some old questions of combinatorial geometry, IColloquio Internazionale sulle Teorie Combinatorie (Rome, 1973), Tomo I, pp. 451–468. Atti dei Convegni Lincei, No. 17, Accad. Naz. Lincei, Rome, 1976.

- [8] D. Hudák and P. Šugerek, Light edges in 1-planar graphs with prescribed minimum degree, Discuss. Math. Graph Theory 32 (2012), no. 3, 545–556.
- [9] S. Jendrol and H.-J. Voss, Light subgraphs of graphs embedded in the plane-A survey, Discrete Math. **313** (2013), no. 4, 406-421.
- [10] A. Kotzig, Contribution to the theory of Eulerian polyhedra, Mat.-Fyz. Časpis. Slovensk. Akad. Vied (Math. Slovaca) 5 (1955), 101–113.
- X. Zhang, Light 3-cycles in 1-planar graphs with degree restrictions, Bull. Korean Math. Soc. 51 (2014), no. 2, 511-17.
- [12] X. Zhang and J. Wu, On edge colorings of 1-planar graphs, Inform. Process. Lett. 111 (2011), no. 3, 124–128.
- [13] \_\_\_\_\_, On edge colorings of 1-planar graphs without adjacent triangles, Inform. Process. Lett. 112 (2012), no. 4, 138–142.

ZAI PING LU CENTER FOR COMBINATORICS LPMC-TJKLC NANKAI UNIVERSITY TIANJIN 300071, P. R. CHINA *E-mail address:* lu@nankai.edu.cn

NING SONG CENTER FOR COMBINATORICS LPMC-TJKLC NANKAI UNIVERSITY TIANJIN 300071, P. R. CHINA *E-mail address*: nsong28@sina.com